

1 **1.3. COUNCILS OF THE SENATE**

2
3 The University Senate delegates to its councils and/or its committees the largest degree of
4 authority in handling recurring and routine matters in order that Senate meetings may be
5 devoted largely to communications and consideration of major matters of educational policy.

6 **1.3.1 UNIVERSITY SENATE COUNCIL**

7
8 **1.3.1.1 Purposes and Functions of the Senate Council**

9
10 The University Senate Council is charged with providing leadership to enable the Senate to
11 perform effectively its primary role of formulating and implementing educational policies of the
12 University as described in the Governing Regulations. The Board of Trustees in its Governing
13 Regulations has also delegated responsibility to the Senate Council to act on behalf of the
14 University Senate in particular advisory roles (GR 2.A.(2).(c); GR VIII.B).

15
16 The Senate Council is specifically charged with continuously reviewing the University's
17 academic policies and may call to the attention of the appropriate administrative officer any
18 need for compliance with the University Senate Rules, Governing Regulations, or Administrative
19 Regulations that relate to University Senate function.

20
21 In accordance herewith, the Senate Council shall serve as the executive committee for the
22 University Senate in fulfilling the following functions (see SR [1.2.1](#)):

- 23
24 1. Study and report or recommend to the Senate on any matter of concern to the Senate.
25
26 2. Receive, consider and recommend action on Senate Committee reports and on reports
27 and recommendations from educational units. The Senate Council may recommend
28 approval or disapproval or may present the matter to the Senate without
29 recommendation.
30
31 3. Appoint the Standing Committees of the Senate, Special Committees that it finds to be
32 essential for the performance of Senate or Senate Council functions, and other
33 committees as designated by the Senate.
34
35 4. Advise the President on the appointment of the Senate Advisory Committees and other
36 on academic committees that the President may appoint in the capacity as Chair of the
37 Senate.
38
39 5. Advise the Provost on the appointment of search committees for the appointment of
40 deans of colleges, the Graduate School and the Libraries.
41
42 6. Plan the agenda of the Senate. Distribute notices of regular Senate meetings at least
43 six (6) days prior to meetings with agenda and recommendations for Senate action to
44 members of the University Senate and to administrative offices that are concerned with
45 academic affairs, and of special meetings as directed.
46
47 7. Act for the Senate on all matters involving changes to academic programs, degrees,
48 and curricula, subject to the requirements of reporting and the power of the Senate to
49 reverse the Senate Council on these matters.
50
51 8. Act on other matters referred to it by the Senate with the responsibility and authority
52 delegated to it by the Senate.
53

- 54 9. Act for the Senate in emergency situations, reporting such actions, with justification, to
 55 the Senate at its next regular meeting.
 56
- 57 10. Advise the President on all matters relative to the welfare of the University which the
 58 President brings to it or which it proposes for consideration. In addition, the Senate
 59 Council shall invite the President annually to inform the Senate concerning general
 60 academic policies and budgetary priorities.
 61
- 62 11. The Senate Council shall include solicitation to the elected college faculty councils (GR
 63 VII.E.2, 3), or similar elected college faculty bodies, to participate in nominating
 64 potential members of Area Committees, and other academic advisory committees, for
 65 submission to the President (GR IV.B).
 66
- 67 12. To maintain a record of additions to or modifications of the *Rules* between periodic
 68 revisions and update the web-posted Senate *Rules* at least annually.
 69

70 1.3.1.2 Composition

71
 72 The Senate Council shall be composed of elected voting representatives (GR IV.A) and *ex*
 73 *officio* members as follows: The voting elected membership shall be nine (9) members elected
 74 by and from the 94 elected faculty representatives in the University Senate, and two (2)
 75 members elected by and from the newly elected student representatives in the University
 76 Senate.
 77

78 * When one of the 9 voting faculty elected to a three-year term in the Senate Council
 79 becomes elected as Faculty Trustee, the person retains the status as one of the 9
 80 voting faculty members of the Senate Council until the three-year term on the Senate
 81 Council ends. Upon the end of the term, the person then acquires the status of *ex*
 82 *officio* nonvoting Faculty Trustee member of the Senate Council. [SREC: 5/13/2016]
 83

84 The *ex officio* voting member shall be the President of the Student Government Association,
 85 and the *ex officio* nonvoting members shall be the faculty members of the Board of Trustees, if
 86 they are not elected members of the Senate Council, and the immediate past chair of the
 87 Senate Council, if his or her term on the Senate Council has expired. For purposes of this rule,
 88 the phrase "immediate past chair of the Senate Council" means the person who served as chair
 89 of the Senate Council during the preceding year.
 90

91 * When the term of a Senate Council Chair has ended, the person acquires the status of
 92 nonvoting 'past chair' member of the Senate Council, regardless of whether the person
 93 has been appointed to an administrative position or administrative assignment that
 94 makes the person ineligible for election to the University Senate. [SREC: 5/13/2016]
 95

96 Six elected members shall constitute a quorum for the transaction of business. [US: 10/10/77;
 97 US: 10/12/98] If the elected faculty representatives to the Senate Council are to perform a
 98 statutory action (KRS 164.240) on behalf of the elected faculty representatives of the Senate
 99 (GR IV.A,B), then five of those nine members shall constitute a quorum (KRS 446.050).
 100

101 * "Elected members" means any members who are not *ex officio* members. [SREC:
 102 11/20/2006]
 103

104 1.3.1.2.1 Elected Faculty Membership

106 1.3.1.2.1.1 Eligibility for Membership

107

108 Any elected faculty member of the Senate (or person appointed to replace an elected member)
 109 whose term of office has not expired at the time of the election shall be eligible for election to
 110 the Senate Council, except that no more than three (3) of the nine elected faculty members of
 111 the Senate Council shall be from any one college. If an elected faculty representative in the
 112 Senate has become ineligible and a replacement has been made, only the person who has
 113 replaced the ineligible representative shall be eligible for election to the Senate Council. Senate
 114 Council members are not eligible to succeed themselves until a lapse of one year from the
 115 expiration of their Senate Council membership (including service as Chair or Vice Chair), except
 116 that members who are filling a vacancy and who have served no more than one year, if
 117 otherwise eligible, may be eligible for election. The duration of the term of membership on the
 118 Senate Council shall not be affected by the member's term on the University Senate. [US:
 119 10/14/85]
 120

121 **1.3.1.2.1.2 Terms**

122
 123 Elected faculty representatives in the Senate Council shall serve for three (3) years
 124 commencing on January 1 following their election and continuing until expiration of their terms
 125 or until their successors are elected and certified.
 126

127 **1.3.1.2.1.3 Election**

128
 129 Voting shall be conducted by electronic secret ballot or paper secret ballot if electronic election
 130 is not feasible. Three (3) elected faculty representatives in the University Senate shall be
 131 elected annually during the fall semester to serve on the Senate Council. The Chair of the
 132 Senate Rules and Elections Committee or that person's designee shall preside over the
 133 election as the election official and shall remind the voters that election to the Senate Council
 134 confers eligibility for election to Senate Council Chair the following year. [US: 1/18/88;
 135 10/8/2012; 2/12/2018]
 136

137 **1.3.1.2.1.3.1 Nominating Round**

138
 139 In the nominating round, elected Faculty Senators may nominate up to three (3) eligible
 140 Senators from the roster of the eligible persons as certified by the election official. The name of
 141 the eligible Faculty Senators who have been nominated and have indicated to the election
 142 official their willingness to serve will appear on the ballot. [US: 2/12/2018]
 143

144 If ten (10) or fewer total nominees are willing to serve, and there are no more than twice the
 145 number of names from any one college as could potentially be elected from that college,
 146 elections shall proceed directly to the Final Voting Round described in SR [1.3.1.2.1.3.3](#) below.
 147 Otherwise, elections shall include a Preliminary Voting Round described in SR [1.3.1.2.1.3.2](#)
 148 below. [US: 2/12/2018]
 149

150 If three (3) or fewer total nominees are willing to serve, additional solicitations shall be made in
 151 an effort to increase the number of nominees. [US: 2/12/2018]
 152

153 **1.3.1.2.1.3.2 Preliminary Voting Round**

154 [US: 2/12/2018]
 155
 156

157 On this first ballot, elected Faculty Senators may rank order up to three (3) candidates from the
 158 list of eligible persons as certified by the election official. Rank orders will be used to resolve
 159 ties. The six (6) candidates with the largest number of total votes, including those ranking the
 160 candidate first, second, or third shall become candidates in the Final Voting Round, except that
 161 there must not be more than twice the number of names from any one college as could
 162 potentially be elected from that college. Ties will be resolved as follows:

- 163
164 1. The higher ranking goes to the candidate with the larger number of first-choice votes;
165
166 2. If there are still ties, the higher ranking goes to the candidate with the larger number of
167 second-choice votes;
168
169 3. If there are still ties, the higher ranking goes to the candidate with the larger number of
170 third-choice votes;
171
172 4. If there are still ties, the ranking will be determined by random draw.
173

174 **1.3.1.2.1.3.3 Final Voting Round.**
175

176 On this final ballot, elected Faculty Senators may rank order up to three (3) candidates from the
177 list of the eligible persons as certified by the election official. The candidates will then be ranked
178 according to the procedure listed in subsection (b) above. The three (3) candidates with the
179 highest rank shall be elected except that no more than three (3) of the nine elected faculty
180 members of the Senate Council shall be from any one college. [US: 2/12/2018]
181

182 **1.3.1.2.1.3.4 Election Results.**
183

184 The three highest-ranked candidates under [1.3.1.2.1.3.3](#) above are elected.
185

186 **1.3.1.2.1.3.5 In case of future vacancies**
187

188 The remaining candidates will be considered in the order so ranked in case of future vacancies
189 on the Senate Council. Ties will be resolved in a fashion similar to the procedure in SR
190 [1.3.1.2.1.3.2](#) above. [US: 2/12/2018]
191

192 **1.3.1.2.1.4 Vacancies.**
193

194 Upon resignation of any elected faculty representative in the Senate Council or when such a
195 member is no longer eligible to be a Senator, a vacancy shall be declared by the Chair of the
196 Senate Council. A vacancy on the Senate Council shall be filled by the eligible candidate who at
197 the most recent Senate Council election ranked the highest without being elected and who is
198 eligible and willing to serve. [US: 1/18/88]
199

200 If the above procedure still does not fill the vacancy, the vacancy shall be filled with an eligible
201 and willing elected Faculty Senator nominated by the Senate Council Chair and approved by
202 the majority of the voting faculty representatives of the Senate Council.
203

204 The term of such appointments shall be for the remainder of the unexpired term of the vacating
205 Senate Council member.
206

207 **1.3.1.2.2 Elected Student Membership**
208

209 **1.3.1.2.2.1 Terms.**
210

211 The two elected student members of the Senate Council and the President of the Student
212 Government Association shall serve terms of one (1) year commencing July 1 following their
213 election and continuing until their successors are elected and certified. [US: 10/12/98]
214

215 **1.3.1.2.2.2 Election.**
216

217 The two (2) elected student members of the Senate Council shall be chosen annually in the
 218 second semester of the academic year. As soon as possible after the election of the student
 219 members of the University Senate during the second semester, the President of the Student
 220 Government Association shall assemble these persons to elect the student members of the
 221 Senate Council by majority vote. [US: 10/12/98]
 222

223 **1.3.1.2.2.3 Vacancies.**
 224

225 If at any time during the term of a student member of the Senate Council they should become
 226 ineligible for membership in the Senate, his or her position on the Council shall be declared
 227 vacant. The President of the Student Government Association shall then assemble the student
 228 members of the University Senate to elect his or her successor.
 229

230 **1.3.1.2.3 Attendance**
 231

232 If any member misses three (3) regular or special meetings of the Council per year, without an
 233 explanation acceptable to the majority of the other voting members, a vacancy is declared, to
 234 be filled according to the above procedures concerning vacancies. [US: 10/12/81]
 235

236 **1.3.1.3 Officers of the Senate Council**
 237

238 [US: 9/8/97]
 239

240 **1.3.1.3.1 Senate Council Chair**
 241

242 The Senate Council shall elect its Chair in December preceding the academic year during
 243 which the Chair shall serve. All nine of the elected faculty representatives then serving on the
 244 Senate Council shall be eligible for election to the position. The incumbent Chair, if in his or her
 245 first year as Chair, shall also be eligible for reelection.
 246

247 The Chair of the Senate's Rules and Elections Committee (SREC) or that person's designee
 248 shall preside over the election. In the November preceding the academic year during which the
 249 Senate Council Chair shall serve, the elected faculty senators will be asked to nominate current
 250 elected faculty members of the Senate Council to serve as Senate Council Chair. The SREC
 251 Chair or that person's designee will ascertain willingness of these nominees to serve. [US:
 252 2/12/2018]
 253

254 If there is only one nominee who agrees to serve, then the election shall be declared completed
 255 and this person shall be the Senate Council Chair-elect, and the University Senate so notified.
 256 [US: 2/12/2018]
 257

258 Should more than one nominee be willing to serve if elected, the nominees will be offered an
 259 opportunity to provide an up to 200-word election statement, and that statement will be
 260 distributed to the elected faculty senators at least two weeks before the election. The elected
 261 faculty senators will be solicited by email to express their opinions of the nominees to the
 262 Senate Council. The Senate Council will consider this feedback in electing the next Senate
 263 Council Chair in the December preceding the June 1 start date.
 264

265 The Senate Council must elect the Chair by a simple majority. If the Senate Council is not able
 266 to elect the Chair by a simple majority by the end of December, then the elected faculty
 267 senators shall elect the Chair by plurality from those nominated through the above process at
 268 the next regularly scheduled Senate meeting. If there is still a tie, the Chair shall be determined
 269 by random draw. [US: 2/12/2018]
 270

271 When the person chosen is not the incumbent Chair, they shall be known as the Chair-elect.
 272 The Senate Council Chair is always a voting member of the Senate Council, within the
 273 provisions of the *Robert's Rules of Order, Newly Revised*. The Senate Council Chair:
 274

- 275 1. Will exercise preparatory administrative functions as Chair that are attendant to the
 276 effective conduct Senate and Senate Council meetings, including the authority to call
 277 special meetings of the Senate Council (KRS 61.823.2);
 278
- 279 2. As the 'department head' of the Senate Council Office, has certain general supervisory
 280 responsibilities for managing the budget, inventory, and personnel functions of the
 281 Senate Council Office (UK Business Procedures Manual; EVPFA Inventory Policies;
 282 Human Resources Policy and Procedure Administrative Regulation 61.2; KRS 61.030);
 283
- 284 3. Performs as the Presiding Officer of the Senate Council meetings except as they may
 285 delegate that function to the Vice Chair of the Senate Council; as Presiding Officer
 286 possesses attendant responsibilities and authority pursuant to Open Meetings Law
 287 (KRS 61.846(1));
 288
- 289 4. Has general supervisory responsibility for: the preparation of minutes of Senate Council
 290 meetings pursuant to KRS 61.835; the distribution of these minutes to Senate Council
 291 members; the maintenance of official minutes of the Senate Council meetings pursuant
 292 to statutory UK Records schedule (KRS 171.420; President's memo 1/25/95); public
 293 accession to these minutes pursuant to the Open Meetings law (KRS 61.846(1)); and
 294 for the safe transfer of the records of the Senate Council Office to their successor (KRS
 295 57.360);
 296
- 297 5. Has general supervisory responsibility for the maintenance of copies of procedures for
 298 election of Faculty Senators, as approved and submitted by college faculties (as per SR
 299 [Error! Reference source not found.](#));
 300
- 301 6. Has general supervisory responsibility for maintenance an official file of the educational
 302 unit "Rules" (including rules for unit-level membership/voting privileges) and committee
 303 structure for educational policy-making, as established by the respective faculties of
 304 each educational unit, being consistent with the *University Senate Rules*. As each is
 305 received upon approval by the Provost ([GR.VII.E.1-6](#)), the Rules documents in this file
 306 will be maintained in a format accessible as needed by members of the Senate Council
 307 and Senate Committees;
 308
- 309 7. Has general supervisory responsibility for the maintenance a roster of those faculty
 310 employees in each college who are
 311
 - 312 a. full-time faculty employees at each rank in each respective title series (used for
 313 apportionment of seats of elected Faculty Senators (SR [Error! Reference s](#)
 314 [ource not found.](#))),
 315
 - 316 b. eligible to be elected as Faculty Trustees, and those eligible to vote in Faculty
 317 Trustee elections (i.e., those members of the college faculty bodies who are
 318 full-time faculty employees with academic rank at or above Assistant Professor
 319 (or Librarian III) (SR [1.5.2](#)); and
 320
 - 321 c. eligible to be elected as Faculty Senators (i.e., full-time faculty employees in
 322 the Regular, Special, Extension or Librarian Title Series, at or above the rank of
 323 Assistant Professor (or Librarian III), and those eligible to vote in college
 324 election of Faculty Senators (i.e., those eligible to serve plus those other full-

