University Senate
September 10, 2012

The University Senate met in regular session at 3 pm on Monday, September 10, 2012 in the Auditorium of the W. T. Young Library. Below is a record of what transpired. All votes were taken via a show of hands unless indicated otherwise.

Senate Council Chair Lee X. Blonder called the University Senate (Senate) meeting to order at 3:00 pm. She welcomed senators and guests to the first Senate meeting of the academic year. She introduced University President and Chair of the University Senate Eli Capilouto.

1. State of the University Address - University Senate Chair Eli Capilouto
President Capilouto spoke for approximately 30 minutes. He offered a recap of his listening visits to various areas on campus and off campus as well as a description of his activities during the past academic year. The President then talked extensively about the incoming freshman class and its high academic achievements; he also related a number of anecdotes reflecting UK's stellar faculty, staff and students. Afterwards he took questions from senators.

Debski asked for more information about the recent budget cuts and what lessons the administration learned that could be applied to the upcoming second round of cuts. President Capilouto said that he wanted colleges to be able to prepare long-term financial plans, which has not been possible under UK's current budgeting system. He said it was important to have more time to discuss financial matters with deans, center directors and department chairs. The biggest lesson learned was to start the planning process earlier.

Brion said that the President has spoken of having a nimble and responsive administration. She asked how he planned to balance that with needing to solicit input from a number of people and entities, including working with the Senate. President Capilouto said that when he spoke to new senators earlier, he spoke about challenges in higher education. There have been a number of recent reports of educational institutions in trouble for various unethical and perhaps illegal activities. He noted that large organizations delegate a lot of responsibility and trust. The President said he was looking for improved processes, particularly improving horizontal and vertical communication and empowering colleges to make some decisions. He invited senators to share their ideas and suggestions with him.

Prats asked President Capilouto on speak to the recent layoffs and whether there will be any guidelines for units, departments and colleges for the next round of cuts. He opined that the processes seemed arbitrary, resulting in a lot of anxiety for non-tenured faculty and staff. The President said that he would speak in general, not in specifics. He said that there were a couple of unfortunate situations that were publicized but there were other more palatable situations which did not make the news. There are policies to guide situations involving layoffs and that after the first issue, he thought it best to have professional staff from Human Resources work closely with affected units and be more visible. President Capilouto added that anyone who was within a year of qualifying for retirement benefits was bridged. Also, UK does not have a hiring freeze, since employees do come and go. Between the period 2008 – 2012, faculty numbers grew by 9%, administration grew by 6% and staff numbers grew by 2%.

Grossman referenced the upcoming accreditation from SACSCOC (Southern Association for Colleges and Schools Commission on Colleges) and said that from his perspective, SACSCOC does not recognize the economic "new normal" with regard to the number of resources required to comply with assessment

requirements. Grossman wondered if there was any possibility that SACSCOC would change those requirements. President Capilouto said that he preferred to take a long-term view of the accreditation process. He thought that universities sometimes overreacted to some external entities. The President added that he reviewed the report on Senate activities for the previous academic year and noticed the work done regarding defining credit hours. He noted that the federal government issued its own definition of a credit hour, on which financial aid is built. However, seat time is not the only reason for being involved at a university – the purpose is to help people learn. President Capilouto said that he hoped to get away from the process and structures of measurement in the future and replace that with a reasonable list of outcome measures. In the short term, UK must do as SACSCOC requires, and try to do it as efficiently as possible.

Debski recalled the President's comments on an undergraduate focus. She said that given past trends and the few resources going that way, as well as considering the current budget situation, how does President Capilouto plan to address undergraduate education to enable faculty to give a quality education while teaching large classes and majors. The President replied that he takes section sizes seriously; there are 3,700 sections of classes during the fall 2012 semester. He said it goes back to having the right resources where they need to be. There is great variation across units and UK needs to have a better understanding of that to be able to put resources in the right places. In terms of investments, UK strategically reinvested during the last budget cycle, including budgeting a year in advance for a 5% merit raise. Resources need to be assigned in the correct way. If UK increases retention by 10% and increases transfers by 100 or so through the current class, UK would see additional gross revenue of an additional $14 million. The President said he would return to the Senate in the future to share more details about the budget as relationships between tuition and net revenues are better understood.

Debski said that she continued to hear that budget cuts necessitate letting go advisors in the College of Arts and Sciences. President Capilouto said that he read about that issue recently in the Kentucky Kernel; discussions are ongoing between Interim Provost Tim Tracy and deans about what UK is going to do. He said it was the first he had heard of the advisor situation in Arts and Sciences. He said planning meetings are going on, to improve colleges' understanding on workloads and distribution of resources across entire colleges.

