

1 **1.3. COUNCILS OF THE SENATE**

2  
3 The University Senate delegates to its councils and/or its committees the largest degree of  
4 authority in handling recurring and routine matters in order that Senate meetings may be  
5 devoted largely to communications and consideration of major matters of educational policy.

6 **1.3.1 UNIVERSITY SENATE COUNCIL**

7  
8 **1.3.1.1 Purposes and Functions of the Senate Council**

9  
10 The University Senate Council is charged with providing leadership to enable the Senate to  
11 perform effectively its primary role of formulating and implementing educational policies of the  
12 University as described in the Governing Regulations. The Board of Trustees in its Governing  
13 Regulations has also delegated responsibility to the Senate Council to act on behalf of the  
14 University Senate in particular advisory roles (GR 2.A.(2).(c); GR VIII.B).

15  
16 The Senate Council is specifically charged with continuously reviewing the University's  
17 academic policies and may call to the attention of the appropriate administrative officer any  
18 need for compliance with the University Senate Rules, Governing Regulations, or Administrative  
19 Regulations that relate to University Senate function.

20  
21 In accordance herewith, the Senate Council shall serve as the executive committee for the  
22 University Senate in fulfilling the following functions (see SR [1.2.1](#)):

- 23  
24 1. Study and report or recommend to the Senate on any matter of concern to the Senate.  
25  
26 2. Receive, consider and recommend action on Senate Committee reports and on reports  
27 and recommendations from educational units. The Senate Council may recommend  
28 approval or disapproval or may present the matter to the Senate without  
29 recommendation.  
30  
31 3. Appoint the Standing Committees of the Senate, Special Committees that it finds to be  
32 essential for the performance of Senate or Senate Council functions, and other  
33 committees as designated by the Senate.  
34  
35 4. Advise the President on the appointment of the Senate Advisory Committees and other  
36 on academic committees that the President may appoint in the capacity as Chair of the  
37 Senate.  
38  
39 5. Advise the Provost on the appointment of search committees for the appointment of  
40 deans of colleges, the Graduate School and the Libraries.  
41  
42 6. Plan the agenda of the Senate. Distribute notices of regular Senate meetings at least  
43 six (6) days prior to meetings with agenda and recommendations for Senate action to  
44 members of the University Senate and to administrative offices that are concerned with  
45 academic affairs, and of special meetings as directed.  
46  
47 7. Act for the Senate on all matters involving changes to academic programs, degrees,  
48 and curricula, subject to the requirements of reporting and the power of the Senate to  
49 reverse the Senate Council on these matters.  
50  
51 8. Act on other matters referred to it by the Senate with the responsibility and authority  
52 delegated to it by the Senate.  
53

- 54 9. Act for the Senate in emergency situations, reporting such actions, with justification, to  
 55 the Senate at its next regular meeting.  
 56  
 57 10. Advise the President on all matters relative to the welfare of the University which the  
 58 President brings to it or which it proposes for consideration. In addition, the Senate  
 59 Council shall invite the President annually to inform the Senate concerning general  
 60 academic policies and budgetary priorities.  
 61  
 62 11. The Senate Council shall include solicitation to the elected college faculty councils (GR  
 63 VII.E.2, 3), or similar elected college faculty bodies, to participate in nominating  
 64 potential members of Area Committees, and other academic advisory committees, for  
 65 submission to the President (GR IV.B).  
 66  
 67 12. To maintain a record of additions to or modifications of the *Rules* between periodic  
 68 revisions and update the web-posted Senate *Rules* at least annually.  
 69

### 70 1.3.1.2 Composition

71  
 72 The Senate Council shall be composed of elected voting representatives (GR IV.A) and *ex*  
 73 *officio* members as follows: The voting elected membership shall be nine (9) members elected  
 74 by and from the 94 elected faculty representatives in the University Senate, and two (2)  
 75 members elected by and from the newly elected student representatives in the University  
 76 Senate.  
 77

78 \* When one of the 9 voting faculty elected to a three-year term in the Senate Council  
 79 becomes elected as Faculty Trustee, the person retains the status as one of the 9  
 80 voting faculty members of the Senate Council until the three-year term on the Senate  
 81 Council ends. Upon the end of the term, the person then acquires the status of *ex*  
 82 *officio* nonvoting Faculty Trustee member of the Senate Council. [SREC: 5/13/2016]  
 83

84 The *ex officio* voting member shall be the President of the Student Government Association,  
 85 and the *ex officio* nonvoting members shall be the faculty members of the Board of Trustees, if  
 86 they are not elected members of the Senate Council, and the immediate past chair of the  
 87 Senate Council, if his or her term on the Senate Council has expired. For purposes of this rule,  
 88 the phrase "immediate past chair of the Senate Council" means the person who served as chair  
 89 of the Senate Council during the preceding year.  
 90

91 \* When the term of a Senate Council Chair has ended, the person acquires the status of  
 92 nonvoting 'past chair' member of the Senate Council, regardless of whether the person  
 93 has been appointed to an administrative position or administrative assignment that  
 94 makes the person ineligible for election to the University Senate. [SREC: 5/13/2016]  
 95

96 Six elected members shall constitute a quorum for the transaction of business. [US: 10/10/77;  
 97 US: 10/12/98] If the elected faculty representatives to the Senate Council are to perform a  
 98 statutory action (KRS 164.240) on behalf of the elected faculty representatives of the Senate  
 99 (GR IV.A,B), then five of those nine members shall constitute a quorum (KRS 446.050).  
 100

101 \* "Elected members" means any members who are not *ex officio* members. [SREC:  
 102 11/20/2006]  
 103

#### 104 1.3.1.2.1 Elected Faculty Membership

##### 106 1.3.1.2.1.1 Eligibility for Membership

107

108 Any elected faculty member of the Senate (or person appointed to replace an elected member)  
 109 whose term of office has not expired at the time of the election shall be eligible for election to  
 110 the Senate Council, except that no more than three (3) of the nine elected faculty members of  
 111 the Senate Council shall be from any one college. If an elected faculty representative in the  
 112 Senate has become ineligible and a replacement has been made, only the person who has  
 113 replaced the ineligible representative shall be eligible for election to the Senate Council. Senate  
 114 Council members are not eligible to succeed themselves until a lapse of one year from the  
 115 expiration of their Senate Council membership (including service as Chair or Vice Chair), except  
 116 that members who are filling a vacancy and who have served no more than one year, if  
 117 otherwise eligible, may be eligible for election. The duration of the term of membership on the  
 118 Senate Council shall not be affected by the member's term on the University Senate. [US:  
 119 10/14/85]  
 120

#### 121 **1.3.1.2.1.2 Terms**

122  
 123 Elected faculty representatives in the Senate Council shall serve for three (3) years  
 124 commencing on January 1 following their election and continuing until expiration of their terms  
 125 or until their successors are elected and certified.  
 126

#### 127 **1.3.1.2.1.3 Election**

128  
 129 Voting shall be conducted by electronic secret ballot or paper secret ballot if electronic election  
 130 is not feasible. Three (3) elected faculty representatives in the University Senate shall be  
 131 elected annually during the fall semester to serve on the Senate Council. The Chair of the  
 132 Senate Rules and Elections Committee or that person's designee shall preside over the  
 133 election as the election official and shall remind the voters that election to the Senate Council  
 134 confers eligibility for election to Senate Council Chair the following year. [US: 1/18/88;  
 135 10/8/2012; 2/12/2018]  
 136

#### 137 **1.3.1.2.1.3.1 Nominating Round**

138  
 139 In the nominating round, elected Faculty Senators may nominate up to three (3) eligible  
 140 Senators from the roster of the eligible persons as certified by the election official. The name of  
 141 the eligible Faculty Senators who have been nominated and have indicated to the election  
 142 official their willingness to serve will appear on the ballot. [US: 2/12/2018]  
 143

144 If ten (10) or fewer total nominees are willing to serve, and there are no more than twice the  
 145 number of names from any one college as could potentially be elected from that college,  
 146 elections shall proceed directly to the Final Voting Round described in SR [1.3.1.2.1.3.3](#) below.  
 147 Otherwise, elections shall include a Preliminary Voting Round described in SR [1.3.1.2.1.3.2](#)  
 148 below. [US: 2/12/2018]  
 149

150 If three (3) or fewer total nominees are willing to serve, additional solicitations shall be made in  
 151 an effort to increase the number of nominees. [US: 2/12/2018]  
 152

#### 153 **1.3.1.2.1.3.2 Preliminary Voting Round**

154 [US: 2/12/2018]  
 155  
 156

157 On this first ballot, elected Faculty Senators may rank order up to three (3) candidates from the  
 158 list of eligible persons as certified by the election official. Rank orders will be used to resolve  
 159 ties. The six (6) candidates with the largest number of total votes, including those ranking the  
 160 candidate first, second, or third shall become candidates in the Final Voting Round, except that  
 161 there must not be more than twice the number of names from any one college as could  
 162 potentially be elected from that college. Ties will be resolved as follows:

- 163  
164 1. The higher ranking goes to the candidate with the larger number of first-choice votes;  
165  
166 2. If there are still ties, the higher ranking goes to the candidate with the larger number of  
167 second-choice votes;  
168  
169 3. If there are still ties, the higher ranking goes to the candidate with the larger number of  
170 third-choice votes;  
171  
172 4. If there are still ties, the ranking will be determined by random draw.  
173

174 **1.3.1.2.1.3.3 Final Voting Round.**  
175

176 On this final ballot, elected Faculty Senators may rank order up to three (3) candidates from the  
177 list of the eligible persons as certified by the election official. The candidates will then be ranked  
178 according to the procedure listed in subsection (b) above. The three (3) candidates with the  
179 highest rank shall be elected except that no more than three (3) of the nine elected faculty  
180 members of the Senate Council shall be from any one college. [US: 2/12/2018]  
181

182 **1.3.1.2.1.3.4 Election Results.**  
183

184 The three highest-ranked candidates under [1.3.1.2.1.3.3](#) above are elected.  
185

186 **1.3.1.2.1.3.5 In case of future vacancies**  
187

188 The remaining candidates will be considered in the order so ranked in case of future vacancies  
189 on the Senate Council. Ties will be resolved in a fashion similar to the procedure in SR  
190 [1.3.1.2.1.3.2](#) above. [US: 2/12/2018]  
191

192 **1.3.1.2.1.4 Vacancies.**  
193

194 Upon resignation of any elected faculty representative in the Senate Council or when such a  
195 member is no longer eligible to be a Senator, a vacancy shall be declared by the Chair of the  
196 Senate Council. A vacancy on the Senate Council shall be filled by the eligible candidate who at  
197 the most recent Senate Council election ranked the highest without being elected and who is  
198 eligible and willing to serve. [US: 1/18/88]  
199

200 If the above procedure still does not fill the vacancy, the vacancy shall be filled with an eligible  
201 and willing elected Faculty Senator nominated by the Senate Council Chair and approved by  
202 the majority of the voting faculty representatives of the Senate Council.  
203

204 The term of such appointments shall be for the remainder of the unexpired term of the vacating  
205 Senate Council member.  
206

207 **1.3.1.2.2 Elected Student Membership**  
208

209 **1.3.1.2.2.1 Terms.**  
210

211 The two elected student members of the Senate Council and the President of the Student  
212 Government Association shall serve terms of one (1) year commencing July 1 following their  
213 election and continuing until their successors are elected and certified. [US: 10/12/98]  
214

215 **1.3.1.2.2.2 Election.**  
216

217 The two (2) elected student members of the Senate Council shall be chosen annually in the  
 218 second semester of the academic year. As soon as possible after the election of the student  
 219 members of the University Senate during the second semester, the President of the Student  
 220 Government Association shall assemble these persons to elect the student members of the  
 221 Senate Council by majority vote. [US: 10/12/98]  
 222

223 **1.3.1.2.2.3 Vacancies.**  
 224

225 If at any time during the term of a student member of the Senate Council they should become  
 226 ineligible for membership in the Senate, his or her position on the Council shall be declared  
 227 vacant. The President of the Student Government Association shall then assemble the student  
 228 members of the University Senate to elect his or her successor.  
 229

230 **1.3.1.2.3 Attendance**  
 231

232 If any member misses three (3) regular or special meetings of the Council per year, without an  
 233 explanation acceptable to the majority of the other voting members, a vacancy is declared, to  
 234 be filled according to the above procedures concerning vacancies. [US: 10/12/81]  
 235

236 **1.3.1.3 Officers of the Senate Council**  
 237

238 [US: 9/8/97]  
 239

240 **1.3.1.3.1 Senate Council Chair**  
 241

242 The Senate Council shall elect its Chair in December preceding the academic year during  
 243 which the Chair shall serve. All nine of the elected faculty representatives then serving on the  
 244 Senate Council shall be eligible for election to the position. The incumbent Chair, if in his or her  
 245 first year as Chair, shall also be eligible for reelection.  
 246

247 The Chair of the Senate's Rules and Elections Committee (SREC) or that person's designee  
 248 shall preside over the election. In the November preceding the academic year during which the  
 249 Senate Council Chair shall serve, the elected faculty senators will be asked to nominate current  
 250 elected faculty members of the Senate Council to serve as Senate Council Chair. The SREC  
 251 Chair or that person's designee will ascertain willingness of these nominees to serve. [US:  
 252 2/12/2018]  
 253

254 If there is only one nominee who agrees to serve, then the election shall be declared completed  
 255 and this person shall be the Senate Council Chair-elect, and the University Senate so notified.  
 256 [US: 2/12/2018]  
 257

258 Should more than one nominee be willing to serve if elected, the nominees will be offered an  
 259 opportunity to provide an up to 200-word election statement, and that statement will be  
 260 distributed to the elected faculty senators at least two weeks before the election. The elected  
 261 faculty senators will be solicited by email to express their opinions of the nominees to the  
 262 Senate Council. The Senate Council will consider this feedback in electing the next Senate  
 263 Council Chair in the December preceding the June 1 start date.  
 264

265 The Senate Council must elect the Chair by a simple majority. If the Senate Council is not able  
 266 to elect the Chair by a simple majority by the end of December, then the elected faculty  
 267 senators shall elect the Chair by plurality from those nominated through the above process at  
 268 the next regularly scheduled Senate meeting. If there is still a tie, the Chair shall be determined  
 269 by random draw. [US: 2/12/2018]  
 270

271 When the person chosen is not the incumbent Chair, they shall be known as the Chair-elect.  
 272 The Senate Council Chair is always a voting member of the Senate Council, within the  
 273 provisions of the *Robert's Rules of Order, Newly Revised*. The Senate Council Chair:

- 274
- 275 1. Will exercise preparatory administrative functions as Chair that are attendant to the  
 276 effective conduct Senate and Senate Council meetings, including the authority to call  
 277 special meetings of the Senate Council (KRS 61.823.2);  
 278
  - 279 2. As the 'department head' of the Senate Council Office, has certain general supervisory  
 280 responsibilities for managing the budget, inventory, and personnel functions of the  
 281 Senate Council Office (UK Business Procedures Manual; EVPFA Inventory Policies;  
 282 Human Resources Policy and Procedure Administrative Regulation 61.2; KRS 61.030);  
 283
  - 284 3. Performs as the Presiding Officer of the Senate Council meetings except as they may  
 285 delegate that function to the Vice Chair of the Senate Council; as Presiding Officer  
 286 possesses attendant responsibilities and authority pursuant to Open Meetings Law  
 287 (KRS 61.846(1));  
 288
  - 289 4. Has general supervisory responsibility for: the preparation of minutes of Senate Council  
 290 meetings pursuant to KRS 61.835; the distribution of these minutes to Senate Council  
 291 members; the maintenance of official minutes of the Senate Council meetings pursuant  
 292 to statutory UK Records schedule (KRS 171.420; President's memo 1/25/95); public  
 293 accession to these minutes pursuant to the Open Meetings law (KRS 61.846(1)); and  
 294 for the safe transfer of the records of the Senate Council Office to their successor (KRS  
 295 57.360);  
 296
  - 297 5. Has general supervisory responsibility for the maintenance of copies of procedures for  
 298 election of Faculty Senators, as approved and submitted by college faculties (as per SR  
 299 [Error! Reference source not found.](#));  
 300
  - 301 6. Has general supervisory responsibility for maintenance an official file of the educational  
 302 unit "Rules" (including rules for unit-level membership/voting privileges) and committee  
 303 structure for educational policy-making, as established by the respective faculties of  
 304 each educational unit, being consistent with the *University Senate Rules*. As each is  
 305 received upon approval by the Provost ([GR.VII.E.1-6](#)), the Rules documents in this file  
 306 will be maintained in a format accessible as needed by members of the Senate Council  
 307 and Senate Committees;  
 308
  - 309 7. Has general supervisory responsibility for the maintenance a roster of those faculty  
 310 employees in each college who are
    - 311 a. full-time faculty employees at each rank in each respective title series (used for  
 312 apportionment of seats of elected Faculty Senators (SR [Error! Reference s  
 313 ource not found.](#))),  
 314
    - 315 b. eligible to be elected as Faculty Trustees, and those eligible to vote in Faculty  
 316 Trustee elections (i.e., those members of the college faculty bodies who are  
 317 full-time faculty employees with academic rank at or above Assistant Professor  
 318 (or Librarian III) (SR [1.5.2](#)); and  
 319
    - 320 c. eligible to be elected as Faculty Senators (i.e., full-time faculty employees in  
 321 the Regular, Special, Extension or Librarian Title Series, at or above the rank of  
 322 Assistant Professor (or Librarian III), and those eligible to vote in college  
 323 election of Faculty Senators (i.e., those eligible to serve plus those other full-  
 324