- 325 time faculty who have been conferred with privileges to vote in the college
 326 senator elections (GR VII.E.2, 3) [SR [Error! Reference source not found.](#)],
 327
 328 d. these rosters being obtained from the deans of the respective colleges (GR
 329 II.B.2.b assigns responsibility for these elections to the University Senate);
 330
 331 8. Annually reports to the Chair of the Senate the attendance records of *ex officio* voting
 332 members;
 333
 334 9. Is responsible for the assembly of the Ombud search committee with the composition
 335 specified in the *University Senate Rules* (SR [Error! Reference source not found.](#));
 336
 337 10. Will make the final decision for the Senate on matters of minor course changes, as
 338 these changes are defined in the *University Senate Rules* (SR [Error! Reference source n](#)
 339 [ot found.](#));
 340
 341 11. Advises the chairs of Senate standing committees on the appointment of ad hoc
 342 advisory committees to the standing committees (SR [1.4.1](#));
 343
 344 12. Utilizes regular communication and information sharing with elected college faculty
 345 councils, or similar elected college faculty bodies, to increase the effectiveness of
 346 educational policy-making by the University Senate and the College Faculties;
 347
 348 13. May be directed by the Senate Council to represent that body on a particular matter to
 349 the President, or to another administrative officer, to the Board of Trustees, or to
 350 another University (or external) entity;
 351
 352 14. Serves in a variety of ad hoc circumstances as a voice for the University Faculty, in
 353 different fora before organizations and groups on campus;
 354
 355 15. Is periodically invited by the President or other University administrators to serve on
 356 University-level committees of diverse composition, so as to advocate for the Senate or
 357 Senate Council in the formulation of administrative policy on issues;
 358

359 **1.3.1.3.2 Senate Council Vice-Chair**

360
 361 The Senate Council shall also elect a Vice-Chair at a December meeting from among the six
 362 faculty representatives elected to the Senate Council whose terms do not expire at the end of
 363 that month. The Vice-Chair shall take office the following June 1st and serve through May 31st of
 364 the next year. The Vice-Chair shall:

- 365
 366 1. Preside at any meeting of the Senate or the Senate Council at which the respective
 367 Chair so delegates or at which the Chair is not present, and may be delegated by the
 368 Presiding Officer to introduce the Senate Council motions and resolutions at Senate
 369 meetings. To facilitate the Vice-Chair's readiness to assume these responsibilities, the
 370 Senate Council Chair will keep the Vice-Chair generally apprised of important events
 371 that relate to Senate/Senate Council function.
 372
 373 2. Become chair of the Senate Council for the remainder of the chair's term if for any
 374 reason the chair is no longer able to serve in that capacity.
 375
 376 3. Serve as Secretary of the Senate including review of the Senate minutes prior to their
 377 distribution to senators. [US: 4/10/2000; 2/12/2018]
 378

- 379 4. Serve on the Senate Reinstatement Committee if a subset of Senate Council is so
 380 constituted per SR [1.4.2.10](#). [US: 2/12/2018]
 381
 382 5. Serve on the Committee on Committees. [US: 2/12/2018]
 383
 384 6. Oversee the Senate Council's survey of faculty in regard to the President's annual
 385 performance evaluation. [US: 2/12/2018]
 386
 387 7. Oversee the Outstanding Senator Award process. [US: 2/12/2018]
 388

389 **1.3.1.3.3 Chair-elect**

390
 391 The Chair-elect or a Chair reelected to a second term shall take office as Chair on the following
 392 June 1st and serve through May 31st of the next year. A member of the Senate Council is not
 393 eligible while on sabbatical for election as Chair-elect but a person who has replaced the
 394 member on sabbatical on the Council is eligible to be elected as Chair-elect. The Senate
 395 Council Chair-elect will be given the opportunity to shadow the current Senate Council Chair
 396 during the six months preceding the Senate Council Chair-elect assuming the office. [US:
 397 10/8/2012]
 398

399 **1.3.1.3.4 Conditions of Senate Council Officership**

400

401 **1.3.1.3.4.1 Vice-Chair's Senate Council term ending December 31st**

402

403 An incumbent Vice-Chair whose term as an elected member of the Senate Council expires on
 404 December 31st shall continue in office and serve as a nonvoting member of the Senate Council
 405 until the following May 31st. However, if at a meeting of the Senate or Senate Council the Vice-
 406 Chair is acting as Presiding Officer in place of a nonattending Chair, then at that meeting the
 407 Vice-Chair possesses the same voting status as the Chair. An incumbent Vice-Chair whose
 408 elected term on the Senate Council expires on December 31st shall be eligible to be named
 409 Chair-elect at the meeting that December to choose the next Chair.
 410

411 **1.3.1.3.4.2 Vacancy**

412

413 If for any reason the office of the Vice-Chair should become vacant, the Senate Council shall
 414 act as soon as possible to elect a replacement. [US: 10/12/81; US: 9/8/97]
 415

416 **1.3.1.3.4.3 Senate Council members whose Senate terms have expired**

417

418 Officers of the Senate Council will remain members of the Senate Council for the duration of
 419 their terms of office even if their terms as Senators may have expired. In this eventuality, they
 420 will not be counted as part of their educational units in the election of representatives to the
 421 Senate or to the Senate Council, thereby expanding the normal size of both those bodies. [US:
 422 10/12/81; US: 9/8/97; GR IV.B]
 423

424 * Under this rule, because the Senate Council Chair-elect is an officer of the Senate
 425 Council (SR 1.3.1.3.3), if the individual's regular three-year term on the Senate Council
 426 has ended on December 31, the Senate Council Chair-elect shall be a nonvoting
 427 member of the Senate Council for the portion of their term of office as Senate Council
 428 Chair-elect that runs from January 1 – May 31. [SREC: 12/17/2013]
 429

430 **1.3.1.3.5 Senate Council Office Staff**

431

432 An Administrative Assistant, employed by and responsible to the Senate Council, shall carry out
 433 the routine and continuing activities which are essential to the functioning of the Senate
 434 Council. [US: 10/12/81; US: 9/8/97]

435
 436 An assistant, employed by and responsible to the Senate Council, shall carry out the routine
 437 and continuing activities essential to the functioning of the University Senate such as
 438 scheduling meeting rooms; taking minutes, word processing and distributing Senate agenda,
 439 memos and other correspondence; assisting the Chair of the Rules and Elections Committee in
 440 conducting nominations and elections; and other activities. [US: 4/10/2000]
 441

442 **1.3.2 UNIVERSITY SENATE ACADEMIC COUNCILS**

443 **1.3.2.1 General Policies for Academic Councils**

444 **1.3.2.1.1 Composition**

445 The membership and specific election processes of the academic councils are described in their
 446 respective sections (SR XXX for Graduate Council (GC) <insert reference>, SR YYY for
 447 Undergraduate Council (UC) <insert reference>, and SR ZZZ for Health Care Colleges Council
 448 (HCCC) <insert reference>).

449
 450 Elections for academic council membership shall take place during the spring semester prior to
 451 the beginning of the member's term. Voting shall be conducted by electronic secret ballot or
 452 paper secret ballot if electronic election is not feasible.
 453

454 **1.3.2.1.1.1 Elected Faculty Members**

455 Pursuant to *Administrative Regulations* on tenure-ineligible title series and on faculty members
 456 with certain administrative appointments, the following terms apply.

- 457 (1) Tenured faculty employees who have been appointed to an administrative position at or
 458 above the level of department chair are not eligible to participate in the election of faculty
 459 representatives, or serve as one of the elected faculty representatives, to faculty
 460 governance bodies above the college level (e.g., Board of Trustees, University Senate,
 461 Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see
 462 HRPP 4.0; GR11.B.2.b.(2)); and
 463 (2) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for
 464 regularly assigned university-level service activities (including the Senate), except that
 465 the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be
 466 eligible to vote for representation, and stand for election, specifically to the University
 467 Senate, by extending College Faculty membership to Lecturer Series or Clinical Title
 468 Series (to be documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more
 469 details). [SREC: 4/15/2011]
 470

471 **1.3.2.1.1.2 Ex Officio Members**

472 Ex officio members may be voting or nonvoting, but the position is nonvoting unless indicated
 473 otherwise.
 474

475 **1.3.2.1.1.3 Student Members**

476 Student members shall be recommended by the Student Government Association.
 477

478 **1.3.2.1.1.4 Terms**

479 All terms begin on August 16 and expire on August 15. Members shall serve until the expiration
 480 of their terms or until their successors have been named, or until they have become ineligible.
 481

482 The terms of elected faculty members shall be three (3) years, with elections being conducted so
 483 that the terms will be staggered. Each elected faculty member shall be eligible for reelection for
 484 a second consecutive term, but ineligible for further membership until one year has elapsed.

485

486 The terms of appointed members and student members shall be one (1) year. Appointed
487 members may serve two consecutive terms but are ineligible for reappointment for a third
488 appointed term until one year has lapsed.

489

490 The terms for student members are generally one academic year, although if they remain

491 eligible they may serve additional terms.

492

493 **1.3.2.1.1.5 Elections**

494 Colleges shall hold secret ballot elections for membership on the academic councils during the
495 spring semester.

496

497 **1.3.2.1.1.6 Vacancies**

498 Upon resignation of any elected faculty member or when such a member is no longer eligible to
499 be a member of the academic council (e.g., resignation, leave of absence, assumption of
500 administrative title, loss of status as a faculty employee), a vacancy shall be declared by the
501 chair of the academic council.

502

503 The chair of the academic council shall fill the vacancy by the elected alternate or the eligible
504 candidate who at the most recent election ranked the highest without being elected and who is
505 eligible and willing to serve. The academic council chair shall inform the Senate Council office of
506 the change in membership. If there is no such individual, the chair of the academic council shall,
507 after consultation with the Senate Council Chair, appoint an eligible member who fulfills the
508 requirements of the vacant seat. The term of appointment shall be for the remainder of the
509 unexpired term and the partial term does not count toward the new member's limit of two
510 consecutive terms

511

512 **1.3.2.2 Responsibilities**

513 Academic councils are expected to meet at least monthly and shall record minutes of actions
514 taken at all meetings. These minutes shall be available no later than one week after the end of
515 the next meeting. A majority of the voting members shall constitute a quorum for the transaction
516 of business unless indicated otherwise.

517

518 **1.3.2.3 Procedures**

519 An academic council shall act on proposals through established Senate processes (SR 3.1 and
520 SR 3.2) in either of the following ways;

521

- 522 1. Forward a positive recommendation to the next procedural Senate level that is
- 523 prescribed in SR 3:1 or SR 3.2; or
- 524
- 525 2. Forward a negative recommendation to the Senate Council.

526

527 If an academic council submits a negative recommendation to Senate Council, then the Senate
528 Council shall:

529

- 530 i) Forward the proposal to the next prescribed procedural level as described in SR
- 531 3.1 and SR 3.2, or
- 532
- 533 ii) Return the proposal to the academic council with particular instructions, or
- 534
- 535 iii) Decide based on the merit of the proposal that the proposal is not appropriate for
- 536 Senate action and report the same to Senate at its next meeting.

537

538 **1.3.3 GRADUATE COUNCIL (GC)**

539 See SR 1.3.2 for general policies related to Senate's academic councils.

540

541 **1.3.3.1 Relationship of Graduate Faculty to the University Senate**

542

543 **1.3.3.1.1 Role of the Graduate Faculty**

544

545 The Graduate Faculty shall make recommendations to the University Senate on

546

547 1. academic matters that require University Senate approval, including graduate courses
548 and programs and graduate program reviews (GR VII.C.4; GR VII.E.1) and

549

550 2. conditions of merit and circumstance for Honorary Degrees.

551

552 The Graduate Faculty may perform the above functions directly, through the Graduate Council, or
553 through committees that it or the University Senate may establish. Councils of the Graduate
554 School may be appointed by the Dean of the Graduate School or elected, as prescribed by the
555 *Rules of the Graduate Faculty* and approved by the University Senate. The Graduate Council
556 shall have the authority and responsibilities delegated to it by the Dean of the Graduate School,
557 by the Graduate Faculty in the *Rules of the Graduate Faculty*, and by the *University Senate*
558 *Rules*. (GR VII.E).

559

560 **1.3.3.1.2 Graduate Faculty Membership**

561

562 Upon the recommendation of the members of the graduate faculty of a program, and
563 transmitted through the Director of Graduate Studies, the Graduate Council will review, and the
564 Graduate Dean will then render final action on, nominees for Graduate Faculty membership
565 (GR VII.A.3.a). Associate and other classes of members in the Graduate Faculty (including but
566 not limited to Directors of Graduate Studies [US: 4/21/52], may be appointed by the Dean of the
567 Graduate School, with appropriate duties and privileges, as provided by the *Rules of the Graduate*
568 *Faculty* and as approved by the University Senate (GR VII.E.1.B).

569

570 On recommendation of the Director of Graduate Studies and with the approval of the Dean of the
571 Graduate School, persons who normally do not hold academic appointment in the University, but
572 who have demonstrated an interest in collaborative participation in its graduate programs, may be
573 appointed as Auxiliary Graduate Faculty Members. They should hold the terminal academic
574 degree in the field and possess a record of research or creative experience that would warrant
575 their inclusion on advisory committees to assist graduate students in conducting research. [US:
576 12/13/54; US: 09/08/86]

577

578 The Director of Graduate Studies serves as program advisor to each student until the
579 student has a thesis or dissertation director. [US: 04/21/52]

580

581 Graduate courses should be taught by Graduate Faculty members. If a program needs to assign a
582 person without a terminal degree to teach a graduate level course, they must first petition the Dean
583 of the Graduate School, explaining the unique circumstances and qualifications supporting this
584 assignment. [US: 12/13/54]

585

586 The President, the Vice President for Research, the Provost, and the Dean of the Graduate
587 School shall be *ex officio* nonvoting members of all committees of the Graduate School.
588 [US: 04/21/52]

589

590 **1.3.3.2 Charge**

591

592 The GC shall meet on the call of the Graduate Dean, or upon written request of five (5)
 593 members of the GC. The charge to the GC is not intended to prevent a faculty member from
 594 presenting a recommendation or request directly to the Graduate Faculty.

595
 596

597 **1.3.3.2.1 Courses, Programs, and Other Proposals**

598 It shall consider all proposed new courses and changes in courses that may be used for credit
 599 toward a graduate degree, including providing recommendations to the Undergraduate Council
 600 for courses numbered 400G-499G. [US: 10/11/99] It shall consider all proposed new graduate
 601 programs and changes in graduate programs, and degree titles (for both graduate program
 602 degrees and Honorary Degrees). (See SR 3.1.3). The GC may also be directed by the Senate
 603 Council to review any proposal relevant to its charge. See SR 1.3.2.3 for academic council
 604 procedures.

605
 606
 607
 608

609 **1.3.3.2.2 Review of Other Graduate Programmatic Activities**

610
 611 The GC shall periodically review and report to the Graduate Faculty and Senate on the
 612 effectiveness of the educational policies of the Graduate School in relation to the education and
 613 training of postdoctoral scholars/fellows and in relation to postbaccalaureate students.