Debski asked if the merit raises will go to those teaching, not just those involved in research. President Capilouto said that he would not be party to anything that rewards someone only for one dimension of what they do. He said that the merit plan will take various aspects into consideration.

D. Anderson said she had a follow-up question pertaining to merit raises. She said that she had heard discussions, including those involving the Staff Senate, about having an across-the-board raise of 5%, instead of merit raises. She said that because it had been several years since UK employees received healthy raises, it would make more sense to give decent raises to everyone. In response to a question from the President, D. Anderson clarified that she was speaking for employees with at least a year's employment. President Capilouto said he believes in a meritocracy and UK needs to be able to assess people better, differently. He referred to the preliminary draft report from the Faculty Committee on Review, Rewards and Retention and the suggestions it contained. He said the entire issue required more discussion.

The Chair asked if there were any further questions. There being none, she thanked President Capilouto for attending.

The Chair reminded senators to:

- Remember to sign in upon arrival;

- Give your name and affiliation when speaking;
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- Communicate with constituencies;

- Attend meetings;

- Respond to emails and web postings as appropriate;

- Acknowledge and respect others; and

- Silence all electronic devices.

The Chair explained that the Senate needed to waive *Senate Rules 1.2.3* to allow the Senate to consider the agenda and recommendations for action because some supporting documentation was not sent out six days in advance.

Charnigo **moved** that the Senate waive *Senate Rules 1.2.3* to allow consideration of the agenda and recommendations for action at the September 10, 2012 meeting. Brion **seconded**. There being no discussion, a **vote** was taken and the motion **passed** with none opposed.

2. Minutes and Announcements
The Chair said that the minutes from March 19, 2012, from April 9, 2012 and from May 7, 2012 were ready for approval. No corrections were received.

Wood **moved** to approve the minutes from March 19, 2012, from April 9, 2012 and from May 7, 2012 as distributed. Wasilkowski **seconded**. There being no discussion, a **vote** was taken and the motion **passed** with none opposed.

The Chair introduced members of the Senate Council (SC). Voting members are Debra Anderson, Lee X. Blonder, Gail Brion, Mark Coyne, Alison Davis, Elizabeth Debski, Bob Grossman, Katherine McCormick, Greg Wasilkowski, Connie Wood, Stephen Bilas, Eli Edwards and Maddie Wright. Hollie Swanson (past chair), Irina Voro (faculty trustee) and John F. Wilson (faculty trustee) are ex officio non-voting members of the SC.

The staff employees in the Office of the Senate Council are Sheila Brothers (administrative coordinator and staff trustee) and Janie Ellis (staff assistant). The Chair commented that the office has 1.5 full-time employees who do an incredible amount of work.

Michelle Sohner, from the Office of Academic Ombud, serves as the Senate's sergeant at arms. J.S. Butler (GS/Martin School of Public Policy and Administration) is the parliamentarian. The court reporter is Lisa Hoinke.

The Academic Ombud is Sonja Feist-Price (ED/Special Education and Rehabilitation Counseling). Richard Greissman serves as the Provost's Liaison to the Senate Council. Sean Cooper is associate registrar and replaces Jacquie Hager. Michael Adams is the chair of the Staff Senate (Biology).

The Chair said that there are 28 new faculty senators, some of whom have served in the Senate previously: AG – E. Bailey and Fox; AS – Christianson, Crampton, Gross, Grossman, Karan, Kraemer, Prats, Rabel, Rogers, Steiner; BE – Childs; ED – Jong; EN – Anderson, Dietz, Knutson, Wasilkowski, Truszczynski; FA – Wright; LI – Martin; ME – Andrade, P. Bailey, Bayliff, Kaplan, Watt; PH – Graf; SW – Sutphen. The Char added that the results from Dentistry will be forthcoming.

There are 18 new student members of the Senate: AG – Staci McGill; AS – Maddie Wright; BE – Jordan Plamp; CI – Rachel McMahan; DE – Aaron D.F. Stanley; DS – Kendall Latham; ED – Eli Edwards; EN – William Walker; FA – Evan Pulliam; GS – Frank Appiah; HS – Kelsea Dawson; LA – Shannon Leahy; ME – Matt Sexton; NU – Jordyn Bland; PH – Mark Huffmyer; PbH – Keith Branham; SW – Emily Underwood; SGA President – Stephen Bilas. The Chair asked new faculty and student senators to stand and be recognized with a round of applause.