- 325 time faculty who have been conferred with privileges to vote in the college  
 326 senator elections (GR VII.E.2, 3) [SR [Error! Reference source not found.](#)],  
 327  
 328 d. these rosters being obtained from the deans of the respective colleges (GR  
 329 II.B.2.b assigns responsibility for these elections to the University Senate);  
 330  
 331 8. Annually reports to the Chair of the Senate the attendance records of *ex officio* voting  
 332 members;  
 333  
 334 9. Is responsible for the assembly of the Ombud search committee with the composition  
 335 specified in the *University Senate Rules* (SR [Error! Reference source not found.](#));  
 336  
 337 10. Will make the final decision for the Senate on matters of minor course changes, as  
 338 these changes are defined in the *University Senate Rules* (SR [Error! Reference source n](#)  
 339 [ot found.](#));  
 340  
 341 11. Advises the chairs of Senate standing committees on the appointment of ad hoc  
 342 advisory committees to the standing committees (SR [1.4.1](#));  
 343  
 344 12. Utilizes regular communication and information sharing with elected college faculty  
 345 councils, or similar elected college faculty bodies, to increase the effectiveness of  
 346 educational policy-making by the University Senate and the College Faculties;  
 347  
 348 13. May be directed by the Senate Council to represent that body on a particular matter to  
 349 the President, or to another administrative officer, to the Board of Trustees, or to  
 350 another University (or external) entity;  
 351  
 352 14. Serves in a variety of ad hoc circumstances as a voice for the University Faculty, in  
 353 different fora before organizations and groups on campus;  
 354  
 355 15. Is periodically invited by the President or other University administrators to serve on  
 356 University-level committees of diverse composition, so as to advocate for the Senate or  
 357 Senate Council in the formulation of administrative policy on issues;  
 358

#### 359 **1.3.1.3.2 Senate Council Vice-Chair**

360  
 361 The Senate Council shall also elect a Vice-Chair at a December meeting from among the six  
 362 faculty representatives elected to the Senate Council whose terms do not expire at the end of  
 363 that month. The Vice-Chair shall take office the following June 1<sup>st</sup> and serve through May 31<sup>st</sup> of  
 364 the next year. The Vice-Chair shall:

- 365  
 366 1. Preside at any meeting of the Senate or the Senate Council at which the respective  
 367 Chair so delegates or at which the Chair is not present, and may be delegated by the  
 368 Presiding Officer to introduce the Senate Council motions and resolutions at Senate  
 369 meetings. To facilitate the Vice-Chair's readiness to assume these responsibilities, the  
 370 Senate Council Chair will keep the Vice-Chair generally apprised of important events  
 371 that relate to Senate/Senate Council function.  
 372  
 373 2. Become chair of the Senate Council for the remainder of the chair's term if for any  
 374 reason the chair is no longer able to serve in that capacity.  
 375  
 376 3. Serve as Secretary of the Senate including review of the Senate minutes prior to their  
 377 distribution to senators. [US: 4/10/2000; 2/12/2018]  
 378



- 379 4. Serve on the Senate Reinstatement Committee if a subset of Senate Council is so  
 380 constituted per SR [1.4.2.10](#). [US: 2/12/2018]  
 381  
 382 5. Serve on the Committee on Committees. [US: 2/12/2018]  
 383  
 384 6. Oversee the Senate Council's survey of faculty in regard to the President's annual  
 385 performance evaluation. [US: 2/12/2018]  
 386  
 387 7. Oversee the Outstanding Senator Award process. [US: 2/12/2018]  
 388

### 389 **1.3.1.3.3 Chair-elect**

390  
 391 The Chair-elect or a Chair reelected to a second term shall take office as Chair on the following  
 392 June 1st and serve through May 31st of the next year. A member of the Senate Council is not  
 393 eligible while on sabbatical for election as Chair-elect but a person who has replaced the  
 394 member on sabbatical on the Council is eligible to be elected as Chair-elect. The Senate  
 395 Council Chair-elect will be given the opportunity to shadow the current Senate Council Chair  
 396 during the six months preceding the Senate Council Chair-elect assuming the office. [US:  
 397 10/8/2012]  
 398

### 399 **1.3.1.3.4 Conditions of Senate Council Officership**

400

#### 401 **1.3.1.3.4.1 Vice-Chair's Senate Council term ending December 31st**

402

403 An incumbent Vice-Chair whose term as an elected member of the Senate Council expires on  
 404 December 31st shall continue in office and serve as a nonvoting member of the Senate Council  
 405 until the following May 31st. However, if at a meeting of the Senate or Senate Council the Vice-  
 406 Chair is acting as Presiding Officer in place of a nonattending Chair, then at that meeting the  
 407 Vice-Chair possesses the same voting status as the Chair. An incumbent Vice-Chair whose  
 408 elected term on the Senate Council expires on December 31st shall be eligible to be named  
 409 Chair-elect at the meeting that December to choose the next Chair.  
 410

#### 411 **1.3.1.3.4.2 Vacancy**

412

413 If for any reason the office of the Vice-Chair should become vacant, the Senate Council shall  
 414 act as soon as possible to elect a replacement. [US: 10/12/81; US: 9/8/97]  
 415

#### 416 **1.3.1.3.4.3 Senate Council members whose Senate terms have expired**

417

418 Officers of the Senate Council will remain members of the Senate Council for the duration of  
 419 their terms of office even if their terms as Senators may have expired. In this eventuality, they  
 420 will not be counted as part of their educational units in the election of representatives to the  
 421 Senate or to the Senate Council, thereby expanding the normal size of both those bodies. [US:  
 422 10/12/81; US: 9/8/97; [GR IV.B](#)]  
 423

- 424 \* Under this rule, because the Senate Council Chair-elect is an officer of the Senate  
 425 Council (SR 1.3.1.3.3), if the individual's regular three-year term on the Senate Council  
 426 has ended on December 31, the Senate Council Chair-elect shall be a nonvoting  
 427 member of the Senate Council for the portion of their term of office as Senate Council  
 428 Chair-elect that runs from January 1 – May 31. [SREC: 12/17/2013]  
 429

### 430 **1.3.1.3.5 Senate Council Office Staff**

431



432 An Administrative Assistant, employed by and responsible to the Senate Council, shall carry out  
 433 the routine and continuing activities which are essential to the functioning of the Senate  
 434 Council. [US: 10/12/81; US: 9/8/97]  
 435

436 An assistant, employed by and responsible to the Senate Council, shall carry out the routine  
 437 and continuing activities essential to the functioning of the University Senate such as  
 438 scheduling meeting rooms; taking minutes, word processing and distributing Senate agenda,  
 439 memos and other correspondence; assisting the Chair of the Rules and Elections Committee in  
 440 conducting nominations and elections; and other activities. [US: 4/10/2000]  
 441

## 442 **1.3.2 UNIVERITY SENATE ACADEMIC COUNCILS**

### 443 **1.3.2.1 General Policies for Academic Councils**

#### 444 **1.3.2.1.1 Composition**

445 The membership and specific election processes of the academic councils are described in their  
 446 respective sections (SR XXX for Graduate Council (GC) <insert reference>, SR YYY for  
 447 Undergraduate Council (UC) <insert reference>, and SR ZZZ for Health Care Colleges Council  
 448 (HCCC) <insert reference>).  
 449

450 Elections for academic council membership shall take place during the spring semester prior to  
 451 the beginning of the member's term. Voting shall be conducted by electronic secret ballot or  
 452 paper secret ballot if electronic election is not feasible.  
 453

#### 454 **1.3.2.1.1.1 Elected Faculty Members**

455 Pursuant to *Administrative Regulations* on tenure-ineligible title series and on faculty members  
 456 with certain administrative appointments, the following terms apply.

- 457 (1) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for  
 458 regularly assigned university-level service activities (including the Senate), except that  
 459 the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be  
 460 eligible to vote for representation, and stand for election, specifically to the University  
 461 Senate, by extending College Faculty membership to Lecturer Series or Clinical Title  
 462 Series that faculty series (to be documented in the College Rules; see AR 2.5, AR 2.6,  
 463 AR 2.9 for more details). [SREC: 4/15/2011]
- 464 (2) Tenured faculty employees who have been appointed to an administrative position at or  
 465 above the level of department chair are not eligible to participate in the election of faculty  
 466 representatives, or serve as one of the elected faculty representatives, to faculty  
 467 governance bodies above the college level (e.g., Board of Trustees, University Senate,  
 468 Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see  
 469 HRPP 4.0; GR11.B.2.b.(2)); and  
 470

#### 471 **1.3.2.1.1.2 Ex Officio Members**

472 Ex officio members shall be nominated by the office they represent. Ex officio members may be  
 473 voting or nonvoting, but the position is nonvoting unless indicated otherwise.  
 474

#### 475 **1.3.2.1.1.3 Student Members**

476 Student members shall be nominated by the Student Government Association.  
 477

#### 478 **1.3.2.1.1.4 Terms**

479 All terms begin on August 16 and expire on August 15. Members shall serve until the expiration  
 480 of their terms or until their successors have been named.  
 481

482 The terms of elected faculty members shall be three (3) years, with elections being conducted so  
 483 that the terms will be staggered. Each elected faculty member shall be eligible for reelection for  
 484 a second consecutive term, but ineligible for further membership until one year has elapsed.

485

486 The terms of appointed members and student members shall be one (1) year. Appointed  
487 members may serve two consecutive terms but are ineligible for reappointment for a third  
488 appointed term until one year has lapsed.

489

490 The terms for student members are generally one academic year, although if they remain

491

eligible they may serve additional terms.

492

#### 493 **1.3.2.1.1.5 Elections**

494 Colleges shall hold secret ballot elections for membership on the academic councils during the

495

spring semester.

496

#### 497 **1.3.2.1.1.6 Vacancies**

498 Upon resignation of any elected faculty member or when such a member is no longer eligible to

499

be a member of the academic council (e.g., resignation, leave of absence, assumption of

500

administrative title, loss of status as a faculty employee), a vacancy shall be declared by the

501

chair of the academic council.

502

503 The chair of the academic council shall fill the vacancy by the elected alternate or the eligible

504

candidate who at the most recent election ranked the highest without being elected and who is

505

eligible and willing to serve. The academic council chair shall inform the Senate Council office of

506

the change in membership. If there is no such individual, the chair of the academic council shall,

507

after consulting with the Senate Council Chair and the Senate Rules and Elections Committee

508

(SREC), appoint an eligible member who fulfills the requirements of the vacant seat. The term of

509

appointment shall be for the remainder of the unexpired term and the partial term does not count

510

toward the new member's limit of two consecutive terms

511

#### 512 **1.3.2.2 Responsibilities**

513

Academic councils are expected to meet at least monthly and shall record minutes of actions

514

taken at all meetings. These minutes shall be available no later than one week after the end of

515

the next meeting. A majority of the voting members shall constitute a quorum for the transaction

516

of business unless indicated otherwise.

517

#### 518 **1.3.2.3 Procedures**

519

An academic council shall act on proposals through established Senate processes (SR 3.1 and

520

SR 3.2) in either of the following ways;

521

- 522 1. Forward a positive recommendation to the next procedural Senate level that is
- 523 prescribed in SR 3:1 or SR 3.2; or

524

- 525 2. Forward a negative recommendation to the Senate Council.

526

527 If an academic council submits a negative recommendation to Senate Council, then the Senate

528

Council shall:

529

- 530 i) Forward the proposal to the next prescribed procedural level as described in SR
- 531 3.1 and SR 3.2, or

532

- 533 ii) Return the proposal to the academic council with particular instructions, or

534

- 535 iii) Decide based on the merit of the proposal that the proposal is not appropriate for
- 536 Senate action and report the same to Senate at its next meeting.

537

538 **1.3.3 GRADUATE COUNCIL (GC)**

539 See SR 1.3.2 for general policies related to Senate's academic councils.

540

541 **1.3.3.1 Relationship of Graduate Faculty to the University Senate**

542

543 **1.3.3.1.1 Role of the Graduate Faculty**

544

545 The Graduate Faculty shall make recommendations to the University Senate on

546

547 1. academic matters that require University Senate approval, including graduate courses  
548 and programs and graduate program reviews (GR VII.C.4; GR VII.E.1) and

549

550 2. conditions of merit and circumstance for Honorary Degrees.

551

552 The Graduate Faculty may perform the above functions directly, through the Graduate Council, or  
553 through committees that it or the University Senate may establish. Councils of the Graduate  
554 School may be appointed by the Dean of the Graduate School or elected, as prescribed by the  
555 *Rules of the Graduate Faculty* and approved by the University Senate. The Graduate Council  
556 shall have the authority and responsibilities delegated to it by the Dean of the Graduate School,  
557 by the Graduate Faculty in the *Rules of the Graduate Faculty*, and by the *University Senate*  
558 *Rules*. (GR VII.E).

559

560 **1.3.3.1.2 Graduate Faculty Membership**

561

562 Upon the recommendation of the members of the graduate faculty of a program, and  
563 transmitted through the Director of Graduate Studies, the Graduate Council will review, and the  
564 Graduate Dean will then render final action on, nominees for Graduate Faculty membership  
565 (GR VII.A.3.a). Associate and other classes of members in the Graduate Faculty (including but  
566 not limited to Directors of Graduate Studies [US: 4/21/52], may be appointed by the Dean of the  
567 Graduate School, with appropriate duties and privileges, as provided by the *Rules of the Graduate*  
568 *Faculty* and as approved by the University Senate (GR VII.E.1.B).

569

570 On recommendation of the Director of Graduate Studies and with the approval of the Dean of the  
571 Graduate School, persons who normally do not hold academic appointment in the University, but  
572 who have demonstrated an interest in collaborative participation in its graduate programs, may be  
573 appointed as Auxiliary Graduate Faculty Members. They should hold the terminal academic  
574 degree in the field and possess a record of research or creative experience that would warrant  
575 their inclusion on advisory committees to assist graduate students in conducting research. [US:  
576 12/13/54; US: 09/08/86]

577

578 The Director of Graduate Studies serves as program advisor to each student until the  
579 student has a thesis or dissertation director. [US: 04/21/52]

580

581 Graduate courses should be taught by Graduate Faculty members. If a program needs to assign a  
582 person without a terminal degree to teach a graduate level course, they must first petition the Dean  
583 of the Graduate School, explaining the unique circumstances and qualifications supporting this  
584 assignment. [US: 12/13/54]

585

586 The President, the Vice President for Research, the Provost, and the Dean of the Graduate  
587 School shall be *ex officio* nonvoting members of all committees of the Graduate School.

588 [US: 04/21/52]

589

590 **1.3.3.2 Charge**

591

592 The GC shall meet on the call of the Graduate Dean, or upon written request of five (5)  
 593 members of the GC. The charge to the GC is not intended to prevent a faculty member from  
 594 presenting a recommendation or request directly to the Graduate Faculty.

595  
 596

597 **1.3.3.2.1 Courses, Programs, and Other Proposals**

598 It shall consider all proposed new courses and changes in courses that may be used for credit  
 599 toward a graduate degree, including providing recommendations to the Undergraduate Council  
 600 for courses numbered 400G-499G. [US: 10/11/99] It shall consider all proposed new graduate  
 601 programs and changes in graduate programs, and degree titles (for both graduate program  
 602 degrees and Honorary Degrees). (See SR 3.1.3). The GC may also be directed by the Senate  
 603 Council to review any proposal relevant to its charge. See SR 1.3.2.3 for academic council  
 604 procedures.

605  
 606  
 607  
 608

609 **1.3.3.2.2 Review of Other Graduate Programmatic Activities**

610  
 611 The GC shall periodically review and report to the Graduate Faculty and Senate on the  
 612 effectiveness of the educational policies of the Graduate School in relation to the education and  
 613 training of postdoctoral scholars/fellows and in relation to postbaccalaureate students.

614

615 **1.3.3.2.3 Honorary Degrees**

616

617 The responsibilities of the GC relative to Honorary Degrees include periodically make  
 618 recommendations to the elected faculty representatives in University Senate on the titles used  
 619 for honorary degrees, and on the conditions of merit and circumstance applied to the award of  
 620 honorary degrees (GR IV.A, C)

621

622 **1.3.3.2.4 Extent of Authority**

623 The GC does not have any final decision-making authority, except as related to individual  
 624 student situations and as described in SR 3.1.1.3.1.1 and 3.1.1.3.2.1 - 3.1.1.3.21.2.