614

615 **1.3.3.2.3 Honorary Degrees**

616

617 The responsibilities of the GC relative to Honorary Degrees include periodically make
 618 recommendations to the elected faculty representatives in University Senate on the titles used
 619 for honorary degrees, and on the conditions of merit and circumstance applied to the award of
 620 honorary degrees (GR IV.A, C)

621

622 **1.3.3.2.4 Extent of Authority**

623 The GC does not have any final decision-making authority.

624

625

626 **1.3.3.3 Composition**

627 The GC will examine its composition annually at its last meeting of the academic year. There
 628 shall be eighteen voting members of the Graduate Faculty, plus the chair. [US: 4/12/2004]

629

630 **1.3.3.3.1 Chair**

631 The dean of the Graduate School serves as the chair.

632

633 **1.3.3.3.2 Voting Elected Faculty Members**

634 The GC shall consist of eighteen voting faculty members elected from the Graduate Faculty.

635

636 The composition of the elected membership of the GC is as follows:

637

- 638 • Two members from the College of Agriculture, Food and Environment;

639

- 640 • Three members from the College of Arts and Sciences;

641

- 642 • One member from the Gatton College of Business and Economics;

643

- 644 • One member from: the College of Communication and Information, the College of
 645 Social Work, the Patterson School of Diplomacy and International Commerce and the
 646 Martin School of Public Policy and Administration;
 647
 648 • One member from the College of Education;
 649
 650 • One member from the College of Engineering;
 651
 652 • One member from the College of Design and the College of Fine Arts;
 653
 654 • Two members from the College of Medicine;
 655
 656 • One member from the College of Pharmacy and the College of Dentistry; and
 657
 658 One member from the College of Health Sciences, the College of Nursing, and the
 659 College of Public Health

660 Members representing a college or a combination of colleges are elected by the Graduate
 661 Faculty whose primary appointment to the Graduate Faculty is in the respective college(s). [US:
 662 3/21/83]
 663

664 **1.3.3.3.3 Voting Appointed Members**

665 There shall be two voting members appointed from the Graduate Faculty by the Dean,
 666

667 **1.3.3.3.4 Voting Student Members**

668 There shall be two voting graduate student members recommended by the Student
 669 Government Association.
 670

671 **1.3.3.3.5 Ex Officio Voting Members**

672 The GC shall also have liaisons from the other two academic councils and the Senate Council,
 673 who will serve as ex officio voting members.
 674

- 675 • The GC chair shall contact the Undergraduate Council (UC) and request the UC identify
 676 a member of that council to serve as an ex officio voting member of the GC.
 677
 678 • The GC chair shall contact the Health Care Colleges Council (HCCC) and request the
 679 HCCC identify a member of that council to serve as an ex officio voting member of the
 680 GC.
 681
 682 • The GC chair shall contact the Senate Council and request the SC identify an individual
 683 to serve as the liaison from the Senate Council, an ex officio voting member. The
 684 liaison from the Senate Council is not necessarily from within the Senate Council's
 685 membership.
 686

687 **1.3.3.3.6 Ex Officio Nonvoting Members**

688 Graduate School associate deans are ex officio nonvoting members of the GC.
 689

690 **1.3.3.4 Election**

691
 692 Only regular ("full") members of the Graduate Faculty shall be eligible to serve on the GC and to
 693 vote in the GC election. Graduate Faculty members with administrative titles above that of
 694 department chair or who do not possess an employment status of a full-time faculty member are
 695 not eligible. (see SR 1.3.2.2) In addition, members of the Graduate Faculty from departments
 696 which have representatives with unexpired terms on the GC shall not be eligible. [US: 11/11/85]
 697

698 The office of the Graduate School Dean will be responsible for administering the election
 699 procedure but the Senate Rules and Elections Committee (SREC) will certify all aspects of the
 700 election, including voting procedures, number of open seats, and eligible membership. . The
 701 Graduate School Dean's Office will prepare the lists of faculty members eligible to vote and
 702 those eligible to serve. For each college or collection of colleges where there is an election to
 703 be held, the Graduate School Dean's office will send a list of those eligible to be elected to each
 704 person eligible to vote, who will be invited to nominate an eligible person for the GC by a letter.
 705 In addition, in each department (or college) that has a graduate program, the chair (or dean)
 706 and the director of graduate studies will each be urged to submit a nomination by letter. The
 707 Graduate School Dean's office shall check on the willingness of persons to serve and will get a
 708 very brief biographical statement from each person nominated. If fewer than three persons are
 709 nominated and are willing to serve from any college or collection of colleges, the Graduate
 710 School Dean's office shall call a brief meeting of the directors of graduate study from the unit(s)
 711 for the purpose of nominating additional persons to make a total of three. (In the event that
 712 more than one person was to be elected from the unit, this group would meet if necessary to
 713 pick nominees equal to three times the number to be elected.) [US: 1/18/88]

714
 715 Once the nomination process has been completed, ballots will be sent out containing the
 716 names of all those nominated. Each person must vote for as many candidates as there are
 717 vacancies to be filled or their ballot will be disqualified. The person or persons receiving the
 718 largest number of votes will be elected. [US: 1/18/88]

719
 720
 721
 722
 723

724 **1.3.4 UNDERGRADUATE COUNCIL (UC)**

725 See SR 1.3.2 for general policies related to Senate's academic councils.

726
 727

728 **1.3.4.1 Charge**

729

730 The UC's responsibilities relative to courses and programs shall be as follows:

731

732 **1.3.4.1.1 Courses, Programs, and Other Proposals**

733

734 The UC shall consider proposals for all courses numbered 100-499G, and provide
 735 recommendations to the Graduate Council for all courses numbered 500-599. [US: 10/11/99] It
 736 shall consider all proposed new undergraduate programs, changes in undergraduate programs,
 737 including degree titles, from all colleges offering an undergraduate degree. Further, it shall
 738 consider all changes in the University requirements. The UC may also be directed by the
 739 Senate Council to review any proposal relevant to its charge. See SR 1.3.2.3 for academic
 740 council procedures.

741

742

743

744

745 **1.3.4.1.2 Extent of Authority**

746 The UC has final decision-making authority regarding the addition of new high school sites to an
 747 in-effect, Senate-approved dual credit arrangement.

748

749 **1.3.4.1.3 Composition**750 **1.3.4.1.4 Chair**

751 A tenured faculty member well-versed in curricular matters shall be appointed by the Senate
752 Council to chair the UC. [US: 11/13/2017]

753

754 **1.3.4.1.5 Voting Faculty Members**

755 The UC shall consist of fourteen regular full-time faculty members elected by and from the
756 faculties of colleges or parts of colleges offering an undergraduate degree, or Honors College
757 program credential as described below. (see SR 1.3.4.2.1). [US: 10/12/81; US:4/9/84; US:
758 4/14/86; US: 10/12/87]

759

760 • One member from the College of Agriculture, Food and Environment;

761

762 • One member from the combined areas of Humanities and Social Sciences in the
763 College of Arts and Sciences;

764

765 One member from the areas of Natural Sciences and Mathematics area in the College
766 of Arts and Sciences;

767

768 • One member from the Gatton College of Business and Economics;

769

770 • One member from College of Communication and Information;

771

772

773 • One member from the College of Design;

774

775 • One member from the College of Education;

776

777 • One member from the College of Engineering;

778

779 • One member from the College of Fine Arts;

780

781 • One member from the Graduate School

782

783 • One member from the College of Health Sciences;

784

785

786 • One member from the Lews Honors College;

787

788 • One member from the College of Nursing;

789

790 • One member from the College of Public Health; and

791

792 • One member from the College of Social Work;

793

794

795

796

797 **1.3.4.1.6 Voting Student Members**

798 There shall be two voting student members recommended by the Student Government
799 Association, who are from the colleges from which elected faculty members are elected.

800

801 **1.3.4.1.7 Ex Officio Voting Members**

802 The UC shall have liaisons from the other two academic councils and the Senate Council, who
803 will serve as ex officio voting members.

804

805 • The UC chair shall contact the Graduate Council (GC) and request the GC identify a
806 member of that council to serve as an ex officio voting member of the UC.

807

808 • The UC chair shall contact the Health Care Colleges Council (HCCC) and request the
809 HCCC identify a member of that council to serve as an ex officio voting member of the
810 UC.

811

812 • The UC chair shall contact the Senate Council (SC) and request the SC identify an
813 individual to serve as the liaison from the SC, an ex officio voting member. The liaison
814 from the SC is not necessarily from within the SC's membership.

815 [US: 10/12/81; US: 4/9/84; US: 4/14/86; US: 10/12/87]

816

817 **1.3.4.1.8 Ex Officio Nonvoting Members**

818 The chair of the UC shall appoint an *ex officio* nonvoting member from the Registrar's Office
819 selected by the Assistant Provost for Enrollment Management. [US: 4/14/97]

820

821 **1.3.4.2 Election**

822

823 Members of faculties of those colleges listed in SR 1.3.4.1.3 who are eligible to vote for and be
824 elected to the University Senate shall be eligible to serve in and vote for all elected members of
825 the UC. [US: 10/8/2001]

826

827 *

828

829 . [US: 10/12/87; US: 1/18/88; US: 4/23/2001]

830

831 Individual colleges shall be responsible for administering their own elections. The Senate Rules
832 and Elections Committee (SREC) will certify all aspects of the election, including voting
833 procedures, number of open seats, and eligible membership.

834

835 Colleges shall particularly solicit nominations from department Chairs and Directors of
836 Undergraduate Studies and ensure those on the ballot are willing to serve. The person
837 receiving the highest number of votes for a given vacancy will be elected. Ties will be decided
838 by lot. The SREC will certify all election results. [US: 10/8/2001; US: 11/13/2017]

839 **1.3.4.3 Senate Committee for Graduation Composition and Communication Requirement**
840 **(SCGCCR)**

841

842 The CGCCCR is charged with oversight of the Graduation Composition and Communication
843 Requirement (SR [Error! Reference source not found.](#)3). A permanent subcommittee reporting to t
844 he Undergraduate Council, the SCGCCR is charged with establishing, publishing, and
845 implementing the campus-wide policies and procedures for evaluating the academic
846 components of the GCCR and their assessment. [US: 5/6/2013]

847 **1.3.4.3.1 Charge**

848

849 The CGCCCR shall exercise the following functions [US: 11/13/2017]:

850

851 1. It shall recommend procedures and guidelines for undergraduate degree programs to
852 submit proposals for implementing the GCCR.

853

854 2. It shall evaluate proposals by programs for implementing the GCCR and forward
855 recommendations for further action.

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3. It shall develop and recommend a campus assessment plan for the GCCR and monitor the results of program-level assessments, in accordance with the SACSCOC reaffirmation of accreditation. The committee shall review and report on this data either periodically or as requested by the Senate Council.
 4. It shall recommend policies for transfer equivalencies and for granting exceptions to the GCCR, and, if called upon by the Senate to do so, it shall broadly communicate these policies to all undergraduate colleges (SR 9.19), the Registrar, and other appropriate parties.
 5. Upon the recommendation of the Senate Council, or upon its own initiative, it shall consider and recommend necessary changes in the educational structure of the program or in its academic requirements. The GCCR committee may recommend changes for the GCCR to the Undergraduate Council or other appropriate University Senate channels for approval.

873 **1.3.4.3.2 Extent of Authority**

874 The CGCCR does not have any final decision-making authority.
875

876 **1.3.4.3.3 Composition**

877 The CGCCR is not required to be chaired by an elected faculty senator nor is it required to be
878 composed of more than one-half of members who are elected faculty senators.
879

880 **1.3.4.3.3.1 Chair**

881 The Senate Council shall identify a chair from eligible faculty members who have appropriate
882 expertise in communication and assessment
883

884 **1.3.4.3.3.2 Voting Faculty Members**

885 It shall be composed of at least eight voting members from the University faculty broadly
886 representative of the undergraduate colleges (SR 9.20). Members should have appropriate
887 expertise in communication and assessment and should collectively represent the three modes
888 of communication (written, oral, and visual) comprising the GCCR. [US: 11/13/2017]
889

890 **1.3.4.3.3.3 Ex Officio Nonvoting Members**

891 There shall be three ex officio nonvoting members:
892

- 893 • The Associate Provost for Student and Academic Life (or designated representative);
- 894
- 895 • One representative from the Office of Assessment; and
- 896
- 897 • One representative from the University Registrar.
898

899 **1.3.5 HEALTH CARE COLLEGES COUNCIL (HCCC)**

900 See SR 1.3.2 for general policies related to Senate's academic councils.
901

902 The HCCC shall meet upon call of the HCCC chair. Six elected members or their alternates with
903 a voting status for the purposes of the meeting shall constitute a quorum for the transaction of
904 business.
905

906
907 [US: 5/9/2005]
908

909 **1.3.5.1 Charge**

910
911 The HCCC shall be responsible to the University Senate concerning academic matters related
912 to the six health-care-related colleges: Dentistry, Health Sciences, Medicine, Nursing,
913 Pharmacy, and Public Health.
914

915 **1.3.5.1.1 Courses, Programs, and Other Proposals**

916
917 It shall consider, (i) all proposed new courses and changes in courses offered in a professional
918 health care program (SR Error! Reference source not found.), or (ii) undergraduate or graduate c
919 ourses that involve students in health care practices that originate from a college represented
920 on the HCCC (SR Error! Reference source not found.).
921

922
923 It shall consider all proposals for new academic professional programs, changes in academic
924 professional programs, changes in professional degrees or degree titles, changes in the
925 admission or graduation requirements, and other academic issues concerning professional
926 health care programs that originate from a college represented on the HCCC. During review, it
927 shall ensure that the development of new programs is accompanied by appropriate modification
928 or discontinuation of old programs or courses in accordance with the *University Senate*
929 *Rules*. The HCCC may also be directed by the Senate Council to review any proposal relevant
930 to its charge. See SR 1.3.2.3 for academic council procedures.
931

932 **1.3.5.1.2 Extent of Authority**

933 The HCCC does not have any final decision-making authority.
934
935
936

937 **1.3.5.2 Composition**

938 **1.3.5.2.1 Chair**

939 On a rotating three-year basis, an associate dean from one of the health care colleges shall be
940 appointed by the Senate Council to serve as chair of the HCCC. The order of rotation is as
941 follows: Dentistry, Health Sciences, Medicine, Nursing, Pharmacy, and Public Health.
942

943 **1.3.5.2.2 Voting Regular Members and Alternates**

944 To be eligible for election, HCCC shall consist of the voting regular members and alternate
945 members described below. The elected faculty representatives shall be elected by the faculty of
946 each college. There shall be two regular members and two alternate members from each of the
947 colleges listed below.
948

- 949 • College of Dentistry;
- 950
- 951 • College of Health Sciences;
- 952
- 953 • College of Medicine;
- 954
- 955 • College of Nursing;
- 956
- 957 • College of Pharmacy; and
- 958
- 959 • College of Public Health.

960
961
962

963 Those eligible for election are regular, full-time faculty members of one of the six specified
 964 college faculties and shall be limited to those college faculty members who are eligible to be
 965 elected to the University Senate (see SR1.3.2.2). In addition, they must have been members of
 966 a college faculty of one of the represented colleges in a full-time academic rank for a period of at
 967 least two years.
 968

969 Alternate members shall be notified of all meetings and shall have the privilege of attending all
 970 meetings; they shall not vote, however, unless they are serving in place of regular members.
 971 The alternates shall receive copies of minutes and other materials distributed to the HCCC.
 972 When a regular member must be absent from a meeting, either alternate from their college can
 973 be designated by the HCCC chair to serve as the voting member during that particular meeting.
 974

975 **1.3.5.2.3 Ex Officio Voting Members**

976 The HCCC shall also have liaisons from the other two academic councils and the Senate
 977 Council, who will serve as ex officio voting members.
 978

- 979 • The HCCC chair shall contact the Graduate Council (GC) and request the GC identify a
 980 member of that council to serve as an ex officio voting member of the HCCC.
 981
- 982 • The HCCC chair shall contact the Undergraduate Council (UC) and request the UC
 983 identify a member of that council to serve as an ex officio voting member of the HCCC.
 984
- 985 • The HCCC chair shall contact the Senate Council (SC) and request the SC identify an
 986 individual to serve as the liaison from the SC, an ex officio voting member. The liaison
 987 from the SC is not necessarily from within the SC's membership.
 988
 989

990 **1.3.5.3 Election**

991
 992 Colleges must elect both a regular member and an alternate. The Senate Rules and Elections
 993 Committee (SREC) will certify all aspects of the election, including voting procedures, number of
 994 open seats, and eligible membership.
 995
 996
 997

998 **1.4. UNIVERSITY FACULTY SENATORS**

999
 1000 The body that is the elected University Faculty Senators (GR II B.5.b;GR IV.A), has through its
 1001 election by and from the University Faculty the representative authority to perform particular
 1002 functions that, by law (KRS 164.240), are reserved to the University Faculty (GR II.B.5.b; GR
 1003 IV.B). These functions are as specified in SR **Error! Reference source not found.** items 8–11 a
 1004 nd SR **5.5**. Recommendations from this body to the Board of Trustees will be submitted through
 1005 the Chair of the Senate (the University President) (GR IV), who is also member of the University
 1006 Faculty (GR III.A.2). The body that is comprised of University Faculty Senators is also the body
 1007 authorized to vote for and elect nine (9) persons of its membership as representatives to the
 1008 Senate Council (SR **1.3.1.2.1**).
 1009

1010 In situations where the Board of Trustees or University President seeks the opinion of a directly
 1011 elected University-level faculty body on a matter, as is sought from the elected Student
 1012 Government Association concerning student opinion, or as is sought from the elected Staff
 1013 Senate concerning staff opinion, the Senate Council Chair will solicit and transmit the opinion of
 1014 the elected University Faculty Senators. If conditions preclude obtaining the opinion of the
 1015 elected University Faculty Senators on the matter, the Senate Council Chair shall solicit and
 1016 transmit the opinion of the Faculty Senators elected to the Senate Council. The body that is

1017 comprised of the University Faculty Senate Council Members is also the body authorized in
 1018 certain situations to fill vacancies that arise in its membership (SR [1.3.1.2.1.4](#)).
 1019

1020 **1.4.1 STRUCTURE OF UNIVERSITY SENATE COMMITTEES**

1021
 1022 [US: 2/13/89, US: 2/3/2003; 5/2/2022]
 1023

1024 1025 **1.4.1.1 Types of Senate Committees**

1026 The University Senate may perform its functions directly, through the Senate Council, through
 1027 standing committees, or through special committees of the Senate. The Senate may also
 1028 establish advisory committees and may delegate any of its authority or responsibility to the
 1029 Graduate School or the faculties of colleges, schools, departments, centers, and institutes. (GR
 1030 IV.B).