The Chair offered a variety of announcements to senators.

The SC discussed the reporting structure of Vice President for Research (VPR) after the President suggested the VPR report to him, rather than to the Provost. The Chair and others arranged an agreement with the President's office that President Capilouto will make a final decision after receipt of the report from the SC's ad hoc committee on centers. Then, the President will come to the Senate with a formal proposal regarding the VPR reporting structure in early spring, which the Senate will endorse or not endorse. In the interim, the VPR reports to the President.

Due to recent administrative changes in the Provost's area, the SC had reason to deliberate on two related issues: the issue of who should chair the Health Care Colleges Council (HCCC); and the issue of whether or not the new position of Associate Provost for Faculty Advancement should have voting rights in the Senate. The SC decided there was no problem with the Associate Provost for Faculty Advancement chairing the HCCC. Regarding ex officio status, the SC will compose an ad hoc committee to review the voting and non-voting status of ex officio members of the Senate.

The SC approved the use of a syllabus generator developed by Jeannine Blackwell and her group that faculty can opt to use if so desired. However, it is not yet compatible with the entire campus, so it will be rolled out in the next few months and will "live" at the electronic course approval tracking site (eCATS). There is already a syllabus template on the eCATS site.

South Georgia College contacted the Office of the Senate Council for permission to use the Senate's credit hour matrix (approved by the Senate this past May) to help them better comply with Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) requirements.

The SC approved editorial changes to College of Pharmacy calendar, which added Presidential Election Day as an academic holiday and added a reminder of the start of a specific rotation block.

Past Chair Swanson provisionally approved course and program change proposals in May on behalf of Senate. Two of the four provisionally-approved transmittals will be posted for final Senate approval later this week and the remaining two will come thereafter.

Past Chair Swanson approved the addition of one student earning a dual degree (Pharmacy/Public Administration) to the May 2012 Degree List. The student was omitted due to institutional/clerical error.

Karen Badger (Social Work) is serving as the interim chair of Undergraduate Council until the position of Associate Provost for Undergraduate Education is filled.

Be on the lookout for an email this week with information about Senate committee compositions.

Last week, Past Chair Swanson and Chair Blonder sent a report to President Capilouto summarizing the Senate's 2011-2012 activities. The report is currently posted at http://www.uky.edu/Faculty/Senate/related_links.htm.

Senators who did not receive an email announcement about the day's meeting via the Senate listserv should contact Mrs. Brothers.

3. Officer and Other Reports
a. Chair Report
The Chair said she wanted to explain the voting membership of the Senate, which is a mixed constituency body. The voting membership comprises about 75% elected faculty, 15% elected students, and 10% ex-officio administrators. There are 94 elected faculty senators representing 18 colleges, one emeritus professor, two faculty trustees, 18 elected student members (includes student trustee/SGA president) and 11 or 12 ex officio administrators, depending on the year.

For the 2012-13 academic year, the ex officio voting members are the Provost, Associate Provost for Undergraduate Education, and the Deans of Libraries, Communication and Information, Dentistry, Design, Education, Engineering, Health Sciences, Law, and Social Work. As per *Governing Regulations IV*, only elected faculty senators may vote on the degree list and honorary degrees.

A lot of work has been put into the Senate's electronic Course Approval Tracking System (eCATS). It is a joint effort among Senate Council and staff, UK Information Technology, various administrators and academic council staff. Funding was provided by former Provost Subbaswamy. So far, many staff and faculty have received eCATS training offered through UK IT. The training manual can be found on the Senate's forms page: (www.uky.edu/Faculty/Senate/forms.htm).

eCATS went live campuswide in August 2012, following a one-year pilot in the College of Arts and Sciences. Currently, eCATS handles course proposals (new, change, drop); there are plans in the future to add programs. Faculty and staff can access eCATS by logging onto the myUK portal. Click on "Enterprise Services" and then "Workflow." The link to eCATS is on the left-hand side of the screen.

b. Vice-Chair Report
Grossman reported on the ad hoc committee on centers put together by the SC. Over the past year there have been several proposals reviewed by the SC that made it clear there was some awkwardness in UK's regulations governing interdisciplinary instructional programs, multidisciplinary research centers, etc. Sometimes they are housed outside a college or inside a college. The SC organized a committee, which Grossman is chairing, that has about 10 members. He explained that the committee does not have any preset agenda, nor has the committee been given direction on what recommendations to make. The purpose of the committee is to find out what interdisciplinary activities are occurring, how

they are organized, and research whether there is a better way to organize them. The committee is currently gathering information, including the contact information for all center directors.

