

University Senate  
November 14, 2011

The University Senate met in regular session at 3 pm on Monday, November 14, 2011 in the Auditorium of W. T. Young Library. Below is a record of what transpired. All votes were taken via a show of hands unless indicated otherwise.

Chair Hollie I. Swanson called the University Senate (Senate) meeting to order at 3:02 pm. She offered a presentation to facilitate the meeting.

1. Minutes from October 10, 2011 and Announcements

The Chair explained that a motion was needed to waive *Senate Rules 1.2.3 (SR 1.2.3)* to allow the Senate to consider the agenda, etc. because those items were not sent out six days in advance.

Brion **moved** that the Senate waive *Senate Rules 1.2.3* to allow consideration of the agenda, etc. for November 14, 2011 and Wasilkowski **seconded**. The motion **passed** with none opposed.

The Chair said that a couple of grammatical corrections to the Senate minutes from October were received. McCormick **moved** to approve the minutes from October 10, 2011 and Fiedler **seconded**. A **vote** was taken and the motion **passed** with none opposed.

The Chair offered a variety of announcements to senators.

- Volunteers are needed for four campuswide committees (Tobacco-Free Campus Advisory Committee, Staff Performance Evaluation Review Committee, and two Work-Life Advisory Committees - Career Development Committee and Burnout Committee).
- Two web transmittals are currently posted, containing about 60 courses and 13 programs.
- Additional course submissions are needed for the UK Core areas of Inquiry in the Humanities and Inquiry in the Social Sciences. Please consider submitting courses for these two areas, particularly at the 300- and 400-level.
- The Senate Council (SC) deliberated on proposed changes to *SR 1.3.1.3.A* ("Senate Council Chair") and reviewed alternate language from Blonder and Wood. The revised language has been sent to the Senate's Rules & Elections Committee to draft possible rule language.
  - Highlights include: senators nominate eligible SC members for Chair; nominees can submit 200-word election statement; senators invited to offer opinions on candidates via email to the SC; SC members review input from senators and elect SC chair; and the creation of "chair elect" position.
- Two elections will be held in December, one for the SC chair position and one for members of the SC.
- The Chair introduced Staff Senate Chair Michael Adams (Arts and Sciences, Department of Biology). Guest Adams spoke about two Staff Senate initiatives – the CRISIS program (Crisis

Relief in Situations Involving Staff) and efforts joint efforts with Human Resources to create an anti-bullying/anti-harassment policy for employees.

- The document handling system pilot is moving along in the College of Arts and Sciences (AS). Faculty have been submitting electronic forms and most forms now are waiting on department approval. It is early in the transition, but going well.
- There will be an Association of American University Professors (AAUP) meeting on Saturday, November 19 in the W. T. Young Library Auditorium from 1 - 5 pm. The intent is to create a new chapter of the AAUP.
- There is a new Experts Page on UKNOW. It is a list of employee experts (organized by topic) who are willing to talk to the public & media. Individuals interested in being listed may request that their name be added.

## 2. Officer and Other Reports

### a. Chair's Report

The Chair reported that she and another faculty member took eight biomedical graduate students to an A&S Wired coffee chat. The objectives were to develop cross-campus, student-focused collaborations; provide an opportunity for young scientists to practice public speaking; and develop a pilot for possible implementation of the Quality Enhancement Plan (QEP). All the students enjoyed the interactions. The Chair then asked the QEP team for insight into how she could have incorporated multi-modal communication across the curriculum with that event, and received some suggestions.

The Chair then offered some information regarding recent SC activities. Most recently, Provost Subbaswamy asked the SC to form a committee to recommend a redrafting of the *Administrative Regulations (ARs)* on faculty post-tenure accountability and productivity reviews. The SC expressed concerns that the role of the faculty was not clearly defined and requested that a similar endeavor be initiated to focus on administrative activities. The SC met again with the Provost, this time to obtain clarity. The Chair then met with President Capilouto to discuss the need for an examination of administrative activities. He is currently reviewing the structure of his office and will discuss his plans with senators at the December Senate meeting.

In the meantime, the President asked the Chair to meet with Associate Vice President of Human Resources Kim Wilson, Vice President of Financial Operations and Treasurer Angie Martin, and Director of Institutional Research Roger Sugarman to determine key issues that need to be addressed if there is to be an examination of administrative activities. The Chair invited feedback from senators.

Trustee Joe Peek and the Chair are working together to create activity classifications in order to clarify how many administrators UK has: purely administrative activities; faculty administrative activities; instructional activities; instruction/student-centered support activities; and non-instructional support activities. The intent is to try to place personnel on campus into one of the five groups to determine the amount of administrative activities that are occurring on campus. The Chair said she would report back to the Senate as information becomes available. After a an additional comment, the Chair agreed that "instructional" should be modified to "educational" to better encompass the research mission.

Senators were interested in how the data would and could be used, particularly with respect to comparisons to other universities. It was acknowledged by a few different individuals that universities

typically use customized definitions of employment categories, so comparison across universities is somewhat difficult. Grossman suggested checking on the categories/groups used by the seven benchmarks identified by the Executive Review Committee.

i. Q&A with Bill Swinford, President's Chief of Staff

The Chair introduced Guest Swinford to senators. Swinford spoke to senators about his conviction that President Capilouto is the right person for the job and the areas the President is focused on in the short term (undergraduate education and facilities). Afterward he took a variety of questions from senators, including the following:

- What is UK doing to ensure that an episode like what occurred at Penn State would not happen at UK?
- What type of control would UK give up if it engages in a public/private partnership for a new residence hall, classroom building, etc.?
- Will new buildings be LEED (Leadership in Energy and Environmental Design) certified?
- Will faculty be involved in the design of educational space in residence halls?
- Would data from Integrated Postsecondary Education Data System (IPEDS) help in the review of administrative activities?
- What is the current status of the employment ombud proposal?