1031
 1032 For courses homed outside of a college, the Senate designates the different faculty committees
 1033 responsible for each of those courses in a manner similar to educational unit faculty. Those
 1034 committees shall annually report to the Senate Council on the academic status and operation of
 1035 their courses.

1036
 1037 The University Senate shall have three types of committees.

- 1038
 1039 1. Standing committees of the Senate responsible only to that body;
 1040 2. (3) Special committees (hereafter referred to as *ad hoc* committees); and
 1041 3. Advisory committees responsible in an advisory capacity to the President and/or other
 1042 administrative officers and to the Senate.

1043 Committees are comprised of elected faculty senators and other members of the University
 1044 Senate, as well as students, faculty who are not senators, and administrative staff. All student
 1045 members of Senate committees are assumed to be recommended by the Student Government
 1046 Association unless indicated otherwise.
 1047

1048 **1.4.1.1.1 Standing Committees**

1049 The Senate Council shall determine the chair and number of members for each standing
 1050 committee, within the specifications of the Senate for a particular committee. The terms of office
 1051 for elected faculty senators on standing committees shall generally be for three years. Terms of
 1052 office for student members and nonsenators shall be for one year. Terms begin on August 16 of
 1053 each year. The Senate Council shall compose standing committees so that terms are staggered
 1054 to provide approximately a one-third change in membership each year.
 1055

1056 *

1057 1058 **1.4.1.1.1.1 Membership Requirements**

1059 Unless described otherwise in a committee's description, the committee chair and at least one-
 1060 half of the members of the committee shall be elected faculty senators.

1061 Pursuant to Administrative Regulations on tenure-ineligible title series and on faculty members
 1062 with certain administrative appointments, the following terms apply.

- 1063 (1) Tenured faculty employees who have been appointed to an administrative position at or
 1064 above the level of department chair are not eligible to participate in the election of faculty
 1065 representatives, or serve as the elected faculty representative, to faculty governance
 1066 bodies above the college level (e.g., Board of Trustees, University Senate, Graduate
 1067 Council, Undergraduate Council, and Health Care Colleges Council) (see HRPP 4.0;
 1068 GR.II.B.2.b.(2)); and

1069 (2) Regular, full-time faculty employees in a tenure-ineligible series are not eligible for
 1070 regularly assigned university-level service activities (including the Senate), except that
 1071 the tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be
 1072 eligible to vote for representation, and stand for election, specifically to the University
 1073 Senate, by extending College Faculty membership to that faculty series (to be
 1074 documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details). [SREC:
 1075 4/15/2011]

1076
 1077 Emeritus faculty are eligible for appointment to Senate committees as long as other committee-
 1078 specific requirements for committee composition are also met. [SREC: 2/5/2020]

1079
 1080 The Senate Council Chair is an ex officio voting member of all Senate standing committees.

1081
 1082 **Ex officio members are always nonvoting except where indicated otherwise, and are**
 1083 **selected by Senate Council to represent a particular office or area to enhance the**
 1084 **effectiveness of the committee's deliberations.**

1085
 1086

1087 **1.4.1.1.2 Advisory Committees**

1088 Appointment to advisory committees shall be made by the Chair of the Senate (the President)
 1089 after consultation with the Senate Council.

1090

1091 After consultation with the Student Government Association and other appropriate student
 1092 groups, the Senate Council may recommend to the President that students be appointed to an
 1093 advisory committee of the Senate. Similarly, the Senate Council may consult with the elected
 1094 Faculty Senators, or the *ex officio* Senators, concerning the membership of faculty employees or
 1095 administrative staff employees to such advisory committees.

1096

1097

1098 **1.4.1.1.3 Special ("Ad Hoc") Committees**

1099 Prior to its own action on an issue, the Senate Council normally shall refer all issues to
 1100 appropriate standing committees unless an issue arises that is not clearly within the jurisdiction
 1101 of one of the committees, or unless an issue demands such immediate attention that the
 1102 appropriate committee, in the view of its chair, would be unable to report on it in due time. If
 1103 either of these two situations arise, the Senate Council may appoint an ad hoc committee
 1104 to address academic and other issues facing the University. These committees expire not later
 1105 than one year after their appointment unless the Senate Council acts to renew the committee's
 1106 existence for another year. [US: 10/12/81] [4/14/86]

1107

1108

1109

1110 Other than their temporary nature *ad hoc* committees have the same status and responsibilities
 1111 as all other committees of the Senate. .

1112

1113 **1.4.1.2 Vacancies**

1114 Appointments to fill committee vacancies shall be made in the same manner as appointments for
 1115 regular terms. Membership on Senate committees shall continue until terms expire or
 1116 successors are appointed.

1117

1118

1119

1120 **1.4.1.3 Procedures**

1121 Acting within their charges, Senate committees shall review and report to the Senate Council
 1122 upon issues that bear on the functions of the Senate prior to their submission to the Senate.

1123

1124 A Senate committee shall act on proposals through established Senate processes (SR 3.1 and
1125 SR 3.2) in either of the following ways;

1126

1127 1. Forward a positive recommendation to the next procedural Senate level that is
1128 prescribed in SR 3:1 or SR 3.2; or

1129

1130 2. Forward a negative recommendation to the Senate Council.

1131

1132 If the committee submits a negative recommendation to Senate Council, then the Senate
1133 Council shall:

1134

1135 i) Forward the proposal to the next prescribed procedural level as described in SR
1136 3.1 and SR 3.2, or

1137

1138 ii) Return the proposal to the committee with particular instructions, or

1139

1140 iii) Decide based on the merits of the proposal that the proposal is not appropriate for
1141 Senate action and report the same to Senate at its next meeting.

1142

1143 Unless otherwise specified, items for potential consideration by University Senate committees,
1144 which are suggested by other than the Senate or Senate Council, reach the respective
1145 committees through the office of the Senate Council.

1146

1147 **1.4.1.3.1 Subcommittees**

1148 The chairs of Senate standing committees may appoint ad hoc committees and must select their
1149 members in consultation with the Senate Council Chair. Such a subcommittee must be chaired
1150 by a member of the parent committee.

1151

1152

1153

1154 **1.4.1.3.2 Reporting to the Senate**

1155 Standing committees of the Senate shall have the privilege of presenting reports to the Senate,
1156 subsequent to review by the Senate Council. If the Senate Council fails to present a committee
1157 report for action during three regular Senate meetings after it has been submitted to the Senate
1158 Council, the committee may bring its report directly to the floor for action by the Senate at any
1159 subsequent meeting, provided that the report has been appropriately circulated in advance. In
1160 such circumstance, the committee chair must submit a formal request to the Senate Council
1161 office at least two weeks prior to the Senate meeting to request that the item be placed on that
1162 Senate meeting's agenda.

1163

1164 **1.4.1.3.3 Recordkeeping**

1165 Standing, ad hoc and advisory committees shall record minutes of actions taken at all meetings.
1166 These minutes shall be available not later than one week after the end of the next meeting. The
1167 committee chair shall be responsible for forwarding copies of the minutes to the Senate Council
1168 at the end of each academic year. These minutes may be in addition to or may substitute for the
1169 committee's annual report, at the discretion of the Senate Council. Committees that did not meet
1170 or conduct any business during the academic year shall forward to the Senate Council a report
1171 to that effect. [US: 2/3/2003]

1172

1173 **1.4.2 LIST OF STANDING COMMITTEES**

1174

1175 **1.4.2.1 Senate Nominating Committee**

1176 **1.4.2.1.1 Charge**

1177 The SNC is responsible for topics related to nominations for committee membership.
 1178 Specifically, the SNC shall review and offer recommendations on: requests for faculty
 1179 representatives, considering all aspects of a nominee (race, gender, ethnicity, unit affiliation,
 1180 discipline, tenure status, rank, administrative position, previous service to the Senate, etc.) and
 1181 the purpose of the committee for which the nominee was requested; policies to promote diverse
 1182 memberships; and any other similar topic assigned to it.

1183

1184 **1.4.2.1.1.1 Extent of Authority**

1185 The SNC does not have any final decision-making authority.

1186

1187 **1.4.2.1.2 Composition**

1188 The SNC shall be comprised of a sufficient number of elected faculty senators to conduct
 1189 business. (see SR 1.4.1.1.2)

1190

1191

1192 **1.4.2.1.3 Senate Calendar Committee (SCC)**

1193 **1.4.2.1.3.1 Charge**

1194 The SCC is responsible for topics related to the Academic Calendar. Specifically, the SCC shall
 1195 review and offer recommendations for action on: the Academic Calendars submitted by the
 1196 Registrar <insert SR Section 2 reference>; program- and course- specific requests for calendars
 1197 that deviate from the Academic Calendar; issues related to closures, academic holidays, and
 1198 waivers for academic holidays; and any other similar topic assigned to it.

1199

1200 **1.4.2.1.3.1.1 Extent of Authority**

1201 The SCC does not have any final decision-making authority.

1202

1203 **1.4.2.1.3.2 Composition**

1204 The SCC shall be composed of voting faculty members, a voting student member, and ex officio
 1205 nonvoting members.

1206

1207 **1.4.2.1.3.2.1 Chair**

1208 The chair shall be one of the voting faculty members.

1209

1210 **1.4.2.1.3.2.2 Voting Faculty Membership**

1211 The SCC shall be comprised of a sufficient number of elected faculty senators to conduct
 1212 business.

1213

1214 **1.4.2.1.3.2.3 Voting Student Members**

1215 There shall be one student member recommended by the Student Government Association.

1216

1217 **1.4.2.1.3.2.4 Ex Officio Nonvoting Members**

1218 There shall be two ex officio nonvoting members.

1219

1220 • One representative of the Provost's office that is responsible for preparing academic
 1221 calendars, nominated by the Provost; and

1222

1223 • One representative of the President nominated by the President.

1224

1225

1226 **1.4.2.1.4 Senate Faculty Affairs Committee (SFAC)**

1227 **1.4.2.1.4.1 Charge**

1228 The SFAC is responsible for topics and policies related to faculty employment and promotion of
 1229 the vitality of the University's faculty. Specifically, the SFAC shall review and recommend action
 1230 on issues related to: performance reviews and standards for evaluation; promotion and tenure;
 1231 employee benefits; work-life matters; recruitment and retention; issues raised by the Senate
 1232 Advisory Committee on Privilege and Tenure; and any other similar topic assigned to it.
 1233

1234 **1.4.2.1.4.1.1 Extent of Authority**

1235 The SFAC does not have any final decision-making authority.
 1236

1237 **1.4.2.1.4.2 Composition**

1238 The SFAC shall be composed of voting faculty members and nonvoting ex officio members.
 1239

1240 **1.4.2.1.4.2.1 Chair**

1241 The chair shall be one of the voting faculty members.
 1242

1243 **1.4.2.1.4.2.2 Voting Faculty Members**

1244 The SFAC shall be comprised of a sufficient number of elected faculty senators to conduct
 1245 business.
 1246

1247 **1.4.2.1.4.2.3 Nonvoting Ex Officio Members**

1248 There shall be two nonvoting ex officio members:
 1249

- 1250 • One representative of the Provost nominated by the Provost; and
- 1251
- 1252 • One representative of the President nominated by the President.
 1253

1254

1255 **1.4.2.2 Senate Rules and Elections Committee (SREC)**

1256 **1.4.2.2.1 Charge**

1257 The SREC is charged with codifying, making editorial changes in, and interpreting, the *Rules* of
 1258 the Senate, at the direction or with the approval of either the Senate Council or the Senate. It
 1259 shall be responsible for initiating any changes in the *Rules* concerning the organization of the
 1260 Senate (SR 1). It shall also evaluate and revise any section of the *Rules* where necessary to
 1261 eliminate inconsistencies, clarify confusing statements, and note omissions, and may initiate and
 1262 suggest to the Senate Council any necessary modification in the *Rules*.
 1263

1264 The SREC shall certify faculty member eligibility in elections of Faculty Trustees, and in
 1265 elections of University Faculty representatives to the Senate, to the Senate Council, to the
 1266 academic councils, and to a Presidential Search Committee. In addition, the SREC shall
 1267 recommend to the University Senate election policies and procedures.
 1268

1269 **1.4.2.2.1.1 Extent of Authority**

1270 The SREC has final decision-making authority regarding: codifying, making editorial changes in,
 1271 and interpreting the Senate Rules, at the direction of or with the approval of either the Senate
 1272 Council or the Senate; evaluating and revising any section of the *Rules* where necessary to
 1273 eliminate inconsistencies, clarify confusing statements, and note omissions; and certifying
 1274 faculty eligibility in elections.
 1275

1276 **1.4.2.2.2 Composition**

1277 The SREC shall be comprised of a sufficient number of elected faculty senators to conduct
 1278 business. (see SR 1.4.1.1.2)
 1279

1280

1281 **1.4.2.3 Senate Admissions and Academic Standards Committee (SAASC)**1282 **1.4.2.3.1 Charge**

1283 The SAASC is charged to examine and recommend to the University Senate changes: in the
 1284 admission requirements and grading rules; standards for granting academic credit; probation
 1285 and suspension procedures; and degree and graduation requirements. Generally, the SAASC
 1286 shall review Sections IV and V of the *Senate Rules* but may consider other related areas. It shall
 1287 also make recommendations regarding significant changes to programs <insert SR reference to
 1288 significant changes>. Recommendations by the SAASC on conditions of merit and circumstance
 1289 for (1) graduation requirements, (2) honors with degrees that are conferred to graduating
 1290 students (SR [Error! Reference source not found.](#)) and (3) Honorary Degrees conferred to others (
 1291 SR [Error! Reference source not found.](#)), shall be acted upon by the elected University Faculty
 1292 Senators, as per KRS 164.240.

1293

1294 **1.4.2.3.1.1 Extent of Authority**

1295 The SAASC does not have any final decision-making authority.

1296

1297 **1.4.2.3.2 Composition**

1298 The SAASC shall be comprised of a sufficient number of elected faculty senators to conduct
 1299 business. (see SR 1.4.1.1.2)

1300

1301

1302 **1.4.2.4 Senate Academic Facilities Committee (SAFC)**1303 **1.4.2.4.1 Charge**

1304 The SAFC is charged with the responsibility of providing information and recommendations
 1305 about the alteration, construction, and allocation of all property and physical facilities that may
 1306 affect the educational objectives of the University. In this regard, it shall be concerned about
 1307 such matters as classrooms, buildings and grounds, shops and other such real property, audio-
 1308 visual and television equipment, computers, duplication and printing facilities, vehicle pools, and
 1309 scientific and musical instruments. The SAFC shall act in these ways:

1310

1311 1. Serve the administration as a source of faculty information and opinion about the need,
 1312 design, and priority and construction or renovation projects.

1313

1314 2. Inform the Senate at least annually about problems relating to the alteration,
 1315 construction, or allocation of academic facilities and about future plans and priorities for
 1316 them. Whenever necessary, the SAFC may initiate action by preparing a
 1317 recommendation to the administration, which should be routed through the Senate
 1318 Council for Senate review.