Grossman said there are about 80 research centers and institutes at UK, of which nine report directly to the vice president for research. The other 70 are housed within various colleges and the size and scope vary enormously. When finished gathering data, the committee will begin engaging a variety of stakeholders to see if there is any consensus on the best way to organize multidisciplinary activities.

c. Parliamentarian Report
Butler offered a report on parliamentary procedure.

Business comes before the Senate through main motions that are seconded. Committee recommendations do not need seconds. Motions may be amended (requires second and vote). While an amendment is before the Senate, discussion must be limited to the amendment and not about the main motion.

Amendments may be amended but no further. Most motions are approved by a simple majority vote via a show of hands. No one should speak a second time as long as there are senators desiring to speak for the first time. "Calling the question" is used to stop debate; it requires a two-thirds vote and is not debatable.

d. Trustee Report
Irina Voro (FA/Music), faculty trustee, offered senators a report on the current budget situation. Voro thanked the Chair for inviting her.

Voro asked senators to play along with an exercise in imagination, to illustrate the current problem of teaching positions being cut across the campus. She commented on increases in administration since 2008 and current financial difficulties. Voro said that the $87 million lent to the hospital enterprise was three times the amount saved by position eliminations.

Moving to the issue of the President's evaluation, Voro opined that the process was secretive and conducted by the Executive Committee of the Board of Trustees (Board). That body decided the President did an extraordinary job, deserving of a bonus, even though jobs were being cut at UK.

Voro expressed displeasure with the contract the Board made with past president Lee T. Todd. She thought it was far too generous.

Moving to the matter of election of officers for the Board, Voro asserted that the process was too opaque and did not include election statements from nominees, although she has twice requested that procedural change. Voro was dismayed that the Board approved the restructuring proposal of the Gatton College of Business and Economics, even though the Senate did not endorse it. She said the Board often approved what the President brought before it. Voro thought the Senate should hold administrators accountable; she suggested senators email Board members with their concerns.

Coyne asked Voro to email senators to remind them how to go about addressing the Board. Voro indicated she would send that information.

4. UK August 2012 Degree List (second of two)

The Chair reported that this was the second of two August lists; the Senate approved the first August list in May. There was one addition to the degree list; a student (Doctor of Philosophy) was not included due to institutional/clerical errors.

Wasilkowski **moved** that the elected faculty senators approve the revised second August degree list, for submission through the President to the Board of Trustees, as the recommended degrees to be conferred by the Board. Brion **seconded**. The Chair reminded senators that only elected faculty senators could vote on a degree list.

A **vote** was taken and the motion **passed** with none opposed.

The Chair explained that the Senate also had a request before it to add a student to the May 2012 degree list. A dual-degree student correctly applied for May 2012 graduation, but an administrative error kept student from receiving degree. This type of addition is usually taken care of by the Chair on behalf of SC/Senate, but there is no need for Chair to act when Senate is meeting.

Brion **moved** that the elected faculty senators approve the addition of one student to the May 2012 degree list, for submission through the President to the Board of Trustees, as the recommended degree to be conferred by the Board. Wood **seconded**. There being no discussion, a **vote** was taken and the motion **passed** with none opposed.

5. Committee Reports
a. Senate's Rules and Elections Committee - Davy Jones, Chair (Medicine)
i. Proposed Changes to *Senate Rules 5.4.1* ("Residence Requirements")
D. Jones, chair of the Senate's Rules and Elections Committee, explained the proposed changes to *Senate Rules* (*SR*) 5.4.1. He answered questions from senators. He clarified that where the proposed amended rule refers to a veteran it is referring to students who in their last semester are about to graduate but are deployed, which affects their ability to satisfy the residency requirements. The language only applies to undergraduates. There is some duplication among the numbered items, but it was left in to accommodate a variety of situations in which one requirement may apply, but not another. D. Jones answered a variety of questions from senators.

The **motion** from the SREC was that the Senate approve the proposed changes to *Senate Rules 5.4.1*, effective immediately. Since the motion came from committee, no **second** was needed. When there was no further discussion, a **vote** was taken and the motion **passed** with none opposed.

b. Senate's Academic Facilities Committee - Alice Christ, Chair
i. 2011 - 2012 Annual Report
Christ explained the report to senators. She clarified for Grossman that if the Senate approves the document, "Criteria of Academic Merit in Capital Projects Planning," it will be in a position to ask administration to include that document as part of the procedure for submitting capital project requests. She answered a few questions from senators.