625

626

627 **1.3.3.3 Composition**

628 The GC will examine its composition annually at its last meeting of the academic year. There  
 629 shall be eighteen voting members of the Graduate Faculty, plus the chair. [US: 4/12/2004]

630

631 **1.3.3.3.1 Chair**

632 The dean of the Graduate School serves as the chair.

633

634 **1.3.3.3.2 Voting Elected Faculty Members**

635 The GC shall consist of eighteen voting faculty members elected from the Graduate Faculty.

636

637 The composition of the elected membership of the GC is as follows:

638

639 • Two members from the College of Agriculture, Food and Environment;

640

641 • Three members from the College of Arts and Sciences;

642

643 • One member from the Gatton College of Business and Economics;

644

- 645       • One member from: the College of Communication and Information, the College of  
646 Social Work, the Patterson School of Diplomacy and International Commerce and the  
647 Martin School of Public Policy and Administration;  
648  
649       • One member from the College of Education;  
650  
651       • One member from the College of Engineering;  
652  
653       • One member from the College of Design and the College of Fine Arts;  
654  
655       • Two members from the College of Medicine;  
656  
657       • One member from the College of Pharmacy and the College of Dentistry; and  
658  
659       One member from the College of Health Sciences, the College of Nursing, and the  
660 College of Public Health

661 Members representing a college or a combination of colleges are elected by the Graduate  
662 Faculty whose primary appointment to the Graduate Faculty is in the respective college(s). [US:  
663 3/21/83]  
664

665 **1.3.3.3.3 Voting Appointed Members**

666 There shall be two voting members appointed from the Graduate Faculty by the Dean,  
667

668 **1.3.3.3.4 Voting Student Members**

669 There shall be two voting graduate student members.  
670

671 **1.3.3.3.5 Other Voting Members**

672 The GC shall also have liaisons from the other two academic councils and the Senate Council,  
673 who will serve as voting members.  
674

- 675       • The GC chair shall contact the Undergraduate Council (UC) and request the UC identify  
676 a member of that council to serve as an voting member of the GC.  
677
- 678       • The GC chair shall contact the Health Care Colleges Council (HCCC) and request the  
679 HCCC identify a member of that council to serve as an voting member of the GC.  
680
- 681       • The GC chair shall contact the Senate Council and request the SC identify an individual  
682 to serve as the liaison from the Senate Council, a voting member. The liaison from the  
683 Senate Council is not necessarily from within the Senate Council's membership.  
684

685 **1.3.3.3.6 Ex Officio Nonvoting Members**

686 Graduate School associate deans are ex officio nonvoting members of the GC.  
687

688 **1.3.3.4 Election**

689  
690 Only regular ("full") members of the Graduate Faculty shall be eligible to serve on the GC and to  
691 vote in the GC election. Graduate Faculty members with administrative titles above that of  
692 department chair or who do not possess an employment status of a full-time faculty member are  
693 not eligible. (see SR 1.3.2.2) In addition, members of the Graduate Faculty from departments  
694 which have representatives with unexpired terms on the GC shall not be eligible. [US: 11/11/85]  
695

696 The office of the Graduate School Dean will be responsible for administering the election  
697 procedure but the Senate Rules and Elections Committee (SREC) will certify all aspects of the  
698 election, including voting procedures, number of open seats, and eligible membership. . The

699 Graduate School Dean's Office will prepare the lists of faculty members eligible to vote and  
 700 those eligible to serve. For each college or collection of colleges where there is an election to  
 701 be held, the Graduate School Dean's office will send a list of those eligible to be elected to each  
 702 person eligible to vote, who will be invited to nominate an eligible person for the GC by a letter.  
 703 In addition, in each department (or college) that has a graduate program, the chair (or dean)  
 704 and the director of graduate studies will each be urged to submit a nomination by letter. The  
 705 Graduate School Dean's office shall check on the willingness of persons to serve and will get a  
 706 very brief biographical statement from each person nominated. If fewer than three persons are  
 707 nominated and are willing to serve from any college or collection of colleges, the Graduate  
 708 School Dean's office shall call a brief meeting of the directors of graduate study from the unit(s)  
 709 for the purpose of nominating additional persons to make a total of three. (In the event that  
 710 more than one person was to be elected from the unit, this group would meet if necessary to  
 711 pick nominees equal to three times the number to be elected.) [US: 1/18/88]  
 712

713 Once the nomination process has been completed, ballots will be sent out containing the  
 714 names of all those nominated. Each person must vote for as many candidates as there are  
 715 vacancies to be filled or their ballot will be disqualified. The person or persons receiving the  
 716 largest number of votes will be elected. [US: 1/18/88]  
 717  
 718  
 719  
 720  
 721

#### 722 **1.3.4 UNDERGRADUATE COUNCIL (UC)**

723 See SR 1.3.2 for general policies related to Senate's academic councils.  
 724  
 725

##### 726 **1.3.4.1 Charge**

727  
 728 The UC's responsibilities relative to courses and programs shall be as follows:  
 729

##### 730 **1.3.4.1.1 Courses, Programs, and Other Proposals**

731  
 732 The UC shall consider proposals for all courses numbered 100-499G, and provide  
 733 recommendations to the Graduate Council for all courses numbered 500-599. [US: 10/11/99] It  
 734 shall consider all proposed new undergraduate programs, changes in undergraduate programs,  
 735 including degree titles, from all colleges offering an undergraduate degree. Further, it shall  
 736 consider all changes in the University requirements. The UC may also be directed by the  
 737 Senate Council to review any proposal relevant to its charge. See SR 1.3.2.3 for academic  
 738 council procedures.  
 739  
 740  
 741  
 742

##### 743 **1.3.4.1.2 Extent of Authority**

744 The UC has final decision-making authority regarding the addition of new high school sites to an  
 745 in-effect, Senate-approved dual credit arrangement.  
 746

##### 747 **1.3.4.1.3 Composition**

##### 748 **1.3.4.1.4 Chair**

749 A tenured faculty member well-versed in curricular matters shall be appointed by the Senate  
 750 Council to chair the UC. [US: 11/13/2017]  
 751

752 **1.3.4.1.5 Voting Faculty Members**

753 The UC shall consist of fourteen regular full-time faculty members elected by and from the  
 754 faculties of colleges or parts of colleges offering an undergraduate degree, or Honors College  
 755 program credential as described below. (see SR 1.3.4.2.1). [US: 10/12/81; US:4/9/84; US:  
 756 4/14/86; US: 10/12/87]

- 757
- 758 • One member from the College of Agriculture, Food and Environment;
- 759
- 760 • One member from the combined areas of Humanities and Social Sciences in the
- 761 College of Arts and Sciences;
- 762
- 763 One member from the areas of Natural Sciences and Mathematics area in the College
- 764 of Arts and Sciences;
- 765
- 766 • One member from the Gatton College of Business and Economics;
- 767
- 768 • One member from College of Communication and Information;
- 769
- 770
- 771 • One member from the College of Design;
- 772
- 773 • One member from the College of Education;
- 774
- 775 • One member from the College of Engineering;
- 776
- 777 • One member from the College of Fine Arts;
- 778
- 779 • One member from the Graduate School
- 780
- 781 • One member from the College of Health Sciences;
- 782
- 783
- 784 • One member from the Lews Honors College;
- 785
- 786 • One member from the College of Nursing;
- 787
- 788 • One member from the College of Public Health; and
- 789
- 790 • One member from the College of Social Work;
- 791
- 792
- 793
- 794

795 **1.3.4.1.6 Voting Student Members**

796 There shall be two voting student members, who are from the colleges from which elected  
 797 faculty members are elected.

798

799 **1.3.4.1.7 Other Voting Members**

800 The UC shall have liaisons from the other two academic councils and the Senate Council, who  
 801 will serve as voting members.

- 802
- 803 • The UC chair shall contact the Graduate Council (GC) and request the GC identify a
- 804 member of that council to serve as a voting member of the UC.
- 805



- 806       • The UC chair shall contact the Health Care Colleges Council (HCCC) and request the  
807       HCCC identify a member of that council to serve as a voting member of the UC.  
808
- 809       • The UC chair shall contact the Senate Council (SC) and request the SC identify an  
810       individual to serve as the liaison from the SC, a voting member. The liaison from the SC  
811       is not necessarily from within the SC's membership.  
812       [US: 10/12/81; US: 4/9/84; US: 4/14/86; US: 10/12/87]  
813

#### 814       **1.3.4.1.8 Ex Officio Nonvoting Members**

815       The chair of the UC shall appoint an *ex officio* nonvoting member from the Registrar's Office  
816       selected by the Assistant Provost for Enrollment Management. [US: 4/14/97]  
817

#### 818       **1.3.4.2 Election**

819

820       Members of faculties of those colleges listed in SR 1.3.4.1.3 who are eligible to vote for and be  
821       elected to the University Senate shall be eligible to serve in and vote for all elected members of  
822       the UC. [US: 10/8/2001]  
823

824       \*

825

826       . [US: 10/12/87; US: 1/18/88; US: 4/23/2001]  
827

828       Individual colleges shall be responsible for administering their own elections. The Senate Rules  
829       and Elections Committee (SREC) will certify all aspects of the election, including voting  
830       procedures, number of open seats, and eligible membership.  
831

832       Colleges shall particularly solicit nominations from department Chairs and Directors of  
833       Undergraduate Studies and ensure those on the ballot are willing to serve. The person  
834       receiving the highest number of votes for a given vacancy will be elected. Ties will be decided  
835       by lot. The SREC will certify all election results. [US: 10/8/2001; US: 11/13/2017]

#### 836       **1.3.4.3 Senate Committee for Graduation Composition and Communication Requirement** 837       **(SCGCCR)**

838

839       The CGCCR is charged with oversight of the Graduation Composition and Communication  
840       Requirement (SR [Error! Reference source not found.](#)3). A permanent subcommittee reporting to t  
841       he Undergraduate Council, the SCGCCR is charged with establishing, publishing, and  
842       implementing the campus-wide policies and procedures for evaluating the academic  
843       components of the GCCR and their assessment. [US: 5/6/2013]  
844

#### 845       **1.3.4.3.1 Charge**

- 846       The CGCCR shall exercise the following functions [US: 11/13/2017]:  
847
- 848       1. It shall recommend procedures and guidelines for undergraduate degree programs to  
849       submit proposals for implementing the GCCR.  
850
  - 851       2. It shall evaluate proposals by programs for implementing the GCCR and forward  
852       recommendations for further action.  
853
  - 854       3. It shall develop and recommend a campus assessment plan for the GCCR and monitor  
855       the results of program-level assessments, in accordance with the SACSCOC  
856       reaffirmation of accreditation. The committee shall review and report on this data either  
857       periodically or as requested by the Senate Council.  
858
  - 859       4. It shall recommend policies for transfer equivalencies and for granting exceptions to the  
860       GCCR, and, if called upon by the Senate to do so, it shall broadly communicate these

861 policies to all undergraduate colleges (SR 9.19), the Registrar, and other appropriate  
862 parties.

863

864 5. Upon the recommendation of the Senate Council, or upon its own initiative, it shall  
865 consider and recommend necessary changes in the educational structure of the  
866 program or in its academic requirements. The GCCR committee may recommend  
867 changes for the GCCR to the Undergraduate Council or other appropriate University  
868 Senate channels for approval.

869

870 **1.3.4.3.2 Extent of Authority**

871 The CGCCR does not have any final decision-making authority.

872

873 **1.3.4.3.3 Composition**

874 The CGCCR is not required to be chaired by an elected faculty senator nor is it required to be  
875 composed of more than one-half of members who are elected faculty senators.

876

877 **1.3.4.3.3.1 Chair**

878 The Senate Council shall identify a chair from eligible faculty members who have appropriate  
879 expertise in communication and assessment

880

881 **1.3.4.3.3.2 Voting Faculty Members**

882 It shall be composed of at least eight voting members from the University faculty broadly  
883 representative of the undergraduate colleges (SR 9.20). Members should have appropriate  
884 expertise in communication and assessment and should collectively represent the three modes  
885 of communication (written, oral, and visual) comprising the GCCR. [US: 11/13/2017]

886

887 **1.3.4.3.3.3 Ex Officio Nonvoting Members**

888 There shall be three ex officio nonvoting members:

889

890 • The Associate Provost for Student and Academic Life (or designated representative);

891

892 • One representative from the Office of Assessment; and

893

894 • One representative from the University Registrar.

895

896 **1.3.5 HEALTH CARE COLLEGES COUNCIL (HCCC)**

897 See SR 1.3.2 for general policies related to Senate's academic councils.

898

899 The HCCC shall meet upon call of the HCCC chair. Six elected members or their alternates with  
900 a voting status for the purposes of the meeting shall constitute a quorum for the transaction of  
901 business.

902

903

904 [US: 5/9/2005]

905

906 **1.3.5.1 Charge**

907

908 The HCCC shall be responsible to the University Senate concerning academic matters related  
909 to the six health-care-related colleges: Dentistry, Health Sciences, Medicine, Nursing,  
910 Pharmacy, and Public Health.

911

912 **1.3.5.1.1 Courses, Programs, and Other Proposals**

913

914 It shall consider, (i) all proposed new courses and changes in courses offered in a professional  
 915 health care program (SR [Error! Reference source not found.](#)), or (ii) undergraduate or graduate c  
 916 ourses that involve students in health care practices that originate from a college represented  
 917 on the HCCC (SR [Error! Reference source not found.](#)).

918  
 919  
 920 It shall consider all proposals for new academic professional programs, changes in academic  
 921 professional programs, changes in professional degrees or degree titles, changes in the  
 922 admission or graduation requirements, and other academic issues concerning professional  
 923 health care programs that originate from a college represented on the HCCC. During review, it  
 924 shall ensure that the development of new programs is accompanied by appropriate modification  
 925 or discontinuation of old programs or courses in accordance with the *University Senate*  
 926 *Rules*. The HCCC may also be directed by the Senate Council to review any proposal relevant  
 927 to its charge. See SR 1.3.2.3 for academic council procedures.

928  
 929 **1.3.5.1.2 Extent of Authority**

930 The HCCC does not have any final decision-making authority.

931

932

933

934 **1.3.5.2 Composition**

935 **1.3.5.2.1 Chair**

936 On a rotating three-year basis, an associate dean from one of the health care colleges shall be  
 937 appointed by the Senate Council to serve as chair of the HCCC. The order of rotation is as  
 938 follows: Dentistry, Health Sciences, Medicine, Nursing, Pharmacy, and Public Health.

939

940 **1.3.5.2.2 Voting Regular Members and Alternates**

941 To be eligible for election, HCCC shall consist of the voting regular members and alternate  
 942 members described below. The elected faculty representatives shall be elected by the faculty of  
 943 each college. There shall be two regular members and two alternate members, with staggered  
 944 terms, from each of the colleges listed below.

945

- 946 • College of Dentistry;
- 947
- 948 • College of Health Sciences;
- 949
- 950 • College of Medicine;
- 951
- 952 • College of Nursing;
- 953
- 954 • College of Pharmacy; and
- 955
- 956 • College of Public Health.

957

958

959

960 Those eligible for election are regular, full-time faculty members of one of the six specified  
 961 college faculties and shall be limited to those college faculty members who are eligible to be  
 962 elected to the University Senate (see SR1.3.2.2). In addition, they must have been members of  
 963 a college faculty of one of the represented colleges in a full-time academic rank for a period of at  
 964 least two years.

965

966 Alternate members shall be notified of all meetings and shall have the privilege of attending all  
 967 meetings; they shall not vote, however, unless they are serving in place of regular members.

968 The alternates shall receive copies of minutes and other materials distributed to the HCCC.  
 969 When a regular member must be absent from a meeting, either alternate from their college can  
 970 be designated by the HCCC chair to serve as the voting member during that particular meeting.  
 971

#### 972 **1.3.5.2.3 Other Voting Members**

973 The HCCC shall also have liaisons from the other two academic councils and the Senate  
 974 Council, who will serve as voting members.

- 975
- 976 • The HCCC chair shall contact the Graduate Council (GC) and request the GC identify a  
 977 member of that council to serve as a voting member of the HCCC.
- 978
- 979 • The HCCC chair shall contact the Undergraduate Council (UC) and request the UC  
 980 identify a member of that council to serve as a voting member of the HCCC.
- 981
- 982 • The HCCC chair shall contact the Senate Council (SC) and request the SC identify an  
 983 individual to serve as the liaison from the SC, a voting member. The liaison from the SC  
 984 is not necessarily from within the SC's membership.
- 985
- 986

#### 987 **1.3.5.3 Election**

988  
 989 Colleges must elect both a regular member and an alternate. The Senate Rules and Elections  
 990 Committee (SREC) will certify all aspects of the election, including voting procedures, number of  
 991 open seats, and eligible membership.

### 992 **1.4. UNIVERSITY FACULTY SENATORS**

993  
 994  
 995  
 996  
 997 The body that is the elected University Faculty Senators (GR II B.5.b;GR IV.A), has through its  
 998 election by and from the University Faculty the representative authority to perform particular  
 999 functions that, by law (KRS 164.240), are reserved to the University Faculty (GR II.B.5.b; GR  
 1000 IV.B). These functions are as specified in SR **Error! Reference source not found.** items 8–11 a  
 1001 nd SR **5.5**. Recommendations from this body to the Board of Trustees will be submitted through  
 1002 the Chair of the Senate (the University President) (GR IV), who is also member of the University  
 1003 Faculty (GR III.A.2). The body that is comprised of University Faculty Senators is also the body  
 1004 authorized to vote for and elect nine (9) persons of its membership as representatives to the  
 1005 Senate Council (SR **1.3.1.2.1**).