Swinford answered senators' questions to their satisfaction. When there were no further questions, he said he was happy to attend any Senate meeting, particularly if the President cannot attend. He offered senators his email address and said he was happy to respond to any inquiries. The best way for things to work is for the faculty and administration to stay on the same page. The Chair thanked Swinford and he returned to his seat.

d. Trustee Report

Faculty Trustee Joe Peek offered an update on Board-related activities, including the topics below.

- UK recently sent out a Request for Proposals (RFP) for a new dormitory.
- Shortly before the last Board of Trustees meeting, Board members heard from students during a committee meeting about UK's reliance on coal usage. As a result, UK's Vice President for Facilities Bob Wiseman will be taking a couple of those students to Ball State for a fact-finding trip on use of geothermal energy at UK.
- Peek believes there will be structural reorganizations at UK, largely due to changes in funding and how UK budgets.
- A few of UK's endowments are under water. Negotiations are underway with the Council on Postsecondary Education (CPE) on the best way to move forward. Peek said that he was more concerned about the decreased payouts in the future.

There were a variety of questions from senators, primarily pertaining to UK's endowment and how to learn more about UK's endowment practices, as well as possible structural reorganizations.

c. Parliamentarian's Report

Senate Parliamentarian J. S. Butler reminded senators that by virtue of them being presented, committee reports are automatically received by the Senate. If someone wishes to make a committee report a Senate document, a senator should move to adopt the report.

3. UK's December 2011 Degree List

The Chair reported that as a result of faculty oversight, one PhD student was removed, one PhD student was added and two DNP students were added.

Jones **moved** that the elected faculty senators approve UK's December 2011 degree list, for submission through the President to the Board of Trustees, as the recommended degrees to be conferred by the Board. D. Anderson **seconded**. There being no discussion, a **vote** was taken and the motion **passed** with none opposed.

4. Program Assessment Overview - Mia Alexander-Snow, Director of Planning and Institutional Effectiveness

Guest Mia Alexander-Snow offered a presentation that offered an overview of program assessment. When she was finished, there was a question among senators about how to find the results of various program assessments. Alexander-Snow explained that such reports were usually kept within a department, but the Senate could request other ways of disseminating information. Interim Vice President for Institutional Research, Planning and Effectiveness Heidi Anderson said that she and Alexander-Snow would talk with the Chair about possibly posting program assessment reports online.

5. Committee Reports

a. Senate's Advisory Committee on Privilege and Tenure (SACPT) – Jim Geddes, Chair

i. SACPT 2010 – 2011 Final Report

The Chair noted that Geddes was out of town, so Wasilkowski, a committee member during 2010 – 2011 gave the report to senators. Wasilkowski offered a brief description of the cases reviewed, as well as the recommendations. D. Jones clarified for senators that one recommendation (regarding the amount of time a faculty member has to get information to the SACPT being limited to 75 days) from the SACPT had been sent to the Senate's Rules and Elections Committee for codification into the *SR*.

b. Faculty Evaluation of the President

SC member Mark Coyne offered senators a brief presentation on the recent invitation that the SC develop an improved method of evaluating the president. He explained that a small ad hoc group (himself and Wasilkowski) have been working on a template of questions to be delivered to faculty in the form of a survey. He noted that his comments and some proposed questions were posted on the Senate's website. Coyne added that the questions were very preliminary in nature and urged senators to offer input into the questions. He said it was also a way to inform the Board about what questions the Board should ask when evaluating the president.

Coyne again invited senators to contact himself or Wasilkowski with any input. He confirmed for Watkins that the effort was very much something that should be shared with constituents. A question was asked regarding evaluation of the provost and the Chair said she would inquire into that possibility.

In response to a request, the Chair said that the details could be summarized and emailed to senators to help facilitate sharing the information with colleagues.

The Chair said that when the time was appropriate, she would share the results with Board Chair Britt Brockman.

#### 6. Executive Review Committee Report

The Chair (who also chaired the Executive Review Committee) offered a presentation to senators, similar to what she offered to Board members during the Board's retreat. Senators asked a variety of questions.

When there were no additional questions, Brion **moved** that the report be adopted as part of the official Senate record. Wasilkowski **seconded**. There being no discussion, **vote** was taken and the motion **passed** with none opposed.

The Chair noted that she meets monthly with President Capilouto and is happy to pass information, etc. along to the President.

A few minutes after 5 pm, Grossman **moved** to adjourn – there were no objections.

Respectfully submitted by Robert Grossman,  
University Senate Secretary

Invited guests present: Michael Adams, Mia Alexander-Snow and Bill Swinford.

Absences: Adams; Allison; Anstead; Atwood; Badger; Ballard; Bausch; Bensadoun; Birdwhistell; Blackwell\*; Brennan; Capilouto; Davis; de Beer; Debski; DeWall\*; Duncan; Eckman; Feddock\*; Feist-Price; Ferrier\*; Fielden; Geddes; Getchell; Hackbart; Harris; Hazard\*; Heller\*; Hulse\*; Jackson; Jasper\*; Kirk; Kirschling\*; Kornbluh\*; Lester; Martin\*; Mazur; Mock; Morris\*; Mountford; Mullen\*; Newman; O'Hair, D.; O'Hair, MJ; Payne\*; Peek; Richey; Rieske-Kinney\*; Schroeder; Sekulic\*; Shannon, Smith; Speaks; Stombaugh; Subbaswamy; Tick; Tracy, J; Tracy, T; Turner; Voro; Wells; Wiseman; Witt; Wyatt\*; Yost\*

Prepared by Sheila Brothers on Tuesday, December 6, 2011.

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\* Denotes an absence explained prior to the meeting.