| Issue                             | Proposed Solution   | Discussion  |
|-----------------------------------|---|---|
| 1a. Mask Compliance               | Explore a wider range of harsh(er) "sanctions" for documented encounters/violations.  | Of course, this is a national problem, but it is a conduct issue, and the university could consider `measures like withholding transcripts, academic depts could agree not to provide references, people could be banned from campus in some instances, etc.  |
| 1b. PPE Stocking,<br>Replenishing | Identify gaps, unit by unit, room by room, in the current distribution/stocking system and add a new layer of inspection that will occur more frequently. | Several survey respondents commented on stocks in classrooms and work areas not being replenished. This seems easy enough to fix.   |
| 1c. Contact Tracing               | Elevate this activity as a university strategic imperative.   | Another national problem. There are too many complaints among survey respondents that follow-through is lacking. Do we not have enough tracers in place? There are also issues with people not responding to contact tracers. Elevate this issue, include potential disincentives for non-compliance. |
| 1d. Off-campus<br>Behavior        | Maybe, in the most egregious cases, organizational charters could be revoked for three years, or something of similar magnitude that UK has control over. | There are still big parties where people throw the safety protocols to the wind. It doesn't appear that we've figured this one out anywhere. This situation probably can never be effectively policed.  |

## 2. REPORTING OF COVID-19 STATISTICS (FACULTY)

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|--|--|---|
| Issue  | Proposed Solution  | Discussion  |
| 2a. Dashboard Data<br>Insufficient             | Add employee data and add graphs to display long term data for metrics such as positivity rate, number of tests, total number of cases, number of active cases, and new daily cases (raw data and 7-day averages). | n/a   |
| 2b. Dashboard Data is<br>Not Current           | Ask that dashboard be kept up-to-date.   | On 10/25, the last data was from 10/19 which is 6 days of a week that has seen the highest number of cases in Kentucky. |

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| 3. FACULTY WORKLOAD (FACULTY)  |   |  |
|--|---|--|
| Issue  | Proposed Solution   | Discussion   |
| 3a. Distributions of Effort<br>May Not Reflect Current<br>Realities      | Academic units could offer DOE reviews ahead of the next semester to adjust for professional and personal limitations imposed by COVID-19.                            | Limitations could include: higher health risk, increased time for family care, disruption of creative activities, redistribution of service load, need for more complex teaching modalities, etc. This action would also document how the COVID-19 pandemic impacts the working conditions and productivity of individual faculty members. |
| 3b. Stress Regarding Course Modalities                                   | Allow instructors final say on course delivery mode and if it is pedagogically appropriate, or practical, to allow remote student participation for inperson courses. | Includes in-person, blended, a/synchronous remote, and other combinations of online learning. This information should be given to the students before the semester starts.   |
| 3c. Special Concerns for<br>Faculty with Older School<br>Aged Children   | For older children (middle school and up), collaborate with UK student groups to identify and match paid tutors.  | Sessions could be by Zoom, or at UK facilities that allow for appropriate spacing and personal protection.   |
| 3d. Special Concerns for<br>Faculty with Younger<br>School Aged Children | For younger children, explore whether upper-level Education students could work with small groups or online, either for pay or for experiential education credit.     | Both options come with a financial cost to the parents, but maybe UK would be willing to share the cost.   |
| 3e. Special Concerns for<br>Faculty Struggling with<br>Online Delivery   | Tech-savvy instructors with (some) time could help those struggling with increased teaching load and complexity.  | The current environment is hard for faculty to manage if they have trouble with class management or instructional technology. We could work with CELT to create a clearinghouse of needs and available assistance. For example: help with online tech, proctoring/TA assistance, etc.  |
| 3f. Special Concerns for<br>Tenure Track and Non-<br>Tenured Faculty     | Regular meetings to discuss how to cope with the changed circumstances, while trying to make progress for promotion and tenure.                                       | This could be coordinated with the Office for Faculty Advancement.   |
| 3g. Leave Time Related to COVID  | Create a faculty shared vacation/leave pool to help colleagues with greater family obligations or with higher health risk.  | UK HR would have to be involved in this action   |