1319

1320

1321 3. Maintain communication with the appropriate administrators about the current status and
 1322 utilization of academic facilities. [US: 10/12/81]

1323

1324

1325 4. Study the use, renovation, and need for space (including classrooms) and equipment
 1326 relevant to academic programs and functions. [US: 3/12/84]

1327

1328 **1.4.2.4.1.1 Extent of Authority**

1329 The SAFC does not have any final decision-making authority.

1330

1331 **1.4.2.4.2 Composition**

1332 The SAASC shall be shall be comprised of a sufficient number of elected faculty senators to
 1333 conduct business. (see SR 1.4.1.1.2)

1334

1335

1336 **1.4.2.5 Senate Library Committee (SLC)**1337 **1.4.2.5.1 Charge**

1338 The SLC is charged with the responsibility for recommending to the University Senate policies to
 1339 promote the educational interests of the University as a whole with respect to the Libraries, the
 1340 faculty body of which is equivalent to the faculty of a college (GR VII.C.2). The SLC is
 1341 responsible for consultation and advising with faculty of the Libraries or the Dean of Libraries, on
 1342 such matters as are referred to it by the by the Libraries faculty, by the Dean, or by other
 1343 University personnel, which pertain to improving the effectiveness of the Libraries as a part of
 1344 the broad academic program of the University of Kentucky.

1345

1346 **1.4.2.5.1.1 Extent of Authority**

1347 The SLC does not have any final decision-making authority.

1348

1349 **1.4.2.5.2 Composition**

1350 The SLC shall be comprised of a sufficient number of elected faculty senators to conduct
 1351 business. (see SR 1.4.1.1.2)

1352

1353 **1.4.2.6 Senate Research and Graduate Education Committee (SRGEC)**1354 **1.4.2.6.1 Charge**

1355 The SRGEC shall be responsible for reviewing University research policies and their
 1356 implementation. It shall also be responsible for reviewing graduate education policies and their
 1357 implementation. In addition, it shall make recommendations regarding those policies and the
 1358 priorities for them. [US: 9/9/2013]

1359

1360 * Graduate education includes postdoctoral scholars and postdoctoral fellows [SREC:
 1361 12/17/2013].

1362

1363 **1.4.2.6.1.1 Extent of Authority**

1364 The SRGEC does not have any final decision-making authority.

1365

1366 **1.4.2.6.2 Composition**

1367 The SRGEC shall be shall be comprised of a sufficient number of elected faculty senators to
 1368 conduct business. (see SR 1.4.1.1.2)

1369

1370 **1.4.2.7 Senate Academic Programs (SAPC)**1371 **1.4.2.7.1 Charge**

1372 The SAPC is charged with recommending action to the Senate on all new academic programs
 1373 and significant program changes (SR [Error! Reference source not found.](#)). Specifically, the S
 1374 APC shall review the academic excellence, need, impact, and desirability of the academic
 1375 program. In recommending approval of a program, the Committee may recommend a priority to
 1376 indicate its importance and the immediacy with which it should be implemented. [US: 2/12/2018]

1377

1378 It is the policy of the University Senate to adopt and utilize the definitions of the Council on
 1379 Postsecondary Education that distinguish different types of degree programs. [US: 2/12/2018]

1380

1381 **1.4.2.7.1.1 Extent of Authority**

1382 The SAPC does not have any final decision-making authority.

1383

1384 **1.4.2.7.2 Composition**

1385 The SAPC shall be shall be comprised of a sufficient number of elected faculty senators to
 1386 conduct business. (see SR 1.4.1.1.2)

1387

1388 **1.4.2.8 Senate Academic Planning and Priorities Committee (SAPPC)**1389 **1.4.2.8.1 Charge**

1390 The SAPPC is charged with concern over major, broad, long-range plans and priorities. It shall:

1391

1392 1. Identify major academic problems likely to be faced by the University in the foreseeable
1393 future;

1394

1395 2. Formulate and recommend to the Senate plausible academic goals for the institution;

1396

1397

1398 3. Develop procedures and criteria for recommending academic priorities;

1399

1400

1401 4. Recommend to the Senate institutional policies that recognize academic priorities and
1402 goals, as well as assess the progress of the institution toward its goals and report
1403 periodically to the Senate;

1404

1405

1406 5. Recommend to the Senate a means for increasing the University's effectiveness in
1407 establishing and implementing its academic policies; and

1408

1409

1410 6. Serve the Senate and the administration as a source of faculty information and opinion
1411 concerning academic planning and priorities. [US: 4/10/89]

1412

1413 **1.4.2.8.1.1 Extent of Authority**

1414 The SAPPC does not have any final decision-making authority.

1415

1416 **1.4.2.8.2 Composition**1417 The SAPPC shall be shall be comprised of a sufficient number of elected faculty senators to
1418 conduct business. (see SR 1.4.1.1.2)

1419

1420 **1.4.2.9 Senate Academic Organization and Structure Committee (SAOSC)**1421 **1.4.2.9.1 Charge**

1422 The SAOSC is charged to:

1423

1424 1. review and recommend to the University Senate priorities on all proposals for new
1425 educational units (colleges, schools, departments, graduate centers, multidisciplinary
1426 research centers and institutes; interdisciplinary instructional programs)

1427

1428 2. review and recommend Senate action on all proposals for abolishment or merger of
1429 existing educational units;

1430

1431 3. review and recommend Senate action on all proposals for major changes in organization
1432 and structure of educational units, including but not limited to suspension of admissions
1433 and closure of a degree or certificate

1434

1435

1436 4. make appropriate recommendations to the University Senate (and through the Senate to
1437 the President and/or Provost) regarding creation, abolition or alterations in organization
1438 or structure, or reporting relationships, of educational units throughout the University.

1439

1440 5. Study and report to the Senate on matters pertaining to faculty size and strength, and
 1441 student enrollment. [US: 3/12/84]
 1442

1443 **1.4.2.9.1.1 Extent of Authority**

1444 The SAOSC does not have any final decision-making authority.
 1445

1446 **1.4.2.9.2 Composition**

1447 The SAOSC shall be shall be comprised of a sufficient number of elected faculty senators to
 1448 conduct business. (see SR 1.4.1.1.2)
 1449
 1450

1451 **1.4.2.10 Senate Reinstatement Committee (SRIC)**

1452 **1.4.2.10.1 Charge**

1453 The SRIC is a permanent subcommittee of the Senate Council. It makes final decisions on
 1454 cases of students who have been academically suspended from the University a second time,
 1455 whose readmission is dependent on the recommendation of the dean of the college in which the
 1456 student plans to enroll and who have petitioned the Senate Council for readmission as per SR
 1457 [Error! Reference source not found.](#).
 1458

1459 **1.4.2.10.1.1 Extent of Authority**

1460 The SRIC has final decision-making authority regarding whether or not to readmit a student who
 1461 has been academically suspended twice from the University.
 1462

1463 **1.4.2.10.2 Composition**

1464 The SRIC shall consist of the two voting faculty members and one voting student member.
 1465

1466 The composition is as described in 1.4.2.10.3.1 and 1.4.2.10.3.2 unless the Senate Council votes
 1467 to name a different specific subset of not less than three of its members to constitute the
 1468 committee. If so, if the Senate Council seeks to designate not more than one student member of
 1469 the Senate Council as an SRIC member, but no student Senate Council member is available,
 1470 then a student member shall be nominated to the Senate Council by the Student Government
 1471 Association.
 1472

1473 **1.4.2.10.2.1 Chair**

1474 The SRIC is chaired by the Senate Council Chair.
 1475

1476 **1.4.2.10.2.2 Voting Members**

1477 The voting faculty members are the SC Chair and the SC Vice Chair,
 1478

1479 **1.4.2.10.2.3 Voting Student Members**

1480 The voting student member is the Student Government Association President.

1481 **1.4.2.11 Senate Committee on Committees (SCC)**

1482 **1.4.2.11.1 Charge**

1483 The SCC provides current Senate committee chairs with information and guidance regarding
 1484 best practices for committee chairs. The SCC shall meet in the fall semester to help orient and
 1485 acclimate Senate's committee chairs. The SCC shall meet in the spring semester to review
 1486 committee activities for the past year and shall report on these activities to the SC prior to the
 1487 end of the academic year. [US: 10/9/2017]
 1488

1489 **1.4.2.11.1.1 Extent of Authority**

1490 The SCC does not have any final decision-making authority.
 1491

1492 **1.4.2.11.2 Composition**

1493 The SCC shall be comprised of the Senate Council Vice Chair and the members described in
1494 1.4.2.11.3.1, 1.4.2.11.3.2, and 1.4.2.11.3.3, below.

1495

1496 **1.4.2.11.2.1 Chair**

1497 The Senate Council Vice Chair shall chair the SCC.

1498

1499 **1.4.2.11.2.2 Voting Faculty Membership**

1500 The voting members of the SCC are the chairs of Senate's standing committees, the chairs of
1501 Senate's advisory committees, and the Senate Council Chair

1502

1503 **1.4.2.11.2.3 Ex Officio Nonvoting Membership**

1504 The immediate past chairs of Senate standing, advisory, and ad hoc committees shall serve as
1505 *ex officio* nonvoting members. (For purposes of this rule, the phrase "immediate past chair"
1506 means the person who served as chair of the committee during the preceding year.)

1507

1508

1509 **1.4.2.12 Senate Advisory Committee on Appointment, Promotion and Tenure (SACAPT)**

1510 **1.4.2.12.1 Charge**

1511 The SACAPT is responsible for advising the Senate Council on policy matters pertaining to
1512 appointment, promotion, and tenure. [SREC: 1/31/2017]

1513

1514 **1.4.2.12.1.1 Extent of Authority**

1515 The SACAPT does not have any final decision-making authority.

1516

1517 **1.4.2.12.2 Composition**

1518 The SACAPT is not required to be chaired by an elected faculty senator or composed of more
1519 than one-half of members who are elected faculty senators.

1520

1521 **1.4.2.12.2.1 Voting Faculty Members**

1522 The SACAPT is comprised of the chairs of Academic Area Advisory Committees.

1523

1524 **1.4.2.13 Senate Committee on Distance Learning and eLearning (SCDLeL)**

1525

1526 [US: 12/9/2013; US: 12/12/2016]

1527 **1.4.2.13.1 Charge**

1528 The SCDLeL shall identify, assess and recommend policies related to distance learning and
1529 eLearning . Specifically, activities of the SCDLeL shall include the following areas:

1530

1531 1. Identify issues related to distance learning and eLearning and recommend policies.

1532

1533 2. Monitor and respond to the University Senate concerning SACSCOC, state and federal
1534 regulations related to distance learning and eLearning.

1535

1536

1537 3. Recommend strategies for dissemination of distance learning and eLearning issues and
1538 policies.

1539

1540

1541 4. Recommend strategies for effective implementation of distance learning and eLearning.

1542

1543

1544 5. Collaborate with other UK groups/committees on issues related to distance learning and
1545 eLearning as appropriate.

1546

1.4.2.13.1.1 Extent of Authority

The SCDLeL does not have any final decision-making authority.

1549

1.4.2.13.2 Composition

The SCDLeL is not required to be chaired by an elected faculty senator nor is it required to be composed of more than one-half of members who are elected faculty senators.

1553

1.4.2.13.2.1 Chair

The chair shall be one of the voting faculty members.

1556

1.4.2.13.2.2 Voting Faculty Members

The SCDLeL shall be shall be comprised of a sufficient number of elected faculty senators to conduct business. (see SR 1.4.1.1.2) The voting faculty membership of the committee shall be generally representative of the colleges with active distance learning or eLearning programs.

1561

1.4.2.13.2.3 Voting Student Members

There shall be two voting student members who must be enrolled in online courses or have experience with online courses, recommended by the Student Government Association:

1565

- One undergraduate student; and

1567

- One graduate student..

1568

1569

1.4.2.13.2.4 Ex Officio Nonvoting Members

There shall be four ex officio nonvoting members:

1572

- One member nominated by the department or office responsible for enhancing learning and teaching (if applicable);

1574

- One member nominated by the department or office responsible for distance learning programs;

1577

- One member nominated by the department or office responsible for information technology; and

1578

- One member nominated by the department or office responsible for addressing accessibility issues.

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NOTE: For the purposes of this rule, the following two definitions shall apply:

Distance Learning: A formal educational process in which the majority of the instruction (interaction between students and instructors and among students) in a course occurs when students and instructors are not in the same physical location. Instruction may be synchronous or asynchronous.

eLearning: A broad combination of processes, content, and infrastructure to use computers and networks to scale or improve one or more significant parts of a learning value chain, including management and delivery.

1600 **1.4.2.14 Senate Institutional Finances and Resources Allocation Committee (SIFRAC)**

1601

1602 [US: 2/8/2010]

1603 The SIFRAC is a joint endeavor of the Staff Senate and University Senate.

1604

1605 **1.4.2.14.1 Charge**

1606

1607 The purpose of the Senate's Institutional Finances and Resource Allocation Committee
1608 (SIFRAC) shall be to function in an advisory capacity and inform the Staff Senate and University
1609 Senate on the present status of the prospective changes in the finances and other resources
1610 available to the University.

1611

1612 The SIFRAC shall analyze public budget documents, published reports about financial and other
1613 trends; it shall routinely solicit an informational session by a University financial officer on annual
1614 budget proposals and prospective intraacademic year budget cuts or modifications prior to their
1615 submission on the Board of Trustees.

1616

1617 SIFRAC may receive specified directions from the University Senate and Staff Senate chairs
1618 jointly on items relative to the current fiscal situation.

1619

1620 SIFRAC shall meet at least once a semester with the Vice President of Planning, Budget and
1621 Policy, and it shall meet as many times as deemed necessary by SIFRAC.

1622

1623 **1.4.2.14.1.1 SIFRAC shall submit an annual report. Reports of the minority are also**
1624 **acceptable. Extent of Authority**

1625 The SIFRAC does not have any final decision-making authority.

1626

1627 **1.4.2.14.2 Composition**

1628 The SIFRAC is not required to be chaired by an elected faculty senator nor is it required to be
1629 composed of more than one-half of members who are elected faculty senators. SIFRAC
1630 members optimally shall have financial and budgetary expertise relevant to University finances.

1631

1632 **1.4.2.14.2.1 Chair**

1633 A Staff Senate member will preside as chair.

1634

1635 **1.4.2.14.2.2 Voting Faculty Members**

1636 The committee shall include three voting faculty members.

1637

1638 **1.4.2.14.2.3 Voting Staff Members**

1639 The committee shall include three voting staff members identified by the Staff Senate, in addition
1640 to the chair.

1641

1642

1643

1644

1645 **1.4.2.15 Senate UK Core Education Committee (SUKCEC)**

1646

1647 [US: 2/3/86; 4/14/86; 10/12/87; 4/23/2001; 5/6/2013]

1648 "Program" refers to the UK Core (general education) program.

1649

1650 **1.4.2.15.1 Charge**

1651 [US: 11/13/2017; 5/2/2022]

1652

1653 The SUKCEC shall exercise the following functions:

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1690
1. It shall recommend procedures and guidelines for designing and submitting course proposals for implementing the program.
 2. It shall recommend policies by which courses may receive UK Core designation.
 3. If called upon by Senate to do, it shall also broadly communicate these policies to all undergraduate colleges. (see SR 9.19<insert link>)
 4. It shall recommend all courses which are proposed to the University Senate to fulfill the program requirements.
 5. It shall maintain long-term oversight of the program, including periodic course review and program assessment to ensure that the program fulfills the learning outcomes.
 6. It shall recommend to the Senate the deletion of courses (or pairs of courses) from the program that no longer seem appropriate to the program, and recommend to colleges or departments, through the Chair, such changes concerning teaching and content as it deems necessary or appropriate.
 7. It shall continue to work to enhance the program and assert the program's centrality to the undergraduate curriculum through involvement in university-wide planning and policy discussions related to the program.
 8. Upon the recommendation of the Undergraduate Council or upon its own initiative, it shall develop and propose changes in the structure of the program or in the requirements necessary to complete the program to the Undergraduate Council, for recommendation to the Senate Council and approval by the University Senate.
 9. It shall report individual cases of temporary waivers of or temporary substitutions for program requirements to the Undergraduate Council.
 10. It shall set policies for the granting of credit to transfer students for courses taken which are equivalent to those in the program and it shall communicate these policies to all undergraduate colleges (SR 9.19) on campus.