1006  
 1007 In situations where the Board of Trustees or University President seeks the opinion of a directly  
 1008 elected University-level faculty body on a matter, as is sought from the elected Student  
 1009 Government Association concerning student opinion, or as is sought from the elected Staff  
 1010 Senate concerning staff opinion, the Senate Council Chair will solicit and transmit the opinion of  
 1011 the elected University Faculty Senators. If conditions preclude obtaining the opinion of the  
 1012 elected University Faculty Senators on the matter, the Senate Council Chair shall solicit and  
 1013 transmit the opinion of the Faculty Senators elected to the Senate Council. The body that is  
 1014 comprised of the University Faculty Senate Council Members is also the body authorized in  
 1015 certain situations to fill vacancies that arise in its membership (SR **1.3.1.2.1.4**).  
 1016

#### 1017 **1.4.1 STRUCTURE OF UNIVERSITY SENATE COMMITTEES**

1018  
 1019 [US: 2/13/89, US: 2/3/2003; 5/2/2022]  
 1020  
 1021

1022 **1.4.1.1 Types of Senate Committees**

1023 The University Senate may perform its functions directly, through the Senate Council, through  
 1024 standing committees, or through special committees of the Senate. The Senate may also  
 1025 establish advisory committees and may delegate any of its authority or responsibility to the  
 1026 Graduate School or the faculties of colleges, schools, departments, centers, and institutes. (GR  
 1027 IV.B).

1028  
 1029 For courses homed outside of a college, the Senate designates the different faculty committees  
 1030 responsible for each of those courses in a manner similar to educational unit faculty. Those  
 1031 committees shall annually report to the Senate Council on the academic status and operation of  
 1032 their courses.

1033  
 1034 The University Senate shall have three types of committees.

- 1035  
 1036 1. Standing committees of the Senate responsible only to that body;  
 1037 2. (3) Special committees (hereafter referred to as *ad hoc* committees); and  
 1038 3. Advisory committees responsible in an advisory capacity to the President and/or other  
 1039 administrative officers and to the Senate.

1040 Committees are composed of elected faculty senators and other members of the University  
 1041 Senate, as well as students, faculty who are not senators, and administrative staff. All student  
 1042 members of Senate committees are assumed to be recommended by the Student Government  
 1043 Association unless indicated otherwise.

1044

1045 **1.4.1.1.1 Standing Committees**

1046 The Senate Council shall determine the chair and number of members for each standing  
 1047 committee, within the specifications of the Senate for a particular committee. The terms of office  
 1048 for elected faculty senators on standing committees shall generally be for three years. Terms of  
 1049 office for student members and nonsenators shall be for one year. Terms begin on August 16 of  
 1050 each year. The Senate Council shall compose standing committees so that terms are staggered  
 1051 to provide approximately a one-third change in membership each year.

1052

1053 The Senate Council Chair is an ex officio voting member of all Senate standing committees.

1054

1055

1056 \*

1057

1058 **1.4.1.1.1.1 Composition**

1059 Unless described otherwise in a committee's description, the committee chair and at least one-  
 1060 half of the members of the committee shall be elected faculty senators.

1061 **1.4.1.1.1.1.1 Membership Requirements**

1062 Pursuant to Administrative Regulations on tenure-ineligible title series and on faculty members  
 1063 with certain administrative appointments, the following terms apply.

- 1064 (1) Tenured faculty employees who have been appointed to an administrative position at or  
 1065 above the level of department chair are not eligible to participate in the election of faculty  
 1066 representatives, or serve as the elected faculty representative, to faculty governance  
 1067 bodies above the college level (e.g., Board of Trustees, University Senate, Graduate  
 1068 Council, Undergraduate Council, and Health Care Colleges Council) (see HRPP 4.0;  
 1069 GR.II.B.2.b.(2)); and  
 1070 (2) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for  
 1071 regularly assigned university-level service activities (including the Senate), except that  
 1072 the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be  
 1073 eligible to vote for representation, and stand for election, specifically to the University  
 1074 Senate, by extending College Faculty membership to that faculty series (to be  
 1075 documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details). [SREC:  
 1076 4/15/2011]

1077

**1.4.1.1.1.2 Emeritus Faculty**

1078 Emeritus faculty are eligible for appointment to Senate committees as long as other committee-  
1079 specific requirements for committee composition are also met. [SREC: 2/5/2020]  
1080

1081

**1.4.1.1.1.3 Ex Officio Members**

1082 Ex officio members are appointed by the Senate Council to represent a particular office or area  
1083 to enhance the effectiveness of the committee's deliberations. Ex officio members shall be  
1084 nominated by the office they represent.  
1085

1086

1087 Ex officio members may be voting or nonvoting, but the position is nonvoting unless indicated  
1088 otherwise.  
1089

1090

**1.4.1.1.1.4 Student Members**

1091 Student members shall be nominated by the Student Government Association.  
1092

1093

1094

1095

**1.4.1.1.2 Advisory Committees**

1096 Appointment to advisory committees shall be made by the Chair of the Senate (the President)  
1097 after consultation with the Senate Council.  
1098

1099

1100 After consultation with the Student Government Association and other appropriate student  
1101 groups, the Senate Council may recommend to the President that students be appointed to an  
1102 advisory committee of the Senate. Similarly, the Senate Council may consult with the elected  
1103 Faculty Senators, or the *ex officio* Senators, concerning the membership of faculty employees or  
1104 administrative staff employees to such advisory committees.  
1105

1106

1107

**1.4.1.1.3 Special ("Ad Hoc") Committees**

1108 Prior to its own action on an issue, the Senate Council normally shall refer all issues to  
1109 appropriate standing committees unless an issue arises that is not clearly within the jurisdiction  
1110 of one of the committees, or unless an issue demands such immediate attention that the  
1111 appropriate committee, in the view of its chair, would be unable to report on it in due time. If  
1112 either of these two situations arise, the Senate Council may appoint an ad hoc committee  
1113 to address academic and other issues facing the University. These committees expire not later  
1114 than one year after their appointment unless the Senate Council acts to renew the committee's  
1115 existence for another year. [US: 10/12/81] [4/14/86]  
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**1.4.1.2 Vacancies**

Appointments to fill committee vacancies shall be made in the same manner as appointments for  
regular terms. Members shall serve until the expiration of their terms or until their successors are  
appointed.

**1.4.1.3 Procedures**

Acting within their charges, Senate committees shall review and report to the Senate Council  
upon issues that bear on the functions of the Senate prior to their submission to the Senate.

1131  
 1132 A Senate committee shall act on proposals through established Senate processes (SR 3.1 and  
 1133 SR 3.2) in either of the following ways;

- 1134  
 1135 1. Forward a positive recommendation to the next procedural Senate level that is  
 1136 prescribed in SR 3:1 or SR 3.2; or  
 1137  
 1138 2. Forward a negative recommendation to the Senate Council.

1139  
 1140 If the committee submits a negative recommendation to Senate Council, then the Senate  
 1141 Council shall:

- 1142  
 1143 i) Forward the proposal to the next prescribed procedural level as described in SR  
 1144 3.1 and SR 3.2, or  
 1145  
 1146 ii) Return the proposal to the committee with particular instructions, or  
 1147  
 1148 iii) Decide based on the merits of the proposal that the proposal is not appropriate for  
 1149 Senate action and report the same to Senate at its next meeting.

1150  
 1151 Unless otherwise specified, items for potential consideration by University Senate committees,  
 1152 which are suggested by other than the Senate or Senate Council, reach the respective  
 1153 committees through the office of the Senate Council.

1154  
 1155 **1.4.1.3.1 Subcommittees**

1156 The chairs of Senate standing committees may appoint ad hoc committees and must select their  
 1157 members in consultation with the Senate Council Chair. Such a subcommittee must be chaired  
 1158 by a member of the parent committee.

1159  
 1160  
 1161  
 1162 **1.4.1.3.2 Reporting to the Senate**

1163 Standing committees of the Senate shall have the privilege of presenting reports to the Senate,  
 1164 subsequent to review by the Senate Council. If the Senate Council fails to present a committee  
 1165 report for action during three regular Senate meetings after it has been submitted to the Senate  
 1166 Council, the committee may bring its report directly to the floor for action by the Senate at any  
 1167 subsequent meeting, provided that the report has been appropriately circulated in advance. In  
 1168 such circumstance, the committee chair must submit a formal request to the Senate Council  
 1169 office at least two weeks prior to the Senate meeting to request that the item be placed on that  
 1170 Senate meeting's agenda.

1171  
 1172 **1.4.1.3.3 Recordkeeping**

1173 Standing, ad hoc and advisory committees shall record minutes of actions taken at all meetings.  
 1174 These minutes shall be available not later than one week after the end of the next meeting. The  
 1175 committee chair shall be responsible for forwarding copies of the minutes to the Senate Council  
 1176 at the end of each academic year. These minutes may be in addition to or may substitute for the  
 1177 committee's annual report, at the discretion of the Senate Council. Committees that did not meet  
 1178 or conduct any business during the academic year shall forward to the Senate Council a report  
 1179 to that effect. [US: 2/3/2003]

1180  
 1181 **1.4.2 LIST OF STANDING COMMITTEES**

1182  
 1183 **1.4.2.1 Senate Nominating Committee**



1184 **1.4.2.1.1 Charge**

1185 The SNC is responsible for topics related to nominations for committee membership.  
 1186 Specifically, the SNC shall review and offer recommendations on: requests for faculty  
 1187 representatives, considering all aspects of a nominee (race, gender, ethnicity, unit affiliation,  
 1188 discipline, tenure status, rank, administrative position, previous service to the Senate, etc.) and  
 1189 the purpose of the committee for which the nominee was requested; policies to promote diverse  
 1190 memberships; and any other similar topic assigned to it.  
 1191

1192 **1.4.2.1.1.1 Extent of Authority**

1193 The SNC does not have any final decision-making authority.  
 1194

1195 **1.4.2.1.2 Composition**

1196 The SNC shall be composed of a sufficient number of elected faculty senators to conduct  
 1197 business. (see SR 1.4.1.1.2)  
 1198  
 1199

1200 **1.4.2.1.3 Senate Calendar Committee (SCC)**

1201 **1.4.2.1.3.1 Charge**

1202 The SCC is responsible for topics related to the Academic Calendar. Specifically, the SCC shall  
 1203 review and offer recommendations for action on: the Academic Calendars submitted by the  
 1204 Registrar <insert SR Section 2 reference>; program- and course- specific requests for calendars  
 1205 that deviate from the Academic Calendar; issues related to closures, academic holidays, and  
 1206 waivers for academic holidays; and any other similar topic assigned to it.  
 1207

1208 **1.4.2.1.3.1.1 Extent of Authority**

1209 The SCC does not have any final decision-making authority.  
 1210

1211 **1.4.2.1.3.2 Composition**

1212 The SCC shall be composed of voting faculty members, a voting student member, and ex officio  
 1213 nonvoting members.  
 1214

1215 **1.4.2.1.3.2.1 Chair**

1216 The chair shall be one of the voting faculty members.  
 1217

1218 **1.4.2.1.3.2.2 Voting Faculty Membership**

1219 The SCC shall be composed of a sufficient number of elected faculty senators to conduct  
 1220 business.  
 1221

1222 **1.4.2.1.3.2.3 Voting Student Members**

1223 There shall be one student member.  
 1224

1225 **1.4.2.1.3.2.4 Ex Officio Nonvoting Members**

1226 There shall be two ex officio nonvoting members.  
 1227

1228 • One representative of the Provost's office that is responsible for preparing academic  
 1229 calendars, nominated by the Provost; and

1230

1231 • One representative of the President nominated by the President.

1232

1233

1234 **1.4.2.1.4 Senate Faculty Affairs Committee (SFAC)**

1235 **1.4.2.1.4.1 Charge**

1236 The SFAC is responsible for topics and policies related to faculty employment and promotion of  
 1237 the vitality of the University's faculty. Specifically, the SFAC shall review and recommend action  
 1238 on issues related to: performance reviews and standards for evaluation; promotion and tenure;  
 1239 employee benefits; work-life matters; recruitment and retention; issues raised by the Senate  
 1240 Advisory Committee on Privilege and Tenure; and any other similar topic assigned to it.

1241

1242 **1.4.2.1.4.1.1 Extent of Authority**

1243 The SFAC does not have any final decision-making authority.

1244

1245 **1.4.2.1.4.2 Composition**

1246 The SFAC shall be composed of voting faculty members and nonvoting ex officio members.

1247

1248 **1.4.2.1.4.2.1 Chair**

1249 The chair shall be one of the voting faculty members.

1250

1251 **1.4.2.1.4.2.2 Voting Faculty Members**

1252 The SFAC shall be composed of a sufficient number of elected faculty senators to conduct  
 1253 business.

1254

1255 **1.4.2.1.4.2.3 Nonvoting Ex Officio Members**

1256 There shall be two nonvoting ex officio members:

1257

- One representative of the Provost nominated by the Provost; and

1258

- One representative of the President nominated by the President.

1259

1260

1261

1262

1263 **1.4.2.2 Senate Rules and Elections Committee (SREC)**

1264 **1.4.2.2.1 Charge**

1265 The SREC is charged with codifying, making editorial changes in, and interpreting, the *Rules* of  
 1266 the Senate, at the direction or with the approval of either the Senate Council or the Senate. It  
 1267 shall be responsible for initiating any changes in the *Rules* concerning the organization of the  
 1268 Senate (SR 1). It shall also evaluate and revise any section of the *Rules* where necessary to  
 1269 eliminate inconsistencies, clarify confusing statements, and note omissions, and may initiate and  
 1270 suggest to the Senate Council any necessary modification in the *Rules*.

1271

1272 The SREC shall certify faculty member eligibility in elections of Faculty Trustees, and in  
 1273 elections of University Faculty representatives to the Senate, to the Senate Council, to the  
 1274 academic councils, and to a Presidential Search Committee. In addition, the SREC shall  
 1275 recommend election policies and procedures to the Senate Council.

1276

1277 **1.4.2.2.1.1 Extent of Authority**

1278 The SREC has final decision-making authority regarding: codifying, making editorial changes in,  
 1279 and interpreting the Senate Rules, at the direction of or with the approval of either the Senate  
 1280 Council or the Senate; evaluating and revising any section of the *Rules* where necessary to  
 1281 eliminate inconsistencies, clarify confusing statements, and note omissions; and certifying  
 1282 faculty eligibility in elections.

1283

1284 **1.4.2.2.2 Composition**

1285 The SREC shall be composed of a sufficient number of elected faculty senators to conduct  
 1286 business. (see SR 1.4.1.1.2)

1287

1288

1289 **1.4.2.3 Senate Admissions and Academic Standards Committee (SAASC)**1290 **1.4.2.3.1 Charge**

1291 The SAASC is charged to examine and recommend to the University Senate changes: in the  
 1292 admission requirements and grading rules; standards for granting academic credit; probation  
 1293 and suspension procedures; and degree and graduation requirements. Generally, the SAASC  
 1294 shall review Sections IV and V of the *Senate Rules* but may consider other related areas. It shall  
 1295 also make recommendations regarding significant changes to programs <insert SR reference to  
 1296 significant changes>. Recommendations by the SAASC on conditions of merit and circumstance  
 1297 for (1) graduation requirements, (2) honors with degrees that are conferred to graduating  
 1298 students (SR [Error! Reference source not found.](#)) and (3) Honorary Degrees conferred to others (  
 1299 SR [Error! Reference source not found.](#)), shall be acted upon by the elected University Faculty  
 1300 Senators, as per KRS 164.240.

1301

1302 **1.4.2.3.1.1 Extent of Authority**

1303 The SAASC does not have any final decision-making authority.

1304

1305 **1.4.2.3.2 Composition**

1306 The SAASC shall be composed of a sufficient number of elected faculty senators to conduct  
 1307 business. (see SR 1.4.1.1.2)

1308

1309

1310 **1.4.2.4 Senate Academic Facilities Committee (SAFC)**1311 **1.4.2.4.1 Charge**

1312 The SAFC is charged with the responsibility of providing information and recommendations  
 1313 about the alteration, construction, and allocation of all property and physical facilities that may  
 1314 affect the educational objectives of the University. In this regard, it shall be concerned about  
 1315 such matters as classrooms, buildings and grounds, shops and other such real property, audio-  
 1316 visual and television equipment, computers, duplication and printing facilities, vehicle pools, and  
 1317 scientific and musical instruments. The SAFC shall act in these ways:

1318

1319 1. Serve the administration as a source of faculty information and opinion about the need,  
 1320 design, and priority and construction or renovation projects.

1321

1322 2. Inform the Senate at least annually about problems relating to the alteration,  
 1323 construction, or allocation of academic facilities and about future plans and priorities for  
 1324 them. Whenever necessary, the SAFC may initiate action by preparing a  
 1325 recommendation to the administration, which should be routed through the Senate  
 1326 Council for Senate review.