The **motion** from the SAFC was that the Senate accept and post the Criteria of Academic Merit in Capital Projects Planning as a Senate document. Because the motion came from committee, no **second** was needed. [The Criteria are amended to the end of these minutes.]

Grossman **proposed an amendment** to add "and ask administration to adopt these criteria as a regular part of the planning process." Wasilkowski **seconded**. There was discussion on the proposed amendment.

Brion **offered a friendly amendment** that Grossman's motion be changed to "encourage administration to adopt these criteria as a regular part of the planning process." Both Grossman and Wasilkowski **agreed**.

A **vote** was taken on the amendment to add "and ask administration to adopt these criteria as a regular part of the planning process" to the motion from the SAFC. The motion **passed** with none opposed.

The Chair asked senators to now focus on discussion of the main motion and there were additional comments.

When there was no further discussion, a **vote** was taken on the **motion** that the Senate approve the proposed changes to *Senate Rules 5.4.1*, effective immediately. The motion **passed** with none opposed.

5. Proposed December 2012 Honorary Degree Recipients (three nominees) - Dean Blackwell, Chair, University Joint Committee on Honorary Degrees
Blackwell gave a report on the three nominees for honorary degrees put forward by the University Joint Committee on Honorary Degrees (UJCHD). In response to Finkel, Blackwell asked senators to keep the names confidential.

The **motion** from the SC was that the elected faculty senators approve the three honorary degree candidates (Honorary Doctor of Arts, Honorary Doctor of Letters and Honorary Doctor of Letters) for submission through the President to the Board of Trustees, as the recommended recipients of honorary degrees to be conferred by the Board. Because the motion came from committee, no **second** was needed. A **vote** was taken and the motion **passed** with none opposed.

Blackwell informed senators that she and the entire UJCHD would be very happy to see a wide variety of nominations for honorees at the May commencement; a large number of submissions improves the diversity of the nominee pool.

6. Report on Student Government Association - Stephen Bilas, SGA President
Stephen Bilas, President of the Student Government Association, offered a brief presentation to senators on recent SGA activities and goals for the academic year.

There being no further business to conduct, Wood **moved** for adjournment and Wasilkowski **seconded**. A **vote** was taken and the motion **passed** with none opposed.

Respectfully submitted by Robert G. Grossman,
University Senate Secretary

Absences: Adams, I., Adams, M., Allison, Anderson, H., Anstead, Atwood[*] (teaching conflict), Ballard, Blackwell, D., Bland, Brennen, Bruzina, Childs, Christianson, Conners, Davis, de Beer, Deep, DeSantis*, Dietz, Eckman, Feist-Price, Geddes, Hardin-Pierce, Jackson, Johnson, Kaplan, Kirschling*, Kornbluh*,

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[*] Denotes an absence explained prior to the meeting.

Latham, Martin, A., McCormick, McNamara, Michelman*, Murthy, Nagel*, Noonan, Osborn, Plamp, Richey, Smith, Speaks*, Tick, Tracy, J., Tracy, T., Turner, Walz, Wells, Wilson, Wiseman, withers, Witt, Wyatt*, Yelowitz*.

Prepared by Sheila Brothers on Wednesday, October 3, 2012.

Criteria of Academic Merit in Capital Projects Planning

Project Description/Justification* should address benefit of the proposed project to the academic missions of the University. Criteria of academic merit should be addressed as quantitatively as possible, using the most current information as well as projections. Descriptions of the inadequacies of current facilities as well as benchmark comparisons are useful. Relevant information from recent programmatic reviews should be cited. The following are examples of information that is especially helpful:

1. Impact on instructional missions
   a. Courses and enrollments
   b. Degree program(s) affected (numbers of majors; degrees awarded annually)
   c. Trans-University impact (contribution to University Core Studies and other majors)
   d. Tuition revenue generated
   e. Relative requirements for lecture, laboratory and other instructional space

2. Impact on research missions
   a. Rankings in relevant national comparisons
   b. Research grant revenues (including indirect costs)
   c. Impact on graduate and undergraduate programs
   d. Extramural economic impact

3. Impact on service/outreach missions
   a. Role in land-grant mission of the University
   b. Contribution to the economic, environmental and health well-being
   c. Public education and enlightenment

*Section of Form SYP-P2 or other proposal format.