1691 **1.4.2.15.2 Waivers**

1692
1693 All waivers of or substitutions for program requirements for particular categories of students, if
1694 approved or disapproved by the Committee, shall be submitted to the Senate Council (SC) for its
1695 approval by the Senate. The SC's approval of temporary waivers of, or substitutions for, program
1696 requirements for particular categories of students shall be final.
1697

1698 **1.4.2.15.2.1 Extent of Authority**

1699 The SUKCEC has final decision-making authority regarding: individual student requests for Core
1700 course exceptions and/or waivers; and individual student appeals if a UK Core course exception
1701 and/or waiver is initially denied.
1702

1703 **1.4.2.15.3 Composition**

1704 The SUKCEC is not required to be chaired by an elected faculty senator nor is it required to be
1705 composed of more than one-half of members who are elected faculty senators.
1706

1707 **1.4.2.15.3.1 Chair**

1708 The SUKCEC chair shall be a tenured faculty member appointed by the Senate Council. The
 1709 chair is a voting member however the chair shall not have a vote except in cases of ties.
 1710

1711 **1.4.2.15.3.2 Voting Faculty Members**

1712 The University Faculty members on SUKCEC shall be appointed by the Senate Council after a
 1713 campuswide solicitation of nominations from the University Faculty. The SUKCEC shall be
 1714 composed of twelve (12) voting members.
 1715

- 1716 • One member from the College of Arts & Sciences for the area of Composition and
 1717 Communication;
- 1718
- 1719 • One member from the College of Communication and Information for the area of
 1720 Composition and Communication;
- 1721
- 1722 • One member from the area of Intellectual Inquiry – Arts & Creativity;
- 1723
- 1724 • One member from the area of Intellectual Inquiry – Humanities;
- 1725
- 1726 • One member from the area of Intellectual Inquiry – Natural/Physical/Mathematical
 1727 Sciences;
- 1728
- 1729 • One member from the area of Intellectual Inquiry – Social Sciences;
- 1730
- 1731 • One member from the area of Citizenship – Community, Culture and Citizenship in the
 1732 USA;
- 1733
- 1734 • One member from the area of Citizenship – Global Dynamics;
- 1735
- 1736 • One member from the area of Statistical Inferential Reasoning; and
 1737
- 1738 • One member from the area of Quantitative Foundations.
 1739

1740 **1.4.2.15.3.3 Voting Student Members**

1741 There shall be two voting student members recommended by the Student Government
 1742 Association.
 1743

1744 **1.4.2.15.3.4 Ex Officio Nonvoting Members**

1745 There shall be five nonvoting ex officio members:
 1746

- 1747 • One member from the Office of Assessment;
- 1748
- 1749 • One member from Enrollment Management;
- 1750
- 1751 • One member from Student and Academic Life;
- 1752
- 1753 • One member from University Libraries; and
 1754
- 1755 • One member from the Center for the Enhancement of Learning and Teaching.
 1756
 1757

1758 **1.4.2.16 Senate Admissions Advisory Committee (SAAC)**

1759

1760 [US: 11/12/90]

1761 **1.4.2.16.1 Charge**

1762 The SAAC is responsible for recommending admissions policy within general guidelines
1763 established by the University Senate. The SAAC is charged to:

- 1764
- 1765 1. Recommend the University's admissions management system, as described in SR
1766 [Error! Reference source not found.](#).
 - 1767
 - 1768 2. Submit a written report to the Provost, through the Senate Council, recommending
1769 admissions objectives such as *average* ACT scores and desired size of each year's
1770 entering freshman class. A copy of the report shall be presented to the Senate Council.
1771
 - 1772 3. Recommend automatic admission criteria.
 - 1773
 - 1774 4. Upon the recommendation of the Assistant Provost for Enrollment Management,
1775 approve any warranted deviations from the automatic admissions criteria.
1776
 - 1777 5. After consultation with the Assistant Provost for Enrollment Management, the SAAC
1778 may recommend to the Provost closing admissions on any of the following bases: 1) the
1779 desired class size has been reached; 2) the class is large enough given existing
1780 constraints; and 3) admissions objectives other than class size have been achieved.
1781
 - 1782 6. Establish parameters for the decisions on exceptions, under which applicants may be
1783 admitted who have not met the automatic admissions criteria.
1784
 - 1785 7. Request that the Provost provide specific data on admissions, enrollment, and student
1786 performance.
1787
 - 1788 8. Request data from the Assistant Provost for Enrollment Management for use in
1789 establishing or evaluating admissions policy.
1790
 - 1791 9. Review and analyze the information provided in (7) and (8) above by the Provost and
1792 Assistant Provost for Enrollment Management and disseminate the results of its review
1793 and analysis to the educational units.
1794

1795 **1.4.2.16.1.1 Extent of Authority**

1796 The SAAC does not have any final decision-making authority, except as provided in items 4 and
1797 6 above.
1798

1799 **1.4.2.16.2 Composition**

1800 The SAAC shall be comprised of voting faculty members, ex officio voting members, and ex
1801 officio nonvoting members. It is not required to be chaired by an elected faculty senator nor is it
1802 required to be composed of more than one-half of members who are elected faculty senators
1803

1804 **1.4.2.16.2.1 Chair**

1805 The SAAC must be chaired by one of the three appointed University Faculty members. In the
1806 case of a tie vote, the vote of the chair shall prevail.
1807

1808 **1.4.2.16.2.2 Voting Faculty Members**

1809 There shall be three University Faculty members.
1810

1811 **1.4.2.16.2.3 Ex Officio Voting Members**

1812 There shall be two ex officio voting members:

- 1813
- 1814 • The Assistant Provost for Enrollment Management ;and

1815

1816

- The Chair of the Senate committee on Admissions and Academic Standards.

1817

1818 **1.4.2.16.2.4 Ex Officio Nonvoting Members**

1819 There shall be two ex officio nonvoting members:

1820

1821

1822

- The Associate Provost for Student and Academic Life; and

1823

1824

- The Assistant Provost for Strategic Planning (or that person's designee).

1825

1826

1827

1828 **1.4.2.17 Senate Retroactive Withdrawal Appeals Committee (SRWAC)**

1829

1830 [US: 12/8/97; 4/13/2020; 12/14/2020]

1831

1831 **1.4.2.17.1 Charge**

1832

1832 The Committee shall decide all student requests for retroactive withdrawals as provided by SR
1833 [Error! Reference source not found.](#).

1834

1835

1835 **1.4.2.17.1.1 Extent of Authority**

1836

1836 The SRWAC has final decision-making authority regarding all student requests for retroactive
1837 withdrawals except in matters of cases of violation of student academic rights, which may be
1838 appealed to the UAB. (See SR 5.1.7.5.2.3)

1839

1840

1840 **1.4.2.17.2 Composition**

1841

1841 The SRWAC is not required to be chaired by an elected faculty senator nor is it required to be
1842 composed of more than one-half of members who are elected faculty senators. The SRWAC
1843 shall be comprised of voting faculty membership, voting student membership, and ex officio
1844 nonvoting membership. When possible, at least one member shall have a medical background.

1845

1846

1846 **1.4.2.17.2.1 Chair**

1847

1847 The chair shall be one of the voting faculty members.

1848

1849

1849 **1.4.2.17.2.2 Voting Faculty Members**

1850

1850 There shall be four members of the University Faculty plus a sufficient number of alternates in
1851 case a regular member cannot attend.

1852

1853

1853 **1.4.2.17.2.3 Voting Student Members**

1854

1854 There shall be one voting student member recommended by the Student Government
1855 Association.

1856

1857

1857 **1.4.2.17.2.4 Ex Officio Nonvoting Members**

1858

1858 There shall be five ex officio nonvoting members:

1859

1860

- A representative of the Associate VP for Employment Equity;

1861

1862

- A representative of the Counseling and Testing Center;

1863

1864

1865

- A representative from the Advising Network;

1866

1867

- A representative from the Provost Committee on Advising; and

1868

1869

- A representative from the Assistant Dean of Students directing the Disability Resource Center

1870

1871

1872

1873

1874 **1.4.2.18 Senate Academic Advising Committee (SACAC)**

1875

1876 [US: 4/10/2000; 11/13/2017; 4/13/2020]

1877 **1.4.2.18.1 Charge**

1878

The committee shall:

1879

1880

1. Regularly review the effectiveness and accountability of academic advising throughout the University

1881

1882

1883

2. Recommend standards for the quality of academic advising

1884

1885

3. Make recommendations regarding academic advising

1886

1887

4. Review and recommend proposals regarding academic advising. [4/10/2000]

1888

1889

1889 **1.4.2.18.1.1 Extent of Authority**

1890

The SACAC does not have any final decision-making authority.

1891

1892

1892 **1.4.2.18.2 Composition**

1893

The SAAC is not required to be chaired by an elected faculty senator nor is it required to be composed of more than one-half of members who are elected faculty senators. The SACAC shall be comprised of voting faculty members, voting student members, and ex officio nonvoting members.

1894

1895

1896

1897

1898

1898 **1.4.2.18.2.1 Chair**

1899

The chair shall be one of the voting faculty members.

1900

1901

1901 **1.4.2.18.2.2 Voting Faculty Members**

1902

There shall be three elected faculty members from the University Senate who are currently advising students.

1903

1904

1905

1905 **1.4.2.18.2.3 Voting Student Members**

1906

There shall be two voting student members recommended by the Student Government Association and also one student at large, recommended by ????.

1907

1908

1909

1909 **1.4.2.18.2.4 Ex Officio Voting Members**

1910

There shall be four ex officio nonvoting members:

1911

1912

- Three professional advisors, selected by a process adopted by the UK Advising Network and approved by the Senate Council; and

1913

1914

1915

- One member from the Provost Committee on Advising.

1916

1917

1917 **1.4.2.18.2.5 Ex Officio Nonvoting Membership**

1918

-

1919

There shall be one ex officio nonvoting member:

1920

1921

The Associate Provost for Student and Academic Life.

1922

1923

1924 **1.4.2.19 Senate Technology Committee (STC)**

1925

1926 [US: 2/11/2019]

1927 **1.4.2.19.1 Charge**

1928

1929 The STC is broadly concerned with all issues related to information technology (IT) at UK. The
 1930 STC's responsibilities include, but are not limited to:

1931

1932 1. Recommend channels of communication between faculty/students and UK Information
 1933 Technology Services (ITS) and other administrative units concerning University
 1934 technology infrastructures, services, policies, and procedures.

1935

1936 2. If called upon by Senate to do so, review proposals from ITS and other administrative
 1937 units regarding University technology infrastructure, services, policies, and procedures
 1938 and make recommendations concerning endorsement or change.

1939

1940 3. Bring proposals and recommendations concerning any technology issue that may affect
 1941 the educational objectives and mission of the University (GR IV.C.6) or concerning the
 1942 application of technology to policy or procedure for faculty personnel matters (GR
 1943 IV.C.7).

1944

1945 4. Review and make recommendations concerning any matter in which the proposed
 1946 application of technology constitutes an educational policy issue (GR IV.C.1), when the
 1947 Senate Council determines that responsibility for the particular matter is not charged to
 1948 any other Senate committee.

1949 **1.4.2.19.1.1 Extent of Authority**

1950 The STC does not have any final decision-making authority.

1951

1952 **1.4.2.19.2 Composition**

1953 The STC is not required to be chaired by an elected faculty senator nor is it required to be
 1954 composed of more than one-half of members who are elected faculty senators. The STC shall
 1955 be comprised of voting faculty members, voting student members, and ex officio nonvoting
 1956 members.

1957

1958 **1.4.2.19.2.1 Chair**

1959 The STC chair must be one of the six appointed University Faculty members.

1960

1961 **1.4.2.19.2.2 Voting Faculty Membership**

1962 There shall be six members of the University Faculty with a broad range of technological
 1963 expertise and experience who are broadly representative of the University

1964

1965 **1.4.2.19.2.3 Voting Student Membership**

1966 There shall be one student member recommended by the Student Government Association.

1967

1968 **1.4.2.19.2.4 Ex Officio Nonvoting Membership**

1969 There shall be two ex officio nonvoting members:

1970

1971 • The UK Chief Information Officer (or that person's designee); and

1972

1973 • The chair of the Senate Committee on Distance Learning and eLearning (SCDLeL). .

1974

1975

1976 **1.4.2.20 Senate Committee on Disability Accommodation and Compliance (SCDAC)**

1977

1978 [US: 12/10/2012]

1979

1980

1981 **1.4.2.20.1.1 Charge**

1982 The SCDAC is responsible for recommending educational policy within general guidelines
1983 established by the University Senate. Specifically, the SCDAC is charged to

1984

1985 1. Recommend educational policies and implementation practices that appropriately
1986 address the accommodations standards stipulated in the Americans with Disabilities Act
1987 (ADA) Law and Sections 504/508 of the Rehabilitation Act of 1973 for students who
1988 have documented disabilities.

1989

1990 2. Recommend solutions for issues reported by faculty members or the DRC pertaining to
1991 the implementation of educational policies as they relate to practices for disability
1992 accommodation and regulatory compliance.

1993

1994 3. Monitor the alignment between the University Senate's educational policies and Federal
1995 compliance and accommodation regulations, and recommend educational policy
1996 revisions, as warranted.

1997

1998

1999

2000 **1.4.2.20.1.2 Extent of Authority**

2001 The SCDAC does not have any final decision-making authority.

2002

2003 **1.4.2.20.2 Composition**

2004 The SCDAC is not required to be chaired by an elected faculty senator nor is it required to be
2005 composed of more than one-half of members who are elected faculty senators. The SCDAC
2006 shall be comprised of voting faculty members, ex officio voting members, and ex officio
2007 nonvoting members.

2008

2009 **1.4.2.20.2.1 Chair**

2010 The SCDAC shall be chaired by one of the four appointed University Faculty members.

2011

2012 **1.4.2.20.2.2 Voting Faculty Members**

2013 There shall be four voting faculty members.

2014

2015 **1.4.2.20.2.3 Ex Officio Voting Members**

2016 There shall be one voting ex officio member:

2017

- 2018 • The Director of the Center for the Enhancement of Learning and Teaching (CELT), or
- 2019 that person's designee.

2020

2021 **1.4.2.20.2.4 Ex Officio Nonvoting Membership**

2022 There shall be four ex officio nonvoting members:

2023

- 2024 • The Academic Ombud;

2025

- 2026 • The Director of the Disability Resource Center (DRC);

2027

- 2028 • A DRC learning specialist; and

2029

- 2030 • The Associate Vice President for Institutional Equity or that person's designee.
2031
2032

2033 **1.4.2.21 Senate Committee on Diversity and Inclusion (SCDI)**
2034

2035 [US: 2/12/2018]

2036 **1.4.2.21.1 Charge**

2037 The SCDI is charged with: promoting diversity among senators, in particular representation of
2038 underrepresented minorities; working with senior leadership to disseminate best practices for
2039 recruiting and retaining faculty of color and other underrepresented groups; and addressing
2040 other issues around diversity and inclusion as they arise.
2041

2042 **1.4.2.21.1.1 Extent of Authority**

2043 The SCDI does not have any final decision-making authority.
2044

2045 **1.4.2.21.2 Composition**

2046 The SCDI is not required to be chaired by an elected faculty senator or composed of more than
2047 one-half of members who are elected faculty senators. The SCDI shall be composed of voting
2048 faculty members, voting student members, and ex officio nonvoting members.
2049

2050 **1.4.2.21.2.1 Chair**

2051 The SCDI shall be chaired by one of the six University Faculty members.
2052

2053 **1.4.2.21.2.2 Voting Faculty Members**

2054 There shall be six voting University Faculty members ..
2055

2056 **1.4.2.21.2.3 Voting Student Members**

2057 There shall be two voting student members recommended by the Student Government
2058 Association.
2059

2060 **1.4.2.21.2.4 Ex Officio Nonvoting Members**

2061 There shall be two ex officio nonvoting members:.
2062

- 2063 • Two individuals recommended by the Vice President for Institutional Diversity, both of
2064 whom shall have UK-related responsibilities pertaining to diversity and inclusivity. .
2065
2066
2067

2068 **1.4.3 Lewis Honors College Faculty**

2069 [US: 12/10/2012; 5/6/2019]
2070

2071 Pursuant to GR VII.E.2.c, the University Senate may delegate respective authorities to the
2072 Honors College Faculty or the Honors College Faculty Council concerning the curricular
2073 requirements leading to the Honors credential.
2074
2075

2076 **1.4.3.1 Charge to Lewis Honors College Faculty**

2077 [US; 5/6/2019]
2078

2079 The Honors College Faculty, or as delegated to the Lewis Honors College Council, shall
2080 exercise the following functions:
2081

- 2082 1. It has jurisdiction over the educational policies of the Honors College (GR VII.A.1),
2083 including such matters as academic requirements, curricula, and course offerings in the

2084 HON series. It also has approval of honors sections of departmental courses,
 2085 undergraduate research, and service-learning courses, and academic policy
 2086 components of the residential community associated with the Honors College.
 2087 Following the policies and principles in the *Governing Regulations* and the *University*
 2088 *Senate Rules*, it shall make recommendations to the University Senate on educational
 2089 matters that require the approval of that body (GR VII.E.2).