1327

1328

1329 3. Maintain communication with the appropriate administrators about the current status and  
 1330 utilization of academic facilities. [US: 10/12/81]

1331

1332

1333 4. Study the use, renovation, and need for space (including classrooms) and equipment  
 1334 relevant to academic programs and functions. [US: 3/12/84]

1335

1336 **1.4.2.4.1.1 Extent of Authority**

1337 The SAFC does not have any final decision-making authority.

1338

1339 **1.4.2.4.2 Composition**

1340 The SAASC shall be shall be composed of a sufficient number of elected faculty senators to  
 1341 conduct business. (see SR 1.4.1.1.2)

1342

1343

1344 **1.4.2.5 Senate Library Committee (SLC)**1345 **1.4.2.5.1 Charge**

1346 The SLC is charged with the responsibility for recommending to the University Senate policies to  
 1347 promote the educational interests of the University as a whole with respect to the Libraries, the  
 1348 faculty body of which is equivalent to the faculty of a college (GR VII.C.2). The SLC is  
 1349 responsible for consultation and advising with faculty of the Libraries or the Dean of Libraries, on  
 1350 such matters as are referred to it by the by the Libraries faculty, by the Dean, or by other  
 1351 University personnel, which pertain to improving the effectiveness of the Libraries as a part of  
 1352 the broad academic program of the University of Kentucky.

1353

1354 **1.4.2.5.1.1 Extent of Authority**

1355 The SLC does not have any final decision-making authority.

1356

1357 **1.4.2.5.2 Composition**

1358 The SLC shall be composed of a sufficient number of elected faculty senators to conduct  
 1359 business. (see SR 1.4.1.1.2)

1360

1361 **1.4.2.6 Senate Research and Graduate Education Committee (SRGEC)**1362 **1.4.2.6.1 Charge**

1363 The SRGEC shall be responsible for reviewing University research policies and their  
 1364 implementation. It shall also be responsible for reviewing graduate education policies and their  
 1365 implementation. In addition, it shall make recommendations regarding those policies and the  
 1366 priorities for them. [US: 9/9/2013]

1367

1368 \* Graduate education includes postdoctoral scholars and postdoctoral fellows [SREC:  
 1369 12/17/2013].

1370

1371 **1.4.2.6.1.1 Extent of Authority**

1372 The SRGEC does not have any final decision-making authority.

1373

1374 **1.4.2.6.2 Composition**

1375 The SRGEC shall be shall be composed of a sufficient number of elected faculty senators to  
 1376 conduct business. (see SR 1.4.1.1.2)

1377

1378 **1.4.2.7 Senate Academic Programs (SAPC)**1379 **1.4.2.7.1 Charge**

1380 The SAPC is charged with recommending action to the Senate on all new academic programs  
 1381 and significant program changes (SR [Error! Reference source not found.](#)). Specifically, the S  
 1382 APC shall review the academic excellence, need, impact, and desirability of the academic  
 1383 program. In recommending approval of a program, the Committee may recommend a priority to  
 1384 indicate its importance and the immediacy with which it should be implemented. [US: 2/12/2018]

1385

1386 It is the policy of the University Senate to adopt and utilize the definitions of the Council on  
 1387 Postsecondary Education that distinguish different types of degree programs. [US: 2/12/2018]

1388

1389 **1.4.2.7.1.1 Extent of Authority**

1390 The SAPC does not have any final decision-making authority.

1391

1392 **1.4.2.7.2 Composition**

1393 The SAPC shall be shall be composed of a sufficient number of elected faculty senators to  
 1394 conduct business. (see SR 1.4.1.1.2)

1395

**1.4.2.8 Senate Academic Planning and Priorities Committee (SAPPC)****1.4.2.8.1 Charge**

1398 The SAPPC is charged with concern over major, broad, long-range plans and priorities. It shall:

1399

1400 1. Identify major academic problems likely to be faced by the University in the foreseeable  
1401 future;

1402

1403 2. Formulate and recommend to the Senate plausible academic goals for the institution;

1404

1405

1406 3. Develop procedures and criteria for recommending academic priorities;

1407

1408

1409 4. Recommend to the Senate institutional policies that recognize academic priorities and  
1410 goals, as well as assess the progress of the institution toward its goals and report  
1411 periodically to the Senate;

1412

1413

1414 5. Recommend to the Senate a means for increasing the University's effectiveness in  
1415 establishing and implementing its academic policies; and

1416

1417

1418 6. Serve the Senate and the administration as a source of faculty information and opinion  
1419 concerning academic planning and priorities. [US: 4/10/89]

1420

**1.4.2.8.1.1 Extent of Authority**

1422 The SAPPC does not have any final decision-making authority.

1423

**1.4.2.8.2 Composition**

1425 The SAPPC shall be shall be composed of a sufficient number of elected faculty senators to  
1426 conduct business. (see SR 1.4.1.1.2)

1427

**1.4.2.9 Senate Academic Organization and Structure Committee (SAOSC)****1.4.2.9.1 Charge**

1430 The SAOSC is charged to:

1431

1432 1. review and recommend to the University Senate priorities on all proposals for new  
1433 educational units (colleges, schools, departments, graduate centers, multidisciplinary  
1434 research centers and institutes; interdisciplinary instructional programs)

1435

1436 2. review and recommend Senate action on all proposals for abolishment or merger of  
1437 existing educational units;

1438

1439 3. review and recommend Senate action on all proposals for major changes in organization  
1440 and structure of educational units, including but not limited to suspension of admissions  
1441 and closure of a degree or certificate

1442

1443

1444 4. make appropriate recommendations to the University Senate (and through the Senate to  
1445 the President and/or Provost) regarding creation, abolition or alterations in organization  
1446 or structure, or reporting relationships, of educational units throughout the University.

1447

1448 5. Study and report to the Senate on matters pertaining to faculty size and strength, and  
 1449 student enrollment. [US: 3/12/84]  
 1450

1451 **1.4.2.9.1.1 Extent of Authority**

1452 The SAOSC does not have any final decision-making authority.  
 1453

1454 **1.4.2.9.2 Composition**

1455 The SAOSC shall be shall be composed of a sufficient number of elected faculty senators to  
 1456 conduct business. (see SR 1.4.1.1.2)  
 1457  
 1458

1459 **1.4.2.10 Senate Reinstatement Committee (SRIC)**

1460 **1.4.2.10.1 Charge**

1461 The SRIC is a permanent subcommittee of the Senate Council. It makes final decisions on  
 1462 cases of students who have been academically suspended from the University a second time,  
 1463 whose readmission is dependent on the recommendation of the dean of the college in which the  
 1464 student plans to enroll and who have petitioned the Senate Council for readmission as per SR  
 1465 [Error! Reference source not found.](#).  
 1466

1467 **1.4.2.10.1.1 Extent of Authority**

1468 The SRIC has final decision-making authority regarding whether or not to readmit a student who  
 1469 has been academically suspended twice from the University.  
 1470

1471 **1.4.2.10.2 Composition**

1472 The SRIC shall consist of two voting faculty members and one voting student member.  
 1473

1474 The composition is as described in 1.4.2.10.2.2 and 1.4.2.10.2.3 unless the Senate Council votes  
 1475 to name a different specific subset of not less than three of its members to constitute the  
 1476 committee. If so, if the Senate Council seeks to designate not more than one student member of  
 1477 the Senate Council as an SRIC member, but no student Senate Council member is available,  
 1478 then a student member shall be nominated to the Senate Council by the Student Government  
 1479 Association.  
 1480

1481 **1.4.2.10.2.1 Chair**

1482 The SRIC is chaired by the Senate Council Chair.  
 1483

1484 **1.4.2.10.2.2 Voting Members**

1485 The voting faculty members are the SC Chair and the SC Vice Chair,  
 1486

1487 **1.4.2.10.2.3 Voting Student Member**

1488 The voting student member is the Student Government Association President.  
 1489

1489 **1.4.2.11 Senate Committee on Committees (SCC)**

1490 **1.4.2.11.1 Charge**

1491 The SCC provides current Senate committee chairs with information and guidance regarding  
 1492 best practices for committee chairs. The SCC shall meet in the fall semester to help orient and  
 1493 acclimate Senate's committee chairs. The SCC shall meet in the spring semester to review  
 1494 committee activities for the past year and shall report on these activities to the SC prior to the  
 1495 end of the academic year. [US: 10/9/2017]  
 1496

1497 **1.4.2.11.1.1 Extent of Authority**

1498 The SCC does not have any final decision-making authority.  
 1499

1500 **1.4.2.11.2 Composition**

1501 The SCC shall be composed of the Senate Council Vice Chair and the members described in  
1502 1.4.2.11.3.1, 1.4.2.11.3.2, and 1.4.2.11.3.3, below.

1503

1504 **1.4.2.11.2.1 Chair**

1505 The Senate Council Vice Chair shall chair the SCC.

1506

1507 **1.4.2.11.2.2 Voting Faculty Membership**

1508 The voting members of the SCC are the chairs of Senate's standing committees, the chairs of  
1509 Senate's advisory committees, and the Senate Council Chair

1510

1511 **1.4.2.11.2.3 Ex Officio Nonvoting Membership**

1512 The immediate past chairs of Senate standing, advisory, and ad hoc committees shall serve as  
1513 *ex officio* nonvoting members. (For purposes of this rule, the phrase "immediate past chair"  
1514 means the person who served as chair of the committee during the preceding year.)

1515

1516

1517 **1.4.2.12 Senate Advisory Committee on Appointment, Promotion and Tenure (SACAPT)**

1518 **1.4.2.12.1 Charge**

1519 The SACAPT is responsible for advising the Senate Council on policy matters pertaining to  
1520 appointment, promotion, and tenure. [SREC: 1/31/2017]

1521

1522 **1.4.2.12.1.1 Extent of Authority**

1523 The SACAPT does not have any final decision-making authority.

1524

1525 **1.4.2.12.2 Composition**

1526 The SACAPT is not required to be chaired by an elected faculty senator or composed of more  
1527 than one-half of members who are elected faculty senators.

1528

1529 **1.4.2.12.2.1 Voting Faculty Members**

1530 The SACAPT is composed of the chairs of Academic Area Advisory Committees.

1531

1532 **1.4.2.13 Senate Committee on Distance Learning and eLearning (SCDLeL)**

1533

1534 [US: 12/9/2013; US: 12/12/2016]

1535 **1.4.2.13.1 Charge**

1536 The SCDLeL shall identify, assess and recommend policies related to distance learning and  
1537 eLearning . Specifically, activities of the SCDLeL shall include the following areas:

1538

1539 1. Identify issues related to distance learning and eLearning and recommend policies.

1540

1541 2. Monitor and respond to the University Senate concerning SACSCOC, state and federal  
1542 regulations related to distance learning and eLearning.

1543

1544

1545 3. Recommend strategies for dissemination of distance learning and eLearning issues and  
1546 policies.

1547

1548

1549 4. Recommend strategies for effective implementation of distance learning and eLearning.

1550

1551

1552 5. Collaborate with other UK groups/committees on issues related to distance learning and  
1553 eLearning as appropriate.



1554

**1.4.2.13.1.1 Extent of Authority**

1555 The SCDLeL does not have any final decision-making authority.  
1556  
1557

**1.4.2.13.2 Composition**

1558 The SCDLeL is not required to be chaired by an elected faculty senator nor is it required to be  
1559 composed of more than one-half of members who are elected faculty senators.  
1560  
1561

**1.4.2.13.2.1 Chair**

1562 The chair shall be one of the voting faculty members.  
1563  
1564

**1.4.2.13.2.2 Voting Faculty Members**

1565 The SCDLeL shall be shall be composed of a sufficient number of elected faculty senators to  
1566 conduct business. (see SR 1.4.1.1.2) The voting faculty membership of the committee shall be  
1567 generally representative of the colleges with active distance learning or eLearning programs.  
1568  
1569

**1.4.2.13.2.3 Voting Student Members**

1570 There shall be two voting student members who must be enrolled in online courses or have  
1571 experience with online courses:  
1572  
1573

- 1574 • One undergraduate student; and
- 1575 • One graduate student..
- 1576
- 1577

**1.4.2.13.2.4 Ex Officio Nonvoting Members**

1578 There shall be four ex officio nonvoting members:  
1579  
1580

- 1581 • One member nominated by the department or office responsible for enhancing learning  
1582 and teaching (if applicable);
- 1583
- 1584 • One member nominated by the department or office responsible for distance learning  
1585 programs;
- 1586
- 1587 • One member nominated by the department or office responsible for information  
1588 technology; and
- 1589
- 1590 • One member nominated by the department or office responsible for addressing  
1591 accessibility issues.
- 1592
- 1593
- 1594
- 1595
- 1596

1597 NOTE: For the purposes of this rule, the following two definitions shall apply:  
1598

1599 Distance Learning: A formal educational process in which the majority of the instruction  
1600 (interaction between students and instructors and among students) in a course occurs when  
1601 students and instructors are not in the same physical location. Instruction may be synchronous  
1602 or asynchronous.  
1603

1604 eLearning: A broad combination of processes, content, and infrastructure to use computers and  
1605 networks to scale or improve one or more significant parts of a learning value chain, including  
1606 management and delivery.  
1607

1608 **1.4.2.14 Senate Institutional Finances and Resources Allocation Committee (SIFRAC)**

1609

1610 [US: 2/8/2010]

1611 The SIFRAC is a joint endeavor of the Staff Senate and University Senate.

1612

1613 **1.4.2.14.1 Charge**

1614

1615 The purpose of the Senate's Institutional Finances and Resource Allocation Committee  
1616 (SIFRAC) shall be to function in an advisory capacity and inform the Staff Senate and University  
1617 Senate on the present status of the prospective changes in the finances and other resources  
1618 available to the University.

1619

1620 The SIFRAC shall analyze public budget documents, published reports about financial and other  
1621 trends; it shall routinely solicit an informational session by a University financial officer on annual  
1622 budget proposals and prospective intraacademic year budget cuts or modifications prior to their  
1623 submission on the Board of Trustees.

1624

1625 SIFRAC may receive specified directions from the University Senate and Staff Senate chairs  
1626 jointly on items relative to the current fiscal situation.

1627

1628 SIFRAC shall meet at least once a semester with the Vice President of Planning, Budget and  
1629 Policy, and it shall meet as many times as deemed necessary by SIFRAC.

1630

1631 **1.4.2.14.1.1 SIFRAC shall submit an annual report. Reports of the minority are also**  
1632 **acceptable. Extent of Authority**

1633 The SIFRAC does not have any final decision-making authority.

1634

1635 **1.4.2.14.2 Composition**

1636 The SIFRAC is not required to be chaired by an elected faculty senator nor is it required to be  
1637 composed of more than one-half of members who are elected faculty senators. SIFRAC  
1638 members optimally shall have financial and budgetary expertise relevant to University finances.

1639

1640 **1.4.2.14.2.1 Chair**

1641 A Staff Senate member will preside as chair.

1642

1643 **1.4.2.14.2.2 Voting Faculty Members**

1644 The committee shall include three voting faculty members.

1645

1646 **1.4.2.14.2.3 Voting Staff Members**

1647 The committee shall include three voting staff members identified by the Staff Senate, in addition  
1648 to the chair.

1649

1650

1651

1652

1653 **1.4.2.15 Senate UK Core Education Committee (SUKCEC)**

1654

1655 [US: 2/3/86; 4/14/86; 10/12/87; 4/23/2001; 5/6/2013]

1656 "Program" refers to the UK Core (general education) program.

1657

1658 **1.4.2.15.1 Charge**

1659 [US: 11/13/2017; 5/2/2022]

1660

1661 The SUKCEC shall exercise the following functions:

- 1662  
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1699
1. It shall recommend to the Senate Council procedures and guidelines for designing and submitting course proposals for implementing the program.
  2. It shall recommend to the Senate Council policies by which courses may receive UK Core designation.
  3. If called upon by Senate to do, it shall also broadly communicate these policies to all undergraduate colleges. (see SR 9.19<insert link>)
  4. It shall recommend to the Senate Council all courses which are proposed to the University Senate to fulfill the program requirements.
  5. It shall maintain long-term oversight of the program, including periodic course review and program assessment to ensure that the program fulfills the learning outcomes.
  6. It shall recommend to the Senate Council the deletion of courses (or pairs of courses) from the program that no longer seem appropriate to the program, and recommend to colleges or departments, through the Chair, such changes concerning teaching and content as it deems necessary or appropriate.
  7. It shall continue to work to enhance the program and assert the program's centrality to the undergraduate curriculum through involvement in university-wide planning and policy discussions related to the program.
  8. Upon the recommendation of the Undergraduate Council or upon its own initiative, it shall develop and propose changes in the structure of the program or in the requirements necessary to complete the program to the Undergraduate Council, for recommendation to the Senate Council and approval by the University Senate.
  9. It shall report individual cases of temporary waivers of or temporary substitutions for program requirements to the Undergraduate Council.
  10. It shall set policies for the granting of credit to transfer students for courses taken which are equivalent to those in the program and it shall communicate these policies to all undergraduate colleges (SR 9.19) on campus.