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|   | 4. FACULTY PERFORMANC   | EE EVALUATIONS (FACULTY)  |
|---|---|---|
| Issue   | Proposed Solution   | Discussion  |
| 4a. Extraordinary Year<br>Should Not be Evaluated<br>Like an Ordinary Year                | Suspend faculty evaluations for this year by waiving the relevant language in AR 3:10.  | Covid-19 has created significant and inequitable hardships for faculty and they should not be penalized in performance evaluations. Suspending FMERs would alleviate negative consequences for faculty. One disadvantage to this would be for faculty who are already on action plans or in disciplinary processes who need to be evaluated to avoid potentially harmful delays for specific personnel actions.   |
| 4b. Is Unfair to Consider<br>TCEs in the Same Ways as<br>They've Been Used in the<br>Past | Suspend or alter the use of<br>TCEs for spring and fall 2020  | Instructors have faced significant burdens when they had to quickly switch to remote teaching in spring and fall the burdens continued as faculty were encouraged to both teach in person, masked with social distancing if comfortable doing so AND provide instruction in multiple formats for students who were unable to attend in person.  Disadvantages (of suspending TCEs) are two-fold: TCEs provide a window into faculty efforts around meeting course objectives and student needs during the pandemic; and faculty efforts should be known, and TCEs can serve as evidence for the work that faculty did to serve students in the classroom. |
| 4c. Concerns Pandemic<br>Stressors Will Negatively<br>Affect Performance<br>Evaluations   | Solution #1: Allow faculty to self-report their pandemic-related situations and factor those in scoring to take into account the crisis | This potentially addresses inequities in faculty pandemic responsibilities. However, some faculty self-reports may be unreliable (overreporting or underreporting burdens) and it would require additional time to explain/document responsibilities and burdens as the dossier is prepared during a time when faculty are already overburdened; no merit raises are expected anyway.   |
|   | Solution #2: Provide extra grace when scoring   | This would acknowledge the challenges that all faculty have faced due to the pandemic, but it would not address the inequities in responsibilities/burdens related to the pandemic.   |
| 4d. Entire Performance<br>Review Process is<br>Burdensome                                 | Solution #1: Requiring less documentation would save faculty time and energy  | Putting together a dossier/portfolio for FMER is time consuming, and faculty are already burdened with responsibilities due to the pandemic. Must also account for tenure-track and non-tenure eligible faculty who may want/need to include as much detail as possible to build a tenure dossier or justify continuation of employment.  |
|   | Solution #2: Extend the time for submission   | Extending the time for submission may make evaluations less burdensome for faculty.   |

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| 5. Personal Exposure to COVID-19 (FACULTY)                    |   |   |
|---|---|---|
| Issue   | Proposed Solution   | Discussion  |
| 5a. No Notifications if<br>Classroom Members<br>Exposed, etc. | Develop color-coded<br>notification system for<br>instructors about student<br>absences (tested positive,<br>exposed, etc.) | Faculty want to fulfill their responsibility to control COVID exposures that may be happening in their curricular activities. The lack of notification (regarding whether people in your classroom have tested positive for COVID or may have been exposed and were contacted for tracing) does not allow faculty to respond and adjust to an enhanced risk of exposure, for themselves or the students they are responsible for providing a safe educational experience. Faculty have the authority and responsibility to provide a safe learning environment. See the <a href="AAUP statement on the COVID crisis">AAUP statement on the COVID crisis</a> – UK could endorse this position. |
| 5b. Insufficient Numbers of PPE                               | Ensure PPE supplies are adequate in all classroom space   | Create a way to apply for supplies as easily as we do our daily monitoring.   |

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| 6. COMPLIANCE WITH SAFETY PROTOCOLS (STUDENTS)                 |  |  |
|--|--|--|
| Issue  | Proposed Solution  | Discussion   |
| 6b. Stresses about Changing Course Modalities                  | Continue to be as flexible as possible with everyone's needs on an individual basis.   | Giving students access to online meetings when they have exposures or feel uncomfortable has been much appreciated by those students as they have been able to maintain learning. Students should be allowed to attend in person if the instructor is offering that. Students learn best in person.  |
| 6c. Difficulties in Split<br>Modalities                        | Give students ability to register specifically for inperson or online teaching.  | Encourage faculty to consider offering in-person office hours or even maintaining regular zoom office hours so that students have a way to feel that they are still getting an individualized and meaningful academic experience. This would address student concerns that with online learning they may not reaping the full benefits of their tuition. |
| 6d. Risky Behaviors After<br>Leaving Campus at<br>Thanksgiving | Encourage safety during the mid-semester break.  Require the Daily Wellness Checks to be completed starting the 14 days prior to returning to campus | List unsafe activities and suggesting students staying in Lexington create small pods and maintain them.  This would be another way to ensure our return to Lexington is safe.   |

| 7. COVID TESTING (STUDENTS) |  |  |
|-----------------------------|--|--|
| Issue                       | Proposed Solution                          | Discussion   |
| 7a. Mandatory Testing       | Explain to students how this benefits them | more and more testing less and less students will comply. Students currently have to get a flu shot, had to get a mandatory test to enter the campus and I am sure more mandatory testing will be coming. Encouragement is important. Not sure if third-party vendor has capacity to manage more frequent COVID testing. |

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| 8. Student Interactions with Faculty (students) |   |  |
|---|---|--|
| Issue   | Proposed Solution   | Discussion   |
| 8a. Confusion about<br>Course Modalities        | Increase transparency surrounding course modality.  | The sooner we can let students know what their classes will realistically look like, the better off everyone will be. This helps us to prepare for classes and consider the steps we may need to take to adequately learn from and communicate with our educators. If we want students to succeed and to garner what our faculty are capable of providing in their time here, it is imperative that expectations are as clear as possible. Some statement indicating what criteria/events may cause a course's modality to change would certainly be valuable as well. |
| 8b. Confusion about<br>COVID Policies           | Make classroom policies<br>known as early as possible.  | The greater amount of time individuals have to understand their expectations and new normals, the greater overall compliance. Yes, these policies will probably evolve, but the University needs to let all those returning to campus know what is needed from them to guarantee a safe arrival on campus.   |
| 8c. Students Preparing for Spring               | Suggest/demand instructors publish Canvas pages including syllabi at least 5 days before classes start. | This has been a long-time concern of many students. Students should know their in-person expectations prior to starting this upcoming semester. Compliance will surely go up once everyone is on the same page.  |

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