- 2091 2. It shall approve (or not), or as it delegates shall supervise the approval of, all sections
 2092 of existing courses which are proposed by colleges, departments, schools, or other
 2093 units to fulfill the Honors curricular requirements. On behalf of the Lewis Honors
 2094 College Faculty, the associate dean of the Lewis Honors College shall send the list of
 2095 approved sections to the University Registrar’s Office for inclusion in the Schedule of
 2096 Classes.
- 2097
- 2098 3. It shall establish, or as it delegates shall supervise the approval of, a process to
 2099 approve faculty to teach Honors courses or departmental honors sections (Honors
 2100 courses taught by other units).
- 2101
- 2102 4. It shall establish, or as it delegates shall supervise the establishment of, guidelines for
 2103 the Honors College Course Agreement between an individual Honors College student
 2104 and an individual faculty person that will be used when a student wishes to complete for
 2105 Honors credit an undergraduate course that has not been designated as an Honors
 2106 course (i.e., those not designated as HON courses or as “H” designated sections of
 2107 regular undergraduate courses).
- 2108
- 2109 5. It shall, through the dean of the Lewis Honors College, report periodically to the
 2110 University Senate concerning the activities of the Honors College, including the
 2111 teaching and content of all courses and sections selected to fulfill the Honors curricular
 2112 requirements.
- 2113
- 2114 6. It shall consider and propose improvements which will enhance the Honors curriculum
 2115 and its impact on the undergraduate curriculum.
- 2116
- 2117 7. Upon the recommendation of the dean of the Honors College, or upon its own initiative,
 2118 it shall consider and propose necessary changes in the educational structure of the
 2119 college or in its academic requirements.

2120 **8. It shall set, or as it delegates, shall supervise the setting of policies for the granting of**
 2121 **credit to transfer students for courses taken which are equivalent to those in the Honors**
 2122 **curriculum and it shall communicate these policies to all colleges of the University.**

2123 **1.4.3.2 Extent of Authority**

2124

2125 Within the University Senate Rules, the Lewis Honors College Faculty has the siimilarly decision-
 2126 making authority for educational unit courses and programs as do other unit or program faculty.

2127

2128 **1.4.3.3 Jurisdiction**

2129

2130

2131 The Honors College is an educational unit, where completion of the Honors curriculum leads to
 2132 an academic graduation credential of University Honors.

2133

2134 Subject to the *University Senate Rules*, educational policy concerning the Honors curriculum is
 2135 decided, or recommended to the University Senate, by procedures determined by the Honors
 2136 Faculty in the approved Lewis Honors College Faculty Rules. The Lewis Honors College Faculty
 2137 Rules may delegate to the Lewis Honors College Council decisions concerning Lewis Honors
 2138 College educational policies. (GR VII.E.2) [US: 5/6/2019]

2139

2140

1.4.3.4 Composition

2141

2142 The Lewis Honors College faculty is not required to be chaired by an elected faculty senator or

2143 composed of more than one-half of members who are elected faculty senators. Membership of

2144 the Lewis Honors College Faculty is prescribed by GR VII.E.2.a. Membership of the Lewis

2145 Honors College Council is as prescribed in the approved Lewis Honors College Faculty Rules

2146 (GR VII.E.2.b).

2147

2148

2149

1.4.3.5 Unit Faculty Rules for Educational Policy-making

2150

2151 Pursuant to *Governing Regulations* VII.E.2.c, the Lewis Honors College Faculty shall provide the

2152 Lewis Honors College Faculty Rules document its procedures for the above processes in

2153 educational policy-making.

2154

2155

1.4.4 SENATE ADVISORY COMMITTEES

2156

2157

2158

1.4.4.1 Senate Advisory Committee on Privilege and Tenure (SACPT)

2159

2160

1.4.4.1.1 Committee Charge

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2162

1.4.4.1.1.1 Scope of Committee Jurisdiction

2163

2164 Except for cases of dismissal for cause (subsection 1.4.4.4.2.2.1, below), the SACPT is

2165 to consider whether

2166

2167 1. violation of procedures (as established by University-level regulations/policies,

2168 or by the college, or by the department faculty; GR VII.A.6.c; GR VII.B.3; GR2169 VII.E.2-5),

2170

2171 2. violation of privilege, or

2172

2173 3. violation of academic freedom,

2174

2175 have affected the outcome of decisions made in the processes of faculty

2176 reappointment, terminal reappointment, non-renewal of appointment, promotion and/or

2177 tenure. Cases of complaint on the substantive merit of administrative decisions in these

2178 faculty personnel processes are instead to be submitted through established

2179 administrative channels as prescribed by GR I.F.

2180

2181 Similarly, the SACPT does not consider complaints relating to the substantive merit of

2182 administrative decisions on salary, faculty performance review, distribution of effort, allocation of

2183 resources, etc. (for which the administrative appeal procedure of GR I.F is applicable). However,2184 *if* an issue instead involves violation of established procedure, violation of privilege or violation of2185 academic freedom, *and if* the petitioner *both* (i) exhausts the process of GR I.F through the level2186 of the Provost and the issue remains unresolved and (ii) satisfies the burden of making a *prima*2187 *facie* case to the SACPT that the particular violation of procedure, privilege or academic freedom

2188 is of such a nature as to potentially significantly impinge on the petitioner's reappointment,

2189 terminal reappointment, non-renewal of appointment, promotion and/or tenure, *then* the SACPT

2190 may elect to consider the case.

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* As long as the faculty employee follows the process prescribed in the above paragraph of SR 1.4.4.2.2.1, the SACPT gains jurisdiction to elect to consider allegations that "the particular violation...is of such a nature as to potentially significantly impinge on the petitioner's...tenure." [SREC: 2/8/2019]

For the purposes of this scope of charge to the SACPT, "academic freedom" is as defined in GR X.B.3.b (para. 1). Issues of academic freedom of an "administrator holding academic rank" relate to the individual's exercise of academic freedom in the capacity as a member of the faculty of an educational unit.

1.4.4.1.1.2 Specific areas of committee charge

The Committee is charged with giving consideration to the following matters as referred to it by the President, by any University faculty employee, or by certain University staff employees of educational units in the following situations.

1.4.4.1.1.2.1 Dismissal from employment

The Committee may consider dismissals from employment (GR X.B.1.f) that involve:

1. cases of termination of appointment for cause of a tenured faculty member arising from allegation of incompetency, neglect of or refusal to perform their duty, or for immoral conduct (KRS 164.230);
2. cases of dismissal of an employee for cause during a limited appointment, arising from allegation of incompetency, neglect of or refusal to perform their duty, or for immoral conduct (KRS 164.230; GR X.B.1.f);
3. cases of termination of a tenure appointment or the dismissal of a person prior to expiration of a non-tenure appointment because of a financial emergency (GR X.B.1.f).

As prescribed by GR X.B.1.f.2, the SACPT shall make an informal investigation. The petitioner shall be afforded an opportunity to be heard by the SACPT, for the purpose of attempting to effect a resolution mutually agreeable to the President and the faculty employee. In the case that such a resolution is not obtained, the SACPT shall recommend to the President whether, in its opinion, dismissal proceedings should be undertaken. The subsequent disposition of the matter by the President shall be as prescribed in GR X.B.1.e.

1.4.4.1.1.2.2 Violation of academic freedom or insufficient notice of nonrenewal

The Committee may consider certain cases of allegation of violation of academic freedom or insufficient notice of non-renewal that involve:

1. cases of allegation by a faculty member on a non-tenure appointment that a decision for non-reappointment violates his or her academic freedom as a faculty member (GR X.B.1.g);
2. cases of allegation by a University administrator holding academic rank, or by a postdoctoral scholar, postdoctoral fellow, resident, clinical fellow, teaching assistant, or research assistant that a decision to terminate his or her appointment to his or her administrative post, or not to reappoint him or her, violates his or her academic freedom (GR X.B.1.h; GR X.D; AR 5.4; AR 5.5);

2245 3. cases of non-renewal of a faculty employee's probationary appointment with less
2246 advance notice than specified by the Governing Regulations (GR X.B.1.e);

2247
2248 As prescribed by GR X.B.1.f, when the petitioner lodges their complaint in writing to the Chair of
2249 the SACPT, the SACPT shall make an informal investigation, including affording the petitioner
2250 an opportunity to be heard by the SACPT, for the purpose of attempting to effect a resolution
2251 mutually agreeable to the President and the petitioner. In the case that such a resolution is not
2252 obtained, the SACPT shall recommend to the President whether, in its opinion, the decision to
2253 terminate or not reappoint should be sustained. The subsequent disposition of the matter by the
2254 President shall be as prescribed in GR X.B.1.f.

2255

2256 **1.4.4.1.1.2.3 Other alleged violations**

2257

2258 The Committee may consider allegations of violation of established procedure, academic
2259 privilege and/or academic freedom that involve:

2260

2261 1. a faculty employee's terminal reappointment, promotion and/or tenure (AR 2:1)

2262

2263 2. cases of allegation by a faculty member on a non-tenured appointment that a decision for
2264 nonreappointment violates either GR I.D.f.a or GR X.A.1 dealing with certain
2265 discriminatory practices.

2266

2267 **1.4.4.1.1.2.4 Procedures**

2268

2269 The petitioner must submit to the Chair of the SACPT a letter initiating the appeal within 60 days,
2270 and the appeal and supporting documentation within 75 days, after written notification by the
2271 dean of a final decision of nonrenewal, terminal reappointment or disapproval of promotion
2272 and/or tenure.

2273

2274 The SACPT may extend the 75-day deadline by majority vote.

2275

2276 The function of the committee in all such cases is to first exercise informal vetting processes to
2277 attempt to effect a resolution that makes a formal recommendation to the President for action
2278 unnecessary. In cases where such an informal resolution is not obtained, the committee will
2279 exercise formal processes of investigation, including affording to the petitioner shall be afforded
2280 an opportunity to appear before the SACPT. With copy to the petitioner, the SACPT will submit
2281 to the President its analysis of the alleged violations and will recommend to the President what
2282 commensurate remedial action, if any, ought to be taken. The President, or upon the President's
2283 delegation the Provost, shall notify the petitioning faculty employee and the SACPT in writing of
2284 the decision.

2285

2286 **1.4.4.1.1.3 Interpretation of policies**

2287

2288 The SACPT may, upon request, advise individual faculty members, the President, the
2289 Provost or educational unit chief administrative officers on the interpretation of
2290 University regulations on faculty appointment, reappointment, promotion, tenure,
2291 privilege and academic freedom, with copies of the interpretation being sent to the
2292 University Senate Council, the President, the Provost and as applicable, the chair of the
2293 department, and the dean.

2294

2295 **1.4.4.1.1.4 Issues of privilege as scholars**

2296

2297 The SACPT also may consider allegations by faculty members who believe that their privilege as
2298 scholars has been abridged or abused. Faculty members should address statements to the chair

2299 of the SACPT setting forth in detail the reasons why they believe their privilege has been
 2300 abridged or abused. The SACPT will review the statement and determine whether conditions
 2301 warrant further investigation. Upon investigation the SACPT will make recommendations to the
 2302 faculty member and file a copy with the President and the Provost. Recommendations may be
 2303 made also to the President with a copy sent to the faculty member and Provost.
 2304

2305 **1.4.4.1.1.5 Recommendations on Policies**

2306
 2307 The SACPT is also charged with making a continuing study of regulations on faculty
 2308 appointment, reappointment, promotion, tenure, privilege and academic freedom, making
 2309 recommendations to the University Senate.
 2310

2311 **1.4.4.1.1.6 Reports and Records**

2312
 2313 At the end of each academic year the SACPT will provide to the Senate Council a generalized
 2314 report of the issues and resolutions of the cases filed with it that year, including any consequent
 2315 recommendations of the SACPT for action by the Senate or Senate Council. At the conclusion of
 2316 the committee's disposition of each case, or collectively at the end of the academic year, for
 2317 purposes of records retention, the Chair of the committee shall forward to the University
 2318 President's Office the case documents filed to the committee, any other official evidentiary
 2319 documents generated by the committee, and the record of the committee's disposition of the
 2320 case if the latter has not already been submitted to the President.
 2321

2322 **1.4.4.1.1.7 Rights of Petitioner**

2323
 2324 The right of a faculty employee to file with the SACPT Chair a request for a hearing pursuant to
 2325 SR 0–3 and 0 shall not be impeded. The investigatory hearing process exercised by the SACPT
 2326 shall include the rights prescribed in SR 0. [US: 2/8/2016]
 2327

2328 * The Senate Rules reserve to the course instructor the authority to make those course
 2329 educational policies not prescribed by the unit Faculty or (higher college/Senate) bodies. If a
 2330 faculty employee believes that a unit Faculty or higher faculty body, or an administrator, has
 2331 made a policy that abridges that course instructor's prerogatives (academic freedom) to make
 2332 course educational policy, the individual may bring that complaint to the Senate Advisory
 2333 Committee on Privilege and Tenure. [SREC: 9/2009]
 2334

2335 * If a grade originally submitted to the Registrar by the Instructor of Record becomes
 2336 improperly changed in a context that the Instructor of Record believes is a violation of
 2337 his or her academic privilege, the Instructor of Record has the right to lodge a complaint
 2338 with the Senate Advisory Committee on Privilege and Tenure ("SACPT"; SR 0). If the
 2339 committee finds in favor of the Instructor of Record, the committee is authorized to
 2340 recommend to the President that the President direct the Registrar to change the grade
 2341 back to the grade originally submitted by the Instructor of Record. [SREC: 9/12/11]
 2342

2343 * The "written comments" on course evaluations are not to be made available by the
 2344 University to third parties. In addition, the University Senate's policy for release of numerical
 2345 course ratings only applies to undergraduate courses. [SREC: 11/10/11]
 2346 The right of a faculty employee to file with the SACPT Chair a request for a hearing pursuant to
 2347 SR 0–3 and 0 shall not be impeded. The investigatory hearing process exercised by the SACPT
 2348 shall include the rights prescribed in SR 0. [US: 2/8/2016]
 2349

2350 * The Senate Rules reserve to the course instructor the authority to make those course
 2351 educational policies not prescribed by the unit Faculty or (higher college/Senate) bodies.
 2352 If a faculty employee believes that a unit Faculty or higher faculty body, or an

2353 administrator, has made a policy that abridges that course instructor's prerogatives
 2354 (academic freedom) to make course educational policy, the individual may bring that
 2355 complaint to the Senate Advisory Committee on Privilege and Tenure. [SREC: 9/2009]
 2356

2357 * If a grade originally submitted to the Registrar by the Instructor of Record becomes
 2358 improperly changed in a context that the Instructor of Record believes is a violation of
 2359 his or her academic privilege, the Instructor of Record has the right to lodge a complaint
 2360 with the Senate Advisory Committee on Privilege and Tenure ("SACPT"; SR 0). If the
 2361 committee finds in favor of the Instructor of Record, the committee is authorized to
 2362 recommend to the President that the President direct the Registrar to change the grade
 2363 back to the grade originally submitted by the Instructor of Record. [SREC: 9/12/11]
 2364

2365 * The "written comments" on course evaluations are not to be made available by the
 2366 University to third parties. In addition, the University Senate's policy for release of numerical
 2367 course ratings only applies to undergraduate courses. [SREC: 11/10/11]

2368 **1.4.4.1.1.8 Extent of Authority**

2369

2370 The SACPT does not have any final decision-making authority.

2371

2372 **1.4.4.1.2 Composition**

2373 The SACPT is not required to be chaired by an elected faculty senator or composed of more
 2374 than one-half of members who are elected faculty senators.