1700 **1.4.2.15.2 Waivers**

1701  
1702 All waivers of or substitutions for program requirements for particular categories of students, if  
1703 approved or disapproved by the Committee, shall be submitted to the Senate Council (SC) for its  
1704 approval by the Senate. The SC's approval of temporary waivers of, or substitutions for, program  
1705 requirements for particular categories of students shall be final.  
1706

1707 **1.4.2.15.2.1 Extent of Authority**

1708 The SUKCEC has final decision-making authority regarding: individual student requests for Core  
1709 course exceptions and/or waivers; and individual student appeals if a UK Core course exception  
1710 and/or waiver is initially denied.  
1711

1712 **1.4.2.15.3 Composition**

1713 The SUKCEC is not required to be chaired by an elected faculty senator nor is it required to be  
1714 composed of more than one-half of members who are elected faculty senators.  
1715

1716 **1.4.2.15.3.1 Chair**

1717 The SUKCEC chair shall be a tenured faculty member appointed by the Senate Council. The  
 1718 chair is a voting member however the chair shall not have a vote except in cases of ties.  
 1719

1720 **1.4.2.15.3.2 Voting Faculty Members**

1721 The University Faculty members on SUKCEC shall be appointed by the Senate Council after a  
 1722 campuswide solicitation of nominations from the University Faculty. The SUKCEC shall be  
 1723 composed of twelve (12) voting members.

- 1724
- 1725 • One member from the College of Arts & Sciences for the area of Composition and  
 1726 Communication;
  - 1727
  - 1728 • One member from the College of Communication and Information for the area of  
 1729 Composition and Communication;
  - 1730
  - 1731 • One member from the area of Intellectual Inquiry – Arts & Creativity;
  - 1732
  - 1733 • One member from the area of Intellectual Inquiry – Humanities;
  - 1734
  - 1735 • One member from the area of Intellectual Inquiry – Natural/Physical/Mathematical  
 1736 Sciences;
  - 1737
  - 1738 • One member from the area of Intellectual Inquiry – Social Sciences;
  - 1739
  - 1740 • One member from the area of Citizenship – Community, Culture and Citizenship in the  
 1741 USA;
  - 1742
  - 1743 • One member from the area of Citizenship – Global Dynamics;
  - 1744
  - 1745 • One member from the area of Statistical Inferential Reasoning; and
  - 1746
  - 1747 • One member from the area of Quantitative Foundations.
  - 1748

1749 **1.4.2.15.3.3 Voting Student Members**

1750 There shall be two voting student members.

1751

1752 **1.4.2.15.3.4 Ex Officio Nonvoting Members**

1753 There shall be five nonvoting ex officio members:

1754

- 1755 • One member from the Office of Assessment;
- 1756
- 1757 • One member from Enrollment Management;
- 1758
- 1759 • One member from Student and Academic Life;
- 1760
- 1761 • One member from University Libraries; and
- 1762
- 1763 • One member from the Center for the Enhancement of Learning and Teaching.
- 1764
- 1765

1766 **1.4.2.16 Senate Admissions Advisory Committee (SAAC)**

1767

1768 [US: 11/12/90]

1769 **1.4.2.16.1 Charge**

1770 The SAAC is responsible for recommending admissions policy within general guidelines  
1771 established by the University Senate. The SAAC is charged to:

- 1772
- 1773 1. Recommend the University's admissions management system, as described in SR  
1774 [Error! Reference source not found.](#).
  - 1775
  - 1776 2. Submit a written report to the Provost, through the Senate Council, recommending  
1777 admissions objectives such as *average* ACT scores and desired size of each year's  
1778 entering freshman class. A copy of the report shall be presented to the Senate Council.  
1779
  - 1780 3. Recommend automatic admission criteria.  
1781
  - 1782 4. Upon the recommendation of the Assistant Provost for Enrollment Management,  
1783 approve any warranted deviations from the automatic admissions criteria.  
1784
  - 1785 5. After consultation with the Assistant Provost for Enrollment Management, the SAAC  
1786 may recommend to the Provost closing admissions on any of the following bases: 1) the  
1787 desired class size has been reached; 2) the class is large enough given existing  
1788 constraints; and 3) admissions objectives other than class size have been achieved.  
1789
  - 1790 6. Establish parameters for the decisions on exceptions, under which applicants may be  
1791 admitted who have not met the automatic admissions criteria.  
1792
  - 1793 7. Request that the Provost provide specific data on admissions, enrollment, and student  
1794 performance.  
1795
  - 1796 8. Request data from the Assistant Provost for Enrollment Management for use in  
1797 establishing or evaluating admissions policy.  
1798
  - 1799 9. Review and analyze the information provided in (7) and (8) above by the Provost and  
1800 Assistant Provost for Enrollment Management and disseminate the results of its review  
1801 and analysis to the educational units.  
1802

1803 **1.4.2.16.1.1 Extent of Authority**

1804 The SAAC does not have any final decision-making authority, except as provided in items 4 and  
1805 6 above.  
1806

1807 **1.4.2.16.2 Composition**

1808 The SAAC shall be composed of voting faculty members, ex officio voting members, and ex  
1809 officio nonvoting members. It is not required to be chaired by an elected faculty senator nor is it  
1810 required to be composed of more than one-half of members who are elected faculty senators  
1811

1812 **1.4.2.16.2.1 Chair**

1813 The SAAC must be chaired by one of the three appointed University Faculty members. In the  
1814 case of a tie vote, the vote of the chair shall prevail.  
1815

1816 **1.4.2.16.2.2 Voting Faculty Members**

1817 There shall be three University Faculty members.  
1818

1819 **1.4.2.16.2.3 Ex Officio Voting Members**

1820 There shall be two ex officio voting members:

- 1821
- 1822 • The Assistant Provost for Enrollment Management ;and

1823

1824

- The Chair of the Senate committee on Admissions and Academic Standards.

1825

#### 1826 **1.4.2.16.2.4 Ex Officio Nonvoting Members**

1827 There shall be two ex officio nonvoting members:

1828

1829

1830

- The Associate Provost for Student and Academic Life; and

1831

1832

- The Assistant Provost for Strategic Planning (or that person's designee).

1833

1834

1835

#### 1836 **1.4.2.17 Senate Retroactive Withdrawal Appeals Committee (SRWAC)**

1837

1838 [US: 12/8/97; 4/13/2020; 12/14/2020]

1839

##### 1839 **1.4.2.17.1 Charge**

1840 The Committee shall decide all student requests for retroactive withdrawals as provided by SR

1841

[Error! Reference source not found.](#).

1842

1843

##### 1843 **1.4.2.17.1.1 Extent of Authority**

1844

1844 The SRWAC has final decision-making authority regarding all student requests for retroactive  
1845 withdrawals except in matters of cases of violation of student academic rights, which may be  
1846 appealed to the UAB. (See SR 5.1.7.5.2.3)

1847

1848

##### 1848 **1.4.2.17.2 Composition**

1849

1849 The SRWAC is not required to be chaired by an elected faculty senator nor is it required to be  
1850 composed of more than one-half of members who are elected faculty senators. The SRWAC  
1851 shall be composed of voting faculty membership, voting student membership, and ex officio  
1852 nonvoting membership. When possible, at least one member shall have a medical background.

1853

1854

##### 1854 **1.4.2.17.2.1 Chair**

1855

1855 The chair shall be one of the voting faculty members.

1856

1857

##### 1857 **1.4.2.17.2.2 Voting Faculty Members**

1858

1858 There shall be four members of the University Faculty plus a sufficient number of alternates in  
1859 case a regular member cannot attend.

1860

1861

##### 1861 **1.4.2.17.2.3 Voting Student Members**

1862

1862 There shall be one voting student member.

1863

1864

##### 1864 **1.4.2.17.2.4 Ex Officio Nonvoting Members**

1865

1865 There shall be five ex officio nonvoting members:

1866

1867

- A representative of the Associate VP for Employment Equity;

1868

1869

- A representative of the Counseling and Testing Center;

1870

1871

1872

- A representative from the Advising Network;

1873

1874

- A representative from the Provost Committee on Advising; and

1875

- 1876       • A representative from the Assistant Dean of Students directing the Disability Resource  
1877       Center  
1878  
1879  
1880

1881       **1.4.2.18 Senate Academic Advising Committee (SACAC)**

1882  
1883       [US: 4/10/2000; 11/13/2017; 4/13/2020]

1884       **1.4.2.18.1 Charge**

1885       The committee shall:

- 1886  
1887       1. Regularly review the effectiveness and accountability of academic advising throughout  
1888       the University  
1889  
1890       2. Recommend standards for the quality of academic advising  
1891  
1892       3. Make recommendations regarding academic advising  
1893  
1894       4. Review and recommend proposals regarding academic advising. [4/10/2000]  
1895

1896       **1.4.2.18.1.1 Extent of Authority**

1897       The SACAC does not have any final decision-making authority.  
1898

1899       **1.4.2.18.2 Composition**

1900       The SAAC is not required to be chaired by an elected faculty senator nor is it required to be  
1901       composed of more than one-half of members who are elected faculty senators. The SACAC  
1902       shall be composed of voting faculty members, voting student members, and ex officio nonvoting  
1903       members.  
1904

1905       **1.4.2.18.2.1 Chair**

1906       The chair shall be one of the voting faculty members.  
1907

1908       **1.4.2.18.2.2 Voting Faculty Members**

1909       There shall be three elected faculty members from the University Senate who are currently  
1910       advising students.  
1911

1912       **1.4.2.18.2.3 Voting Student Members**

1913       There shall be two voting student members (nominate by the SGA) and also one student at  
1914       large.  
1915

1916       **1.4.2.18.2.4 Ex Officio Voting Members**

1917       There shall be four ex officio nonvoting members:

- 1918  
1919       • Three professional advisors, selected by a process adopted by the UK Advising Network  
1920       and approved by the Senate Council; and  
1921  
1922       • One member from the Provost Committee on Advising.  
1923

1924       **1.4.2.18.2.5 Ex Officio Nonvoting Membership**

1925       •  
1926       There shall be one ex officio nonvoting member:

1927       The Associate Provost for Student and Academic Life.  
1928  
1929

1930

1931 **1.4.2.19 Senate Technology Committee (STC)**

1932

1933 [US: 2/11/2019]

1934 **1.4.2.19.1 Charge**

1935

1936 The STC is broadly concerned with all issues related to information technology (IT) at UK. The  
 1937 STC's responsibilities include, but are not limited to:

1938

1939 1. Recommend channels of communication between faculty/students and UK Information  
 1940 Technology Services (ITS) and other administrative units concerning University  
 1941 technology infrastructures, services, policies, and procedures.

1942

1943 2. If called upon by Senate to do so, review proposals from ITS and other administrative  
 1944 units regarding University technology infrastructure, services, policies, and procedures  
 1945 and make recommendations concerning endorsement or change.

1946

1947 3. Bring proposals and recommendations concerning any technology issue that may affect  
 1948 the educational objectives and mission of the University (GR IV.C.6) or concerning the  
 1949 application of technology to policy or procedure for faculty personnel matters (GR  
 1950 IV.C.7).

1951

1952 4. Review and make recommendations concerning any matter in which the proposed  
 1953 application of technology constitutes an educational policy issue (GR IV.C.1), when the  
 1954 Senate Council determines that responsibility for the particular matter is not charged to  
 1955 any other Senate committee.

1956

**1.4.2.19.1.1 Extent of Authority**

1957

The STC does not have any final decision-making authority.

1958

1959

**1.4.2.19.2 Composition**

1960

The STC is not required to be chaired by an elected faculty senator nor is it required to be  
 1961 composed of more than one-half of members who are elected faculty senators. The STC shall  
 1962 be composed of voting faculty members, voting student members, and ex officio nonvoting  
 1963 members.

1964

1965

**1.4.2.19.2.1 Chair**

1966

The STC chair must be one of the six appointed University Faculty members.

1967

1968

**1.4.2.19.2.2 Voting Faculty Membership**

1969

There shall be six members of the University Faculty with a broad range of technological  
 1970 expertise and experience who are broadly representative of the University

1971

1972

**1.4.2.19.2.3 Voting Student Membership**

1973

There shall be one student member.

1974

1975

**1.4.2.19.2.4 Ex Officio Nonvoting Membership**

1976

There shall be two ex officio nonvoting members:

1977

1978

- The UK Chief Information Officer (or that person's designee); and

1979

1980

- The chair of the Senate Committee on Distance Learning and eLearning (SCDLLeL).

1981

1982

1983

**1.4.2.20 Senate Committee on Disability Accommodation and Compliance (SCDAC)**



1984

1985 [US: 12/10/2012]

1986

1987

1988 **1.4.2.20.1.1 Charge**

1989 The SCDAC is responsible for recommending educational policy within general guidelines  
 1990 established by the University Senate. Specifically, the SCDAC is charged to

1991

1992 1. Recommend educational policies and implementation practices that appropriately  
 1993 address the accommodations standards stipulated in the Americans with Disabilities Act  
 1994 (ADA) Law and Sections 504/508 of the Rehabilitation Act of 1973 for students who  
 1995 have documented disabilities.

1996

1997 2. Recommend solutions for issues reported by faculty members or the DRC pertaining to  
 1998 the implementation of educational policies as they relate to practices for disability  
 1999 accommodation and regulatory compliance.

2000

2001 3. Monitor the alignment between the University Senate's educational policies and Federal  
 2002 compliance and accommodation regulations, and recommend educational policy  
 2003 revisions, as warranted.

2004

2005

2006

2007 **1.4.2.20.1.2 Extent of Authority**

2008 The SCDAC does not have any final decision-making authority.

2009

2010 **1.4.2.20.2 Composition**

2011 The SCDAC is not required to be chaired by an elected faculty senator nor is it required to be  
 2012 composed of more than one-half of members who are elected faculty senators. The SCDAC  
 2013 shall be composed of voting faculty members, ex officio voting members, and ex officio  
 2014 nonvoting members.

2015

2016 **1.4.2.20.2.1 Chair**

2017 The SCDAC shall be chaired by one of the four appointed University Faculty members.

2018

2019 **1.4.2.20.2.2 Voting Faculty Members**

2020 There shall be four voting faculty members.

2021

2022 **1.4.2.20.2.3 Ex Officio Voting Members**

2023 There shall be one voting ex officio member:

2024

- 2025 • The Director of the Center for the Enhancement of Learning and Teaching (CELT), or  
 2026 that person's designee.

2027

2028 **1.4.2.20.2.4 Ex Officio Nonvoting Membership**

2029 There shall be four ex officio nonvoting members:

2030

2031

2032

2033

2034

2035

2036

2037

- The Academic Ombud;
- The Director of the Disability Resource Center (DRC);
- A DRC learning specialist; and
- The Associate Vice President for Institutional Equity or that person's designee.

2038

2039

2040 **1.4.2.21 Senate Committee on Diversity and Inclusion (SCDI)**

2041

2042 [US: 2/12/2018]

2043 **1.4.2.21.1 Charge**

2044 The SCDI is charged with: promoting diversity among senators, in particular representation of  
 2045 underrepresented minorities; working with senior leadership to disseminate best practices for  
 2046 recruiting and retaining faculty of color and other underrepresented groups; and addressing  
 2047 other issues around diversity and inclusion as they arise.

2048

2049 **1.4.2.21.1.1 Extent of Authority**

2050 The SCDI does not have any final decision-making authority.

2051

2052 **1.4.2.21.2 Composition**

2053 The SCDI is not required to be chaired by an elected faculty senator or composed of more than  
 2054 one-half of members who are elected faculty senators. The SCDI shall be composed of voting  
 2055 faculty members, voting student members, and ex officio nonvoting members.

2056

2057 **1.4.2.21.2.1 Chair**

2058 The SCDI shall be chaired by one of the six University Faculty members.

2059

2060 **1.4.2.21.2.2 Voting Faculty Members**

2061 There shall be six voting University Faculty members ..

2062

2063 **1.4.2.21.2.3 Voting Student Members**

2064 There shall be two voting student members.

2065

2066 **1.4.2.21.2.4 Ex Officio Nonvoting Members**

2067 There shall be two ex officio nonvoting members:.

2068

- 2069 • Two individuals recommended by the Vice President for Institutional Diversity, both of  
 2070 whom shall have UK-related responsibilities pertaining to diversity and inclusivity. .

2071

2072

2073

2074 **1.4.3 Lewis Honors College Faculty**

2075 [US: 12/10/2012; 5/6/2019]

2076

2077 Pursuant to GR VII.E.2.c, the University Senate may delegate respective authorities to the  
 2078 Honors College Faculty or the Honors College Faculty Council concerning the curricular  
 2079 requirements leading to the Honors credential.

2080

2081

2082 **1.4.3.1 Charge to Lewis Honors College Faculty**

2083 [US: 5/6/2019]

2084

2085 The Honors College Faculty, or as delegated to the Lewis Honors College Council, shall  
 2086 exercise the following functions:

2087

2088

2089

2090

2091

1. It has jurisdiction over the educational policies of the Honors College (GR VII.A.1), including such matters as academic requirements, curricula, and course offerings in the HON series. It also has approval of honors sections of departmental courses, undergraduate research, and service-learning courses, and academic policy

2092 components of the ersidential community associated with the Honors College.  
 2093 Following the policies and principles in the *Governing Regulations* and the *University*  
 2094 *Senate Rules*, it shall make recommendations to the University Senate on educational  
 2095 matters that require the approval of that body (GR VII.E.2).

