

## REQUEST FOR CHANGE IN MASTERS DEGREE PROGRAM

### 1. GENERAL INFORMATION

College:	College of Business and Economics	Department:	Department of Finance & Quantitative Method		
Current Major Name:	Master of Science in Finance	Proposed Major Name:	Master of Science in Finance		
Current Degree Title:	MSF	Proposed Degree Title:	MSF		
Formal Option(s):		Proposed Formal Option(s):			
Specialty Fields w/in Formal Option:		Proposed Specialty Fields w/in Formal Options:			
Date of Contact with Associate Provost for Academic Administration <sup>1</sup> :	11/21/2016				
Bulletin (yr & pgs):	Approved by Senate 4/6/2015, Not yet in Graduate School Bulletin	CIP Code <sup>1</sup> :	52.0801	Today's Date:	11/21/2016
Accrediting Agency (if applicable):					
Requested Effective Date:	<input checked="" type="checkbox"/> Semester following approval.	OR	<input type="checkbox"/> Specific Date <sup>2</sup> :		
Dept. Contact Person:	Paul Childs	Phone:	7-2490	Email:	pchilds@uky.edu

### 2. CHANGE(S) IN PROGRAM REQUIREMENTS

		<u>Current</u>	<u>Proposed</u>
1.	Number of transfer credits allowed (Maximum is Graduate School limit of 9 hours or 25% of course work)	NC	
2.	Residence requirement (if applicable)	NC	
3.	Language(s) and/or skill(s) required	NC	
4.	Termination criteria	NC	
5.	Plan A Degree Plan requirements <sup>3</sup> (thesis)	NC	
6.	Plan B Degree Plan requirements <sup>3</sup> (non-thesis)	NC	
7.	Distribution of course levels required (At least one-half must be at 600+ level & two-thirds must be in organized courses.)	NC	
8.	Required courses (if applicable)	FIN 600 Corporate Financial Policy  FIN 650 Investments	<i>FIN 600 Corporate Financial Policy</i>  <i>FIN 650 Investments</i>

<sup>1</sup> Prior to filling out this form, you MUST contact the Associate Provost for Academic Administration (APAA). If you do not know the CIP code, the APAA can provide you with that during the contact.

<sup>2</sup> Program changes are typically made effective for the semester following approval. No changes will be made effective until all approvals are received.

<sup>3</sup> If there is only one plan for the degree, plans involving a thesis (or the equivalent in studio work, etc.) should be discussed under Plan A and those not involving a thesis should be discussed under Plan B.

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		<p>ECO 491G Applied Econometrics</p> <p>ACC 621 Unverstanding Financial Statements</p> <p><b>FIN 691-1 Investments Practicum I</b></p> <p>FIN 623 International Financial Management</p> <p>FIN 630 Financial Modeling and Analysis</p> <p>FIN 645 Corporate Investment and Financial Policy</p> <p><b>FIN 691-2 Investments Practicum II</b></p> <p>FIN 691-3 Current Issues in Finance</p>	<p><i>ECO 491G Applied Econometrics</i></p> <p><i>ACC 621 Unverstanding Financial Statements</i></p> <p><b><i>FIN 685-1 Investments Practicum</i></b></p> <p><i>FIN 623 International Financial Management</i></p> <p><i>FIN 630 Financial Modeling and Analysis</i></p> <p><i>FIN 645 Corporate Investment and Financial Policy</i></p> <p><b><i>FIN 685-2 Investments Practicum</i></b></p> <p><i>FIN 691-1 Current Issues in Finance</i></p>
9.	Required distribution of courses within program (if applicable)	NC	
10.	Final examination requirements	NC	
11.	Explain whether the proposed changes to the program (as described in sections 1 to 10) involve courses offered by another department/program. <u>Routing Signature Log must include approval by faculty of additional department(s).</u>		
	The change does not involve courses by other departments/programs.		
12.	List any other requirements not covered above?		
13.	Please explain the rationale for changes. If the rationale involves accreditation requirements, please include specific references to those requirements.		
	We have developed a dedicated course for the Investments Practicum course where students manage a multi-million dolllar portfolio. Previously we were using an advanced topics course to cover this material. As the Investment Analysis course is a key part to the program and it is taken every semester we thought it was better to have a dedicated course rather than using the advanced topics course.		

**REQUEST FOR CHANGE IN MASTERS DEGREE PROGRAM**  
Signature Routing Log

**General Information:**

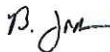

Proposal Name: Program Change MSF

Proposal Contact Person Name: Paul Childs Phone: 7-2490 Email: pchilds@uky.edu

**INSTRUCTIONS:**

Identify the groups or individuals reviewing the proposal; note the date of approval; offer a contact person for each entry; and obtain signature of person authorized to report approval.

**Internal College Approvals and Course Cross-listing Approvals:**

Reviewing Group	Date Approved	Contact Person (name/phone/email)	Signature
Department of Finance and Quantitative Methods	11/22/2016	Brad Jordan /7-4887/ bjordan@uky.edu	 <small>DN cn=Bradford Jordan, ou=University of Kentucky, ou=Samton College of Business and Economics, email=bjordan@uky.edu, c=US Date: 2016.11.22 14:14:19 -0500</small>
Associate Dean of Graduate Studies	12/5/2016	Ken Troske /7-1282/ ktroske@email.uky.edu	 <small>Digitally signed by Kenneth R. Troske DN cn=Kenneth R. Troske, ou=University of Kentucky, ou=Samton College of Business and Economics, email=ktroske@uky.edu, c=US Date: 2016.12.05 15:58:11 -0500</small>
		/ /	
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**External-to-College Approvals:**

Council	Date Approved	Signature	Approval of Revision <sup>4</sup>
Undergraduate Council			
Graduate Council			
Health Care Colleges Council			
Senate Council Approval		University Senate Approval	

Comments:

<sup>4</sup> Councils use this space to indicate approval of revisions made subsequent to that council's approval, if deemed necessary by the revising council.



## Childs, Paul D

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**From:** Alexander-Snow, Mia  
**Sent:** Tuesday, November 22, 2016 3:16 PM  
**To:** Childs, Paul D  
**Subject:** RE: Change Master's Degree Program Form-\_MS in Finance (52.0801)--Institutional Effectiveness Response

Hello Paul,

Here is the corrected verification of review.

Thank you!

**From:** Alexander-Snow, Mia  
**Sent:** Monday, November 21, 2016 5:16 PM  
**To:** Childs, Paul D <[paul.childs@uky.edu](mailto:paul.childs@uky.edu)>  
**Subject:** RE: Change Master's Degree Program Form-\_MS in Finance (52.0801)

Hi Paul,

Thank you for submitting the SACSCOC Substantive Checklist(s) and the Senate Graduate Degree Change Form(s) for the proposed program change(s) to the following degree program(s):

- **MS in Finance (52.0801)**

My email will serve 2 purposes: 1.) Next steps for SACSCOC, and 2.) Verification and notification that you have contacted PIE—a Senate requirement for proposal approval.

1. **Next steps for SACSCOC:** No additional information is required at this time.
2. **Verification that