2375

2376 The committee will be comprised of ten tenured faculty members with expertise encompassing the
 2377 areas of the committee's charge. The committee membership will be structured in the following
 2378 way: four Regular Title Series; two Special Title Series (clinical areas); two Special Title Series
 2379 (nonclinical areas); one Librarian Title Series; one Extension Title Series. For each given case, the
 2380 committee Chair will identify a minimum of five members to participate in the hearing, deliberation,
 2381 and disposition of the case, with the goal that in cases involving faculty at least one member will be
 2382 in the same title series as the heard petitioner, and that cases will be heard during the summer as
 2383 well as during the academic year. Members of this academic advisory committee are appointed by
 2384 the President, as Chair of the University Senate, from nominations submitted by the Senate
 2385 Council of full-time tenured faculty employees who do not occupy a position of administrative
 2386 academic supervision over faculty personnel.

2387

2388 **1.4.4.2 University Senate Hearing Panel (Privilege and Tenure) (USHP)**

2389

2390

2391 **1.4.4.2.1 Procedures**

2392

2393 The USHP will conduct the hearing and report its findings as described in GR_X.B.1.f. 2. In
 2394 addition, the committee will adhere to the following procedures:

2395

2396 1. The faculty member will be afforded an opportunity to obtain necessary witnesses and
 2397 documentary or other evidence, and the administration will, in so far as it is possible for
 2398 it to do so, secure the cooperation of such witnesses and make available necessary
 2399 documents and other evidence within its control.

2400

2401 2. The faculty member and the administration will have the right to confront and cross-
 2402 examine all witnesses. Where the witness cannot or will not appear, but the committee
 2403 determines that the interests of justice require admission of that person's statement, the
 2404 committee will identify the witness, disclose the statement of that witness and if
 2405 possible provide for interrogatories.

2406

- 2407 3. The hearing committee will not be bound by strict rules of legal evidence, and may
 2408 admit any evidence which is of probative value in determining the issues involved.
 2409 Every possible effort will be made to obtain the most reliable evidence available.
 2410
 2411 4. The hearing committee will grant adjournments to enable either party to investigate
 2412 evidence as to whether a valid claim of surprise is made.
 2413
 2414 5. The findings of fact and the decisions will be based solely on the hearing record.
 2415
 2416 6. Except for such simple announcements as may be required covering the time of the
 2417 hearing and similar matters, public statements and publicity about the case by either
 2418 the faculty member or the administrative officers will be avoided so far as possible until
 2419 the proceedings have been completed, including consideration by the Board of
 2420 Trustees.
 2421

2422 **1.4.4.2.1.1 Extent of Authority**

2423 The USHP does not have any final decision-making authority.
 2424

2425 **1.4.4.2.2 Composition and Establishment of the Ad Hoc Hearing Committee**

2426 The USHP is not required to be chaired by an elected faculty senator or composed of more than
 2427 one-half of members who are elected faculty senators.
 2428

2429 The USHP consists of fifteen (15) members who shall be appointed for staggered three-year
 2430 terms by the President from a list of nominees recommended by the Senate Council. From this
 2431 panel an ad hoc Hearing Committee shall be chosen to hear a case arising from
 2432

- 2433 1. dismissal of a faculty member with continuous tenure; or
- 2434
- 2435 2. dismissal of a non-tenured faculty member before the end of that person's specified term
 2436 of appointment; or
- 2437
- 2438 3. allegation of the violation of the academic freedom of a non-tenured faculty member, an
 2439 administrator, or of a terminated postdoctoral scholar, postdoctoral fellow, resident, clinical
 2440 fellow, teaching assistant, or research assistant (GR X.D; AR 5:4; AR 5.5).
 2441

2442 The Hearing Committee shall consist of five members chosen by lot from the USHP. Members shall
 2443 remove themselves from a case, either at the request of a party, or on their own initiative if they
 2444 deem themselves disqualified for reason of bias or conflict of interest. Each party shall have a
 2445 maximum of two challenges without stated cause. If the panel should be exhausted before an
 2446 acceptable committee has been obtained, five supplementary members shall be appointed to the
 2447 panel by the same procedure from which members of the committee may be selected. The
 2448 committee shall select its own chair.
 2449

- 2450 * For SREC interpretation of SR 1.4.3.2.2, see SREC minutes from June 11, 2018.
 2451 (available [HERE](#)). [SREC: 6/11/2018]
 2452
 2453
 2454
 2455
 2456

2457 **1.5. ELECTION PROCEDURES FOR CERTAIN ELECTIVE BODIES**

2458
 2459 The Rules and Elections Committee is charged with administering elections as directed by the
 2460 University Senate. Following are descriptions of these elections:
 2461

2462 **1.5.1 ELECTION OF JOINT BOARD-FACULTY PRESIDENTIAL SEARCH COMMITTEE**

2463

2464 **1.5.1.1 Committee Composition**

2465

2466 Governing Regulations VIII, adopted by the Board of Trustees June 12, 2005, provide: "The
 2467 President is appointed by the Board of Trustees with the advice of a joint committee of the Board
 2468 of Trustees, University Faculty, staff employees, student body and alumni. The committee shall
 2469 consist of six (6) members of the Board of Trustees, at least one of whom shall be from among
 2470 the three alumni trustees, appointed by the Chair of the Board of Trustees; three (3) regular full-
 2471 time faculty members selected by a procedure determined by the University Senate; one (1)
 2472 regular full-time staff employee, appointed by the Chair of the Board from a list of three (3) staff
 2473 employees selected by a procedure determined by the Staff Senate; two (2) full-time students,
 2474 one (1) undergraduate and one (1) graduate or professional student, appointed by the Chair of
 2475 the Board of Trustees from a list of three (3) undergraduate and three (3) graduate or
 2476 professional students selected by a procedure determined by the Student Government
 2477 Association; and one (1) member of the alumni appointed by the Chair of the Board of Trustees
 2478 from a list of three (3) alumni selected by the Board of Directors or the Executive Committee of
 2479 the University of Kentucky Alumni Association. The committee shall provide opportunity for
 2480 discussion among representatives of administration, University Faculty, staff employees, student
 2481 body, alumni groups, and prospective presidential candidates."
 2482

2483 **1.5.1.2 Election Procedures**

2484

2485 In the event of a vacancy, or official announcement of an impending vacancy in the Office of
 2486 President, the following procedure shall be utilized in selecting the three members of the
 2487 University Faculty to serve on the Search Committee. Elections shall be conducted by electronic
 2488 secret ballot or paper secret ballot if electronic election is not feasible. Three (3) elected Faculty
 2489 Senators, representing the University Faculty, shall be elected by this procedure. The election
 2490 shall be conducted under the supervision of the Chair of the Senate Rules and Elections
 2491 Committee.
 2492

2493 **1.5.1.2.1 Nominating Round**

2494

2495 On the nominating ballot, each elected Faculty Senator may nominate up to three (3) eligible
 2496 elected Faculty Senators, as certified by the Chair of the Senate Rules and Elections Committee
 2497 and made available to Senators.
 2498

2499 There shall be six (6) names on the voting ballot. The six (6) elected Faculty Senators receiving
 2500 the largest number of nominations shall be placed on the voting ballot, except that not more than
 2501 two names from any one college shall be eligible for being placed on the voting ballot. All ties will
 2502 be resolved by lot. Prior to placing the names of nominees on the voting ballot, the nominees'
 2503 willingness to serve shall be ascertained by the Chair of the Senate Rules and Elections
 2504 Committee.
 2505

2506 **1.5.1.2.2 Voting Round**

2507

2508 The eligible voters are the full-time members of the University Faculty who are eligible to vote in
 2509 their respective college election of faculty members to the University Senate. Each voter must
 2510 rank order exactly three (3) candidates from the list of the six (6) nominees on the voting ballot.
 2511 Failure to rank order exactly three (3) different candidates will disqualify the ballot. References in
 2512 sections (3), (4), and (5) below to "ballots" refers only to those ballots certified as countable.
 2513

2514 **1.5.1.2.3 Tabulation Round(s)**

2515

2516 First, the total number of eligible ballots is determined.

2517

2518 Next, each of the six (6) candidates shall be allocated the number of ballots on which the
2519 candidate has been ranked first. Any candidate whose total allocation is at least one-third (1/3)
2520 of the total number of ballots is elected. Except that if, at any time in the entire tabulation
2521 process, a total number of candidates from any one college is elected that is equal to that
2522 college's total number of eligible seats, then any remaining candidates from that college will be
2523 removed from the ballots, and the ballots re-tabulated according to the rankings of the remaining
2524 candidates on each of them.

2525

2526 If three (3) candidates are elected at this point, the election ends here. If fewer than three (3) are
2527 elected, the following "Sequential Run-off" process will be followed.

2528

2529 **1.5.1.2.4 Sequential Run-off**

2530

2531 If fewer than three (3) candidates are elected pursuant to section (3) above, then the following
2532 run-off tabulation will be followed: On each ballot, the name(s) of the elected candidate(s) will be
2533 removed, and the ballots re-tabulated according to the rankings of the remaining candidates on
2534 each of them. Any candidate who is allocated at least one-third (1/3) of the total number of
2535 ballots after this re-tabulation shall be declared elected.

2536

2537 If no candidates are elected pursuant to section (3) above, then the name of the candidate with
2538 the fewest top rankings shall be removed. In case of a tie, the candidate with the fewest total
2539 number of votes of any rank will be eliminated, with any further ties decided by a random draw.
2540 The ballots will then be re-tabulated again according to the rankings of the remaining candidates
2541 on each of them. Any candidate now allocated at least one-third (1/3) of these re-tabulated
2542 ballots shall be elected.

2543

2544 If a total of three (3) candidates are elected at this point, the election ends here. This procedure
2545 shall be repeated as necessary until three (3) candidates are elected.

2546

2547 **1.5.1.2.5 Runners-Up**

2548

2549 After three (3) candidates have been elected, three (3) ranked runners-up will be chosen
2550 according to the number of ballots allocated to them at the end of the last tabulation round. In
2551 case of a tie, the candidate with the most total number of votes of any rank will be ranked first,
2552 with any further ties decided by a random draw. If there are fewer than three (3) such runners-
2553 up, then additional runners-up will be chosen to bring the total to three (3), with the additional
2554 runners-up ranked according to the reverse order of their elimination. The runners-up will be
2555 considered in the order so ranked in case of future vacancies on the Senate Council.

2556

2557 **1.5.1.2.6 Vacancies**

2558

2559 Upon resignation of any of the three (3) elected University Faculty representatives to the Search
2560 Committee or when a member is no longer eligible to be a Senator, a vacancy for that position
2561 shall be declared by the Chair of the Senate Council. A vacancy on the Search Committee shall
2562 be filled by the eligible candidate who in the election ranked the highest without being elected
2563 and who is eligible and willing to serve.

2564

2565 If the above procedure still does not fill the vacancy, the vacancy shall be filled with an eligible and
2566 willing elected Faculty Senator nominated by the Senate Council Chair and approved by the
2567 majority of the voting faculty members of the Senate Council.

2568

2569 **1.5.2 ELECTION: TWO VOTING UNIVERSITY FACULTY MEMBERS, BOARD OF**
 2570 **TRUSTEES**

2571
 2572 [See US: 10/12/88; US: 10/8/2001; US: 12/8/05; BoT: 6/2005]
 2573

2574 As specified in state law (KRS 164.131(1)(e) and implemented in the *Governing Regulations*
 2575 (II.B.2.b.1) there shall be two (2) voting faculty members of the Board of Trustees who are
 2576 "members of the faculty of the University." In accordance with KRS 164.131(3) and as
 2577 implemented in GR II.B.2.b.1, the University Faculty members who are eligible to vote for, and
 2578 eligible to serve as, elected members of the Board of Trustees shall be those members who are
 2579 regular, full-time faculty employees with a rank at the level of assistant professor (or its
 2580 equivalent, Librarian III) or above, whose primary assignments, i.e., more than fifty percent
 2581 (50%), are in instruction, research, and/or public service, as defined in *Human Resources Policy*
 2582 *and Procedure Administrative Regulation 4.0: Employee Status*. [GR II.B.2.b.1]
 2583

2584 * For the purposes of election of Faculty Trustees, AR 3:2 is here interpreted to allow
 2585 voting by, but not election of, faculty employees on phased retirement. [SREC:
 2586 12/17/13].
 2587

2588 Elections shall be held only during the academic year. During years when a regular term expires
 2589 (June 30), the election shall be held during the Spring Semester. If at any time a faculty Board
 2590 member should become ineligible to serve (i.e., assumption of an administrative title,
 2591 resignation, official leave which precludes attendance, loss of status as faculty employee, etc.),
 2592 the chair of the Senate Council shall declare a vacancy and call for a special election of a faculty
 2593 member to serve for the duration of the original member's ineligibility.
 2594

2595 Elections shall be conducted under the supervision of the Senate Rules and Elections
 2596 Committee as follows:
 2597

2598 **1.5.2.1 Nominations**
 2599

2600 The Chair of the Senate Rules and Elections Committee shall solicit nominations by a method
 2601 calculated to provide reasonable notice to the eligible faculty (and to the unit administrators for
 2602 the purpose of assisting dissemination of this notice to the faculty). Nomination forms shall be
 2603 submitted to the Chair of the Senate Rule and Election Committee in writing or by fax, and must
 2604 contain the names and signatures of ten (10) or more nominators on the same form, all of whom
 2605 must be eligible to vote. Forms may be provided for this purpose. The nomination form shall
 2606 indicate that the nominee is willing to serve if elected and that they is qualified to serve.
 2607

2608 * Pursuant to *Administrative Regulations* on tenure-ineligible title series and on faculty
 2609 members with certain administrative appointments, the following terms apply: (1)
 2610 Tenured faculty employees who have been appointed to an administrative position at or
 2611 above the level of department chair are not eligible to participate in the election of
 2612 faculty representatives, or serve as the elected faculty representative, to faculty
 2613 governance bodies above the college level (e.g., Board of Trustees, University Senate,
 2614 Graduate Council, Undergraduate Council, and Health Care Colleges Council) (see
 2615 HRPP 4.0); (2) Regular, full-time faculty employees in a tenure-ineligible series are not
 2616 eligible for regularly assigned university-level service activities, except that the
 2617 tenured/tenure-track faculty of a college may allow a tenure-ineligible series to be
 2618 eligible to vote for representation, and stand for election, specifically to the University
 2619 Senate, by extending College Faculty membership to that faculty series (to be
 2620 documented in the College Rules; see AR 2.5, AR 2.6, AR 2.9 for more details).
 2621 [SREC: 4/15/11]
 2622

2623 If three (3) or fewer persons are nominated there shall be only one (1) ballot as indicated below
2624 (see "The Final Ballot") However if only one (1) person is nominated, the nomination period shall
2625 be extended by one (1) week with notification to all eligible faculty voters. If no other nominations
2626 are put forward at this point, the sole nominee shall be declared elected.
2627

2628 **1.5.2.2 The preliminary ballot (if necessary)**
2629

2630 If more than three (3) persons are nominated, the names of all nominees shall be placed upon
2631 an electronic ballot with email notifications sent to all eligible faculty voters. If electronic balloting
2632 is not feasible, a paper ballot shall be utilized. The ballot shall contain the college and
2633 department or other subunit affiliation, if any, of each nominee. The election shall be by secret
2634 ballot and voters shall vote for only **one (1)** nominee. If one (1) nominee receives a majority of
2635 the votes, that person shall be declared elected. Otherwise, the three (3) nominees receiving the
2636 highest number of votes (including ties) shall be placed upon a final ballot.
2637

2638 **1.5.2.3 The final ballot**
2639

2640 The final ballot shall contain a brief biographical sketch submitted by each of the candidates and
2641 may contain a brief statement by them. Each voter shall indicate a first and may indicate a
2642 second choice. All of the first-choice ballots will be counted, and any candidate who receives a
2643 majority of votes will be elected. If no one receives a majority, a determination shall be made
2644 between the two (2) candidates with the largest number of first choice votes in the following
2645 manner: The second-choice votes on the ballots whose first choice candidate or candidates
2646 have been eliminated will be added to the first choice votes received by the top two (2)
2647 candidates, and the person with the larger number of total votes will be elected. (In the event of
2648 a tie at that stage, the candidate with the larger number of first choice votes will be elected. And
2649 in the event of a tie in the first and second choice votes, the tie will be resolved by a random
2650 draw after notifying the top two candidates.) [Revised: 10/1/80; Senate Rules Committee; US:
2651 1/18/88; US: 10/12/98; US: 10/8/2001]
2652

2653 **1.5.2.4 Election Problems**
2654

2655 In case of unanticipated election problems, the Senate Rules and Elections Committee shall act
2656 as the final arbiter.
2657