- 2096
- 2097 2. It shall approve (or not), or as it delegates shall supervise the approval of, all sections
- 2098 of existing courses which are proposed by colleges, departments, schools, or other
- 2099 units to fulfill the Honors curricular requirements. On behalf of the Lewis Honors
- 2100 College Faculty, the associate dean of the Lewis Honors College shall send the list of
- 2101 approved sections to the University Registrar’s Office for inclusion in the Schedule of
- 2102 Classes.
- 2103
- 2104 3. It shall establish, or as it delegates shall supervise the approval of, a process to
- 2105 approve faculty to teach Honors courses or departmental honors sections (Honors
- 2106 courses taught by other units).
- 2107
- 2108 4. It shall establish, or as it delegates shall supervise the establishment of, guidelines for
- 2109 the Honors College Course Agreement between an individual Honors College student
- 2110 and an individual faculty person that will be used when a student wishes to complete for
- 2111 Honors credit an undergraduate course that has not been designated as an Honors
- 2112 course (i.e., those not designated as HON courses or as “H” designated sections of
- 2113 regular undergraduate courses).
- 2114
- 2115 5. It shall, through the dean of the Lewis Honors College, report periodically to the
- 2116 University Senate concerning the activities of the Honors College, including the
- 2117 teaching and content of all courses and sections selected to fulfill the Honors curricular
- 2118 requirements.
- 2119
- 2120 6. It shall consider and propose improvements which will enhance the Honors curriculum
- 2121 and its impact on the undergraduate curriculum.
- 2122
- 2123 7. Upon the recommendation of the dean of the Honors College, or upon its own initiative,
- 2124 it shall consider and propose necessary changes in the educational structure of the
- 2125 college or in its academic requirements.

2126 **8. It shall set, or as it delegates, shall supervise the setting of policies for the granting of**  
 2127 **credit to transfer students for courses taken which are equivalent to those in the Honors**  
 2128 **curriculum and it shall communicate these policies to all colleges of the University.**

2129 **1.4.3.2 Extent of Authority**

2130

2131 Within the University Senate Rules, the Lewis Honors College Faculty has the siimilarly decision-

2132 making authority for educational unit courses and programs as do other unit or program faculty.

2133

2134 **1.4.3.3 Jurisdiction**

2135

2136

2137 The Honors College is an educational unit, where completion of the Honors curriculum leads to

2138 an academic graduation credential of University Honors.

2139

2140 Subject to the *University Senate Rules*, educational policy concerning the Honors curriculum is

2141 decided, or recommended to the University Senate, by procedures determined by the Honors

2142 Faculty in the approved Lewis Honors College Faculty Rules. The Lewis Honors College Faculty

2143 Rules may delegate to the Lewis Honors College Council decisions concerning Lewis Honors

2144 College educational policies. (GR VII.E.2) [US: 5/6/2019]

2145

2146 **1.4.3.4 Composition**

2147

2148 The Lewis Honors College faculty is not required to be chaired by an elected faculty senator or  
 2149 composed of more than one-half of members who are elected faculty senators. Membership of  
 2150 the Lewis Honors College Faculty is prescribed by GR VII.E.2.a. Membership of the Lewis  
 2151 Honors College Council is as prescribed in the approved Lewis Honors College Faculty Rules  
 2152 (GR VII.E.2.b).

2153

2154

#### 2155 **1.4.3.5 Unit Faculty Rules for Educational Policy-making**

2156

2157 Pursuant to Governing Regulations VII.E.2.c, the Lewis Honors College Faculty shall provide the  
 2158 Lewis Honors College Faculty Rules document its procedures for the above processes in  
 2159 educational policy-making.

2160

2161

#### **1.4.4 SENATE ADVISORY COMMITTEES**

2162

2163

##### **1.4.4.1 Senate Advisory Committee on Privilege and Tenure (SACPT)**

2165

###### **1.4.4.1.1 Committee Charge**

2167

###### **1.4.4.1.1.1 Scope of Committee Jurisdiction**

2169

2170 Except for cases of dismissal for cause (subsection 1.4.4.2.2.1, below), the SACPT is  
 2171 to consider whether

2172

2173 1. violation of procedures (as established by University-level regulations/policies,  
 2174 or by the college, or by the department faculty; GR VII.A.6.c; GR VII.B.3; GR  
 2175 VII.E.2-5),

2176

2177 2. violation of privilege, or

2178

2179 3. violation of academic freedom,

2180

2181 have affected the outcome of decisions made in the processes of faculty  
 2182 reappointment, terminal reappointment, non-renewal of appointment, promotion and/or  
 2183 tenure. Cases of complaint on the substantive merit of administrative decisions in these  
 2184 faculty personnel processes are instead to be submitted through established  
 2185 administrative channels as prescribed by GR I.F.

2186

2187 Similarly, the SACPT does not consider complaints relating to the substantive merit of  
 2188 administrative decisions on salary, faculty performance review, distribution of effort, allocation of  
 2189 resources, etc. (for which the administrative appeal procedure of GR I.F is applicable). However,  
 2190 *if* an issue instead involves violation of established procedure, violation of privilege or violation of  
 2191 academic freedom, *and if* the petitioner *both* (i) exhausts the process of GR I.F through the level  
 2192 of the Provost and the issue remains unresolved and (ii) satisfies the burden of making a *prima*  
 2193 *facie* case to the SACPT that the particular violation of procedure, privilege or academic freedom  
 2194 is of such a nature as to potentially significantly impinge on the petitioner's reappointment,  
 2195 terminal reappointment, non-renewal of appointment, promotion and/or tenure, *then* the SACPT  
 2196 may elect to consider the case.

2197

2198 \* As long as the faculty employee follows the process prescribed in the above paragraph  
 2199 of SR 1.4.4.2.2.1, the SACPT gains jurisdiction to elect to consider allegations that “the  
 2200 particular violation...is of such a nature as to potentially significantly impinge on the  
 2201 petitioner’s...tenure.” [SREC: 2/8/2019]  
 2202

2203 For the purposes of this scope of charge to the SACPT, "academic freedom" is as defined in GR  
 2204 X.B.3.b (para. 1). Issues of academic freedom of an "administrator holding academic rank" relate  
 2205 to the individual's exercise of academic freedom in the capacity as a member of the faculty of an  
 2206 educational unit.  
 2207

#### 2208 **1.4.4.1.1.2 Specific areas of committee charge**

2209

2210 The Committee is charged with giving consideration to the following matters as referred to it by  
 2211 the President, by any University faculty employee, or by certain University staff employees of  
 2212 educational units in the following situations.  
 2213

##### 2214 **1.4.4.1.1.2.1 Dismissal from employment**

2215

2216 The Committee may consider dismissals from employment (GR X.B.1.f) that involve:

2217

- 2218 1. cases of termination of appointment for cause of a tenured faculty member arising from  
 2219 allegation of incompetency, neglect of or refusal to perform their duty, or for immoral  
 2220 conduct (KRS 164.230);  
 2221
- 2222 2. cases of dismissal of an employee for cause during a limited appointment, arising from  
 2223 allegation of incompetency, neglect of or refusal to perform their duty, or for immoral  
 2224 conduct (KRS 164.230; GR X.B.1.f);  
 2225
- 2226 3. cases of termination of a tenure appointment or the dismissal of a person prior to  
 2227 expiration of a non-tenure appointment because of a financial emergency (GR X.B.1.f).  
 2228

2229 As prescribed by GR X.B.1.f.2, the SACPT shall make an informal investigation. The petitioner  
 2230 shall be afforded an opportunity to be heard by the SACPT, for the purpose of attempting to  
 2231 effect a resolution mutually agreeable to the President and the faculty employee. In the case that  
 2232 such a resolution is not obtained, the SACPT shall recommend to the President whether, in its  
 2233 opinion, dismissal proceedings should be undertaken. The subsequent disposition of the matter  
 2234 by the President shall be as prescribed in GR X.B.1.e.  
 2235

##### 2236 **1.4.4.1.1.2.2 Violation of academic freedom or insufficient notice of nonrenewal**

2237

2238 The Committee may consider certain cases of allegation of violation of academic freedom or  
 2239 insufficient notice of non-renewal that involve:

2240

- 2241 1. cases of allegation by a faculty member on a non-tenure appointment that a decision for  
 2242 non-reappointment violates his or her academic freedom as a faculty member (GR  
 2243 X.B.1.g);  
 2244
- 2245 2. cases of allegation by a University administrator holding academic rank, or by a postdoctoral  
 2246 scholar, postdoctoral fellow, resident, clinical fellow, teaching assistant, or research assistant  
 2247 that a decision to terminate his or her appointment to his or her administrative post, or not to  
 2248 reappoint him or her, violates his or her academic freedom (GR X.B.1.h; GR X.D; AR 5.4;  
 2249 AR 5.5);  
 2250
- 2251 3. cases of non-renewal of a faculty employee's probationary appointment with less  
 2252 advance notice than specified by the Governing Regulations (GR X.B.1.e);

2253

2254 As prescribed by GR X.B.1.f, when the petitioner lodges their complaint in writing to the Chair of  
 2255 the SACPT, the SACPT shall make an informal investigation, including affording the petitioner  
 2256 an opportunity to be heard by the SACPT, for the purpose of attempting to effect a resolution  
 2257 mutually agreeable to the President and the petitioner. In the case that such a resolution is not  
 2258 obtained, the SACPT shall recommend to the President whether, in its opinion, the decision to  
 2259 terminate or not reappoint should be sustained. The subsequent disposition of the matter by the  
 2260 President shall be as prescribed in GR X.B.1.f.

2261

#### 2262 **1.4.4.1.1.2.3 Other alleged violations**

2263

2264 The Committee may consider allegations of violation of established procedure, academic  
 2265 privilege and/or academic freedom that involve:

2266

2267 1. a faculty employee's terminal reappointment, promotion and/or tenure (AR 2:1)

2268

2269 2. cases of allegation by a faculty member on a non-tenured appointment that a decision for  
 2270 nonreappointment violates either GR I.D.f.a or GR X.A.1 dealing with certain  
 2271 discriminatory practices.

2272

#### 2273 **1.4.4.1.1.2.4 Procedures**

2274

2275 The petitioner must submit to the Chair of the SACPT a letter initiating the appeal within 60 days,  
 2276 and the appeal and supporting documentation within 75 days, after written notification by the  
 2277 dean of a final decision of nonrenewal, terminal reappointment or disapproval of promotion  
 2278 and/or tenure.

2279

2280 The SACPT may extend the 75-day deadline by majority vote.

2281

2282 The function of the committee in all such cases is to first exercise informal vetting processes to  
 2283 attempt to effect a resolution that makes a formal recommendation to the President for action  
 2284 unnecessary. In cases where such an informal resolution is not obtained, the committee will  
 2285 exercise formal processes of investigation, including affording to the petitioner shall be afforded  
 2286 an opportunity to appear before the SACPT. With copy to the petitioner, the SACPT will submit  
 2287 to the President its analysis of the alleged violations and will recommend to the President what  
 2288 commensurate remedial action, if any, ought to be taken. The President, or upon the President's  
 2289 delegation the Provost, shall notify the petitioning faculty employee and the SACPT in writing of  
 2290 the decision.

2291

#### 2292 **1.4.4.1.1.3 Interpretation of policies**

2293

2294 The SACPT may, upon request, advise individual faculty members, the President, the  
 2295 Provost or educational unit chief administrative officers on the interpretation of  
 2296 University regulations on faculty appointment, reappointment, promotion, tenure,  
 2297 privilege and academic freedom, with copies of the interpretation being sent to the  
 2298 University Senate Council, the President, the Provost and as applicable, the chair of the  
 2299 department, and the dean.

2300

#### 2301 **1.4.4.1.1.4 Issues of privilege as scholars**

2302

2303 The SACPT also may consider allegations by faculty members who believe that their privilege as  
 2304 scholars has been abridged or abused. Faculty members should address statements to the chair  
 2305 of the SACPT setting forth in detail the reasons why they believe their privilege has been  
 2306 abridged or abused. The SACPT will review the statement and determine whether conditions

2307 warrant further investigation. Upon investigation the SACPT will make recommendations to the  
 2308 faculty member and file a copy with the President and the Provost. Recommendations may be  
 2309 made also to the President with a copy sent to the faculty member and Provost.

2310

2311 **1.4.4.1.1.5 Recommendations on Policies**

2312

2313 The SACPT is also charged with making a continuing study of regulations on faculty  
 2314 appointment, reappointment, promotion, tenure, privilege and academic freedom, making  
 2315 recommendations to the University Senate.

2316

2317 **1.4.4.1.1.6 Reports and Records**

2318

2319 At the end of each academic year the SACPT will provide to the Senate Council a generalized  
 2320 report of the issues and resolutions of the cases filed with it that year, including any consequent  
 2321 recommendations of the SACPT for action by the Senate or Senate Council. At the conclusion of  
 2322 the committee's disposition of each case, or collectively at the end of the academic year, for  
 2323 purposes of records retention, the Chair of the committee shall forward to the University  
 2324 President's Office the case documents filed to the committee, any other official evidentiary  
 2325 documents generated by the committee, and the record of the committee's disposition of the  
 2326 case if the latter has not already been submitted to the President.

2327

2328 **1.4.4.1.1.7 Rights of Petitioner**

2329

2330 The right of a faculty employee to file with the SACPT Chair a request for a hearing pursuant to  
 2331 SR 0–3 and 0 shall not be impeded. The investigatory hearing process exercised by the SACPT  
 2332 shall include the rights prescribed in SR 0. [US: 2/8/2016]

2333

2334 \* The Senate Rules reserve to the course instructor the authority to make those course  
 2335 educational policies not prescribed by the unit Faculty or (higher college/Senate) bodies. If a  
 2336 faculty employee believes that a unit Faculty or higher faculty body, or an administrator, has  
 2337 made a policy that abridges that course instructor's prerogatives (academic freedom) to make  
 2338 course educational policy, the individual may bring that complaint to the Senate Advisory  
 2339 Committee on Privilege and Tenure. [SREC: 9/2009]

2340

2341 \* If a grade originally submitted to the Registrar by the Instructor of Record becomes  
 2342 improperly changed in a context that the Instructor of Record believes is a violation of  
 2343 his or her academic privilege, the Instructor of Record has the right to lodge a complaint  
 2344 with the Senate Advisory Committee on Privilege and Tenure ("SACPT"; SR 0). If the  
 2345 committee finds in favor of the Instructor of Record, the committee is authorized to  
 2346 recommend to the President that the President direct the Registrar to change the grade  
 2347 back to the grade originally submitted by the Instructor of Record. [SREC: 9/12/11]

2348

2349 \* The "written comments" on course evaluations are not to be made available by the  
 2350 University to third parties. In addition, the University Senate's policy for release of numerical  
 2351 course ratings only applies to undergraduate courses. [SREC: 11/10/11]

2352 The right of a faculty employee to file with the SACPT Chair a request for a hearing pursuant to  
 2353 SR 0–3 and 0 shall not be impeded. The investigatory hearing process exercised by the SACPT  
 2354 shall include the rights prescribed in SR 0. [US: 2/8/2016]

2355

2356 \* The Senate Rules reserve to the course instructor the authority to make those course  
 2357 educational policies not prescribed by the unit Faculty or (higher college/Senate) bodies.  
 2358 If a faculty employee believes that a unit Faculty or higher faculty body, or an  
 2359 administrator, has made a policy that abridges that course instructor's prerogatives

2360 (academic freedom) to make course educational policy, the individual may bring that  
 2361 complaint to the Senate Advisory Committee on Privilege and Tenure. [SREC: 9/2009]  
 2362

2363 \* If a grade originally submitted to the Registrar by the Instructor of Record becomes  
 2364 improperly changed in a context that the Instructor of Record believes is a violation of  
 2365 his or her academic privilege, the Instructor of Record has the right to lodge a complaint  
 2366 with the Senate Advisory Committee on Privilege and Tenure ("SACPT"; SR 0). If the  
 2367 committee finds in favor of the Instructor of Record, the committee is authorized to  
 2368 recommend to the President that the President direct the Registrar to change the grade  
 2369 back to the grade originally submitted by the Instructor of Record. [SREC: 9/12/11]  
 2370

2371 \* The "written comments" on course evaluations are not to be made available by the  
 2372 University to third parties. In addition, the University Senate's policy for release of numerical  
 2373 course ratings only applies to undergraduate courses. [SREC: 11/10/11]

#### 2374 **1.4.4.1.1.8 Extent of Authority**

2375  
 2376 The SACPT does not have any final decision-making authority.  
 2377

#### 2378 **1.4.4.1.2 Composition**

2379 The SACPT is not required to be chaired by an elected faculty senator or composed of more  
 2380 than one-half of members who are elected faculty senators.  
 2381

2382 The committee will be composed of ten tenured faculty members with expertise encompassing the  
 2383 areas of the committee's charge. The committee membership will be structured in the following  
 2384 way: four Regular Title Series; two Special Title Series (clinical areas); two Special Title Series  
 2385 (nonclinical areas); one Librarian Title Series; one Extension Title Series. For each given case, the  
 2386 committee Chair will identify a minimum of five members to participate in the hearing, deliberation,  
 2387 and disposition of the case, with the goal that in cases involving faculty at least one member will be  
 2388 in the same title series as the heard petitioner, and that cases will be heard during the summer as  
 2389 well as during the academic year. Members of this academic advisory committee are appointed by  
 2390 the President, as Chair of the University Senate, from nominations submitted by the Senate  
 2391 Council of full-time tenured faculty employees who do not occupy a position of administrative  
 2392 academic supervision over faculty personnel.  
 2393

#### 2394 **1.4.4.2 University Senate Hearing Panel (Privilege and Tenure) (USHP)**

2395  
 2396

##### 2397 **1.4.4.2.1 Procedures**

2398  
 2399 The USHP will conduct the hearing and report its findings as described in GR\_X.B.1.f. 2. In  
 2400 addition, the committee will adhere to the following procedures:  
 2401

- 2402 1. The faculty member will be afforded an opportunity to obtain necessary witnesses and  
 2403 documentary or other evidence, and the administration will, in so far as it is possible for  
 2404 it to do so, secure the cooperation of such witnesses and make available necessary  
 2405 documents and other evidence within its control.  
 2406
- 2407 2. The faculty member and the administration will have the right to confront and cross-  
 2408 examine all witnesses. Where the witness cannot or will not appear, but the committee  
 2409 determines that the interests of justice require admission of that person's statement, the  
 2410 committee will identify the witness, disclose the statement of that witness and if  
 2411 possible provide for interrogatories.  
 2412



- 2413 3. The hearing committee will not be bound by strict rules of legal evidence, and may  
 2414 admit any evidence which is of probative value in determining the issues involved.  
 2415 Every possible effort will be made to obtain the most reliable evidence available.  
 2416
- 2417 4. The hearing committee will grant adjournments to enable either party to investigate  
 2418 evidence as to whether a valid claim of surprise is made.  
 2419
- 2420 5. The findings of fact and the decisions will be based solely on the hearing record.  
 2421
- 2422 6. Except for such simple announcements as may be required covering the time of the  
 2423 hearing and similar matters, public statements and publicity about the case by either  
 2424 the faculty member or the administrative officers will be avoided so far as possible until  
 2425 the proceedings have been completed, including consideration by the Board of  
 2426 Trustees.  
 2427

#### 2428 **1.4.4.2.1.1 Extent of Authority**

2429 The USHP does not have any final decision-making authority.  
 2430

#### 2431 **1.4.4.2.2 Composition and Establishment of the Ad Hoc Hearing Committee**

2432 The USHP is not required to be chaired by an elected faculty senator or composed of more than  
 2433 one-half of members who are elected faculty senators.  
 2434

2435 The USHP consists of fifteen (15) members who shall be appointed for staggered three-year  
 2436 terms by the President from a list of nominees recommended by the Senate Council. From this  
 2437 panel an ad hoc Hearing Committee shall be chosen to hear a case arising from  
 2438

- 2439 1. dismissal of a faculty member with continuous tenure; or
- 2440
- 2441 2. dismissal of a non-tenured faculty member before the end of that person's specified term  
 2442 of appointment; or
- 2443
- 2444 3. allegation of the violation of the academic freedom of a non-tenured faculty member, an  
 2445 administrator, or of a terminated postdoctoral scholar, postdoctoral fellow, resident, clinical  
 2446 fellow, teaching assistant, or research assistant (GR X.D; AR 5:4; AR 5.5).  
 2447

2448 The Hearing Committee shall consist of five members chosen by lot from the USHP. Members shall  
 2449 remove themselves from a case, either at the request of a party, or on their own initiative if they  
 2450 deem themselves disqualified for reason of bias or conflict of interest. Each party shall have a  
 2451 maximum of two challenges without stated cause. If the panel should be exhausted before an  
 2452 acceptable committee has been obtained, five supplementary members shall be appointed to the  
 2453 panel by the same procedure from which members of the committee may be selected. The  
 2454 committee shall select its own chair.  
 2455

2456 \* For SREC interpretation of SR 1.4.3.2.2, see SREC minutes from June 11, 2018.  
 2457 (available [HERE](#)). [SREC: 6/11/2018]  
 2458  
 2459  
 2460  
 2461  
 2462

### 2463 **1.5. ELECTION PROCEDURES FOR CERTAIN ELECTIVE BODIES**

2464  
 2465 The Rules and Elections Committee is charged with administering elections as directed by the  
 2466 University Senate. Following are descriptions of these elections:  
 2467

2468 **1.5.1 ELECTION OF JOINT BOARD-FACULTY PRESIDENTIAL SEARCH COMMITTEE**

2469

2470 **1.5.1.1 Committee Composition**

2471

2472 Governing Regulations VIII, adopted by the Board of Trustees June 12, 2005, provide: "The  
 2473 President is appointed by the Board of Trustees with the advice of a joint committee of the Board  
 2474 of Trustees, University Faculty, staff employees, student body and alumni. The committee shall  
 2475 consist of six (6) members of the Board of Trustees, at least one of whom shall be from among  
 2476 the three alumni trustees, appointed by the Chair of the Board of Trustees; three (3) regular full-  
 2477 time faculty members selected by a procedure determined by the University Senate; one (1)  
 2478 regular full-time staff employee, appointed by the Chair of the Board from a list of three (3) staff  
 2479 employees selected by a procedure determined by the Staff Senate; two (2) full-time students,  
 2480 one (1) undergraduate and one (1) graduate or professional student, appointed by the Chair of  
 2481 the Board of Trustees from a list of three (3) undergraduate and three (3) graduate or  
 2482 professional students selected by a procedure determined by the Student Government  
 2483 Association; and one (1) member of the alumni appointed by the Chair of the Board of Trustees  
 2484 from a list of three (3) alumni selected by the Board of Directors or the Executive Committee of  
 2485 the University of Kentucky Alumni Association. The committee shall provide opportunity for  
 2486 discussion among representatives of administration, University Faculty, staff employees, student  
 2487 body, alumni groups, and prospective presidential candidates."

2488

2489 **1.5.1.2 Election Procedures**

2490

2491 In the event of a vacancy, or official announcement of an impending vacancy in the Office of  
 2492 President, the following procedure shall be utilized in selecting the three members of the  
 2493 University Faculty to serve on the Search Committee. Elections shall be conducted by electronic  
 2494 secret ballot or paper secret ballot if electronic election is not feasible. Three (3) elected Faculty  
 2495 Senators, representing the University Faculty, shall be elected by this procedure. The election  
 2496 shall be conducted under the supervision of the Chair of the Senate Rules and Elections  
 2497 Committee.

2498

2499 **1.5.1.2.1 Nominating Round**

2500

2501 On the nominating ballot, each elected Faculty Senator may nominate up to three (3) eligible  
 2502 elected Faculty Senators, as certified by the Chair of the Senate Rules and Elections Committee  
 2503 and made available to Senators.

2504

2505 There shall be six (6) names on the voting ballot. The six (6) elected Faculty Senators receiving  
 2506 the largest number of nominations shall be placed on the voting ballot, except that not more than  
 2507 two names from any one college shall be eligible for being placed on the voting ballot. All ties will  
 2508 be resolved by lot. Prior to placing the names of nominees on the voting ballot, the nominees'  
 2509 willingness to serve shall be ascertained by the Chair of the Senate Rules and Elections  
 2510 Committee.

2511

2512 **1.5.1.2.2 Voting Round**

2513

2514 The eligible voters are the full-time members of the University Faculty who are eligible to vote in  
 2515 their respective college election of faculty members to the University Senate. Each voter must  
 2516 rank order exactly three (3) candidates from the list of the six (6) nominees on the voting ballot.  
 2517 Failure to rank order exactly three (3) different candidates will disqualify the ballot. References in  
 2518 sections (3), (4), and (5) below to "ballots" refers only to those ballots certified as countable.

2519

2520 **1.5.1.2.3 Tabulation Round(s)**

2521

2522 First, the total number of eligible ballots is determined.

2523

2524 Next, each of the six (6) candidates shall be allocated the number of ballots on which the  
2525 candidate has been ranked first. Any candidate whose total allocation is at least one-third (1/3)  
2526 of the total number of ballots is elected. Except that if, at any time in the entire tabulation  
2527 process, a total number of candidates from any one college is elected that is equal to that  
2528 college's total number of eligible seats, then any remaining candidates from that college will be  
2529 removed from the ballots, and the ballots re-tabulated according to the rankings of the remaining  
2530 candidates on each of them.

2531

2532 If three (3) candidates are elected at this point, the election ends here. If fewer than three (3) are  
2533 elected, the following "Sequential Run-off" process will be followed.

2534

#### 2535 **1.5.1.2.4 Sequential Run-off**

2536

2537 If fewer than three (3) candidates are elected pursuant to section (3) above, then the following  
2538 run-off tabulation will be followed: On each ballot, the name(s) of the elected candidate(s) will be  
2539 removed, and the ballots re-tabulated according to the rankings of the remaining candidates on  
2540 each of them. Any candidate who is allocated at least one-third (1/3) of the total number of  
2541 ballots after this re-tabulation shall be declared elected.

2542

2543 If no candidates are elected pursuant to section (3) above, then the name of the candidate with  
2544 the fewest top rankings shall be removed. In case of a tie, the candidate with the fewest total  
2545 number of votes of any rank will be eliminated, with any further ties decided by a random draw.  
2546 The ballots will then be re-tabulated again according to the rankings of the remaining candidates  
2547 on each of them. Any candidate now allocated at least one-third (1/3) of these re-tabulated  
2548 ballots shall be elected.

2549

2550 If a total of three (3) candidates are elected at this point, the election ends here. This procedure  
2551 shall be repeated as necessary until three (3) candidates are elected.

2552

#### 2553 **1.5.1.2.5 Runners-Up**

2554

2555 After three (3) candidates have been elected, three (3) ranked runners-up will be chosen  
2556 according to the number of ballots allocated to them at the end of the last tabulation round. In  
2557 case of a tie, the candidate with the most total number of votes of any rank will be ranked first,  
2558 with any further ties decided by a random draw. If there are fewer than three (3) such runners-  
2559 up, then additional runners-up will be chosen to bring the total to three (3), with the additional  
2560 runners-up ranked according to the reverse order of their elimination. The runners-up will be  
2561 considered in the order so ranked in case of future vacancies on the Senate Council.

2562

#### 2563 **1.5.1.2.6 Vacancies**

2564

2565 Upon resignation of any of the three (3) elected University Faculty representatives to the Search  
2566 Committee or when a member is no longer eligible to be a Senator, a vacancy for that position  
2567 shall be declared by the Chair of the Senate Council. A vacancy on the Search Committee shall  
2568 be filled by the eligible candidate who in the election ranked the highest without being elected  
2569 and who is eligible and willing to serve.

2570

2571 If the above procedure still does not fill the vacancy, the vacancy shall be filled with an eligible and  
2572 willing elected Faculty Senator nominated by the Senate Council Chair and approved by the  
2573 majority of the voting faculty members of the Senate Council.

2574

2575 **1.5.2 ELECTION: TWO VOTING UNIVERSITY FACULTY MEMBERS, BOARD OF**  
 2576 **TRUSTEES**

2577

2578 [See US: 10/12/88; US: 10/8/2001; US: 12/8/05; BoT: 6/2005]

2579

2580 As specified in state law (KRS 164.131(1)(e) and implemented in the *Governing Regulations*  
 2581 (II.B.2.b.1) there shall be two (2) voting faculty members of the Board of Trustees who are  
 2582 "members of the faculty of the University." In accordance with KRS 164.131(3) and as  
 2583 implemented in GR II.B.2.b.1, the University Faculty members who are eligible to vote for, and  
 2584 eligible to serve as, elected members of the Board of Trustees shall be those members who are  
 2585 regular, full-time faculty employees with a rank at the level of assistant professor (or its  
 2586 equivalent, Librarian III) or above, whose primary assignments, i.e., more than fifty percent  
 2587 (50%), are in instruction, research, and/or public service, as defined in *Human Resources Policy*  
 2588 *and Procedure Administrative Regulation 4.0: Employee Status*. [GR II.B.2.b.1]

2589

2590 \* For the purposes of election of Faculty Trustees, AR 3:2 is here interpreted to allow  
 2591 voting by, but not election of, faculty employees on phased retirement. [SREC:  
 2592 12/17/13].  
 2593

2594 Elections shall be held only during the academic year. During years when a regular term expires  
 2595 (June 30), the election shall be held during the Spring Semester. If at any time a faculty Board  
 2596 member should become ineligible to serve (i.e., assumption of an administrative title,  
 2597 resignation, official leave which precludes attendance, loss of status as faculty employee, etc.),  
 2598 the chair of the Senate Council shall declare a vacancy and call for a special election of a faculty  
 2599 member to serve for the duration of the original member's ineligibility.  
 2600

2601 Elections shall be conducted under the supervision of the Senate Rules and Elections  
 2602 Committee as follows:  
 2603

2604 **1.5.2.1 Nominations**

2605

2606 The Chair of the Senate Rules and Elections Committee shall solicit nominations by a method  
 2607 calculated to provide reasonable notice to the eligible faculty (and to the unit administrators for  
 2608 the purpose of assisting dissemination of this notice to the faculty). Nomination forms shall be  
 2609 submitted to the Chair of the Senate Rule and Election Committee in writing or by fax, and must  
 2610 contain the names and signatures of ten (10) or more nominators on the same form, all of whom  
 2611 must be eligible to vote. Forms may be provided for this purpose. The nomination form shall  
 2612 indicate that the nominee is willing to serve if elected and that they is qualified to serve.  
 2613

2614 \* Pursuant to *Administrative Regulations* on tenure-ineligible title series and on faculty  
 2615 members with certain administrative appointments, the following terms apply: (1)  
 2616 Tenured faculty employees who have been appointed to an administrative position at or  
 2617 above the level of department chair are not eligible to participate in the election of  
 2618 faculty representatives, or serve as the elected faculty representative, to faculty  
 2619 governance bodies above the college level (e.g., Board of Trustees, University Senate,  
 2620 Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see  
 2621 HRPP 4.0); (2) Regular, full-time faculty employees in a tenure-ineligible series are not  
 2622 eligible for regularly assigned university-level service activities, except that the  
 2623 tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be  
 2624 eligible to vote for representation, and stand for election, specifically to the University  
 2625 Senate, by extending College Faculty membership to that faculty series (to be  
 2626 documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details).  
 2627 [SREC: 4/15/11]  
 2628

2629 If three (3) or fewer persons are nominated there shall be only one (1) ballot as indicated below  
 2630 (see "The Final Ballot") However if only one (1) person is nominated, the nomination period shall  
 2631 be extended by one (1) week with notification to all eligible faculty voters. If no other nominations  
 2632 are put forward at this point, the sole nominee shall be declared elected.  
 2633

2634 **1.5.2.2 The preliminary ballot (if necessary)**  
 2635

2636 If more than three (3) persons are nominated, the names of all nominees shall be placed upon  
 2637 an electronic ballot with email notifications sent to all eligible faculty voters. If electronic balloting  
 2638 is not feasible, a paper ballot shall be utilized. The ballot shall contain the college and  
 2639 department or other subunit affiliation, if any, of each nominee. The election shall be by secret  
 2640 ballot and voters shall vote for only **one (1)** nominee. If one (1) nominee receives a majority of  
 2641 the votes, that person shall be declared elected. Otherwise, the three (3) nominees receiving the  
 2642 highest number of votes (including ties) shall be placed upon a final ballot.  
 2643

2644 **1.5.2.3 The final ballot**  
 2645

2646 The final ballot shall contain a brief biographical sketch submitted by each of the candidates and  
 2647 may contain a brief statement by them. Each voter shall indicate a first and may indicate a  
 2648 second choice. All of the first-choice ballots will be counted, and any candidate who receives a  
 2649 majority of votes will be elected. If no one receives a majority, a determination shall be made  
 2650 between the two (2) candidates with the largest number of first choice votes in the following  
 2651 manner: The second-choice votes on the ballots whose first choice candidate or candidates  
 2652 have been eliminated will be added to the first choice votes received by the top two (2)  
 2653 candidates, and the person with the larger number of total votes will be elected. (In the event of  
 2654 a tie at that stage, the candidate with the larger number of first choice votes will be elected. And  
 2655 in the event of a tie in the first and second choice votes, the tie will be resolved by a random  
 2656 draw after notifying the top two candidates.) [Revised: 10/1/80; Senate Rules Committee; US:  
 2657 1/18/88; US: 10/12/98; US: 10/8/2001]  
 2658

2659 **1.5.2.4 Election Problems**  
 2660

2661 In case of unanticipated election problems, the Senate Rules and Elections Committee shall act  
 2662 as the final arbiter.  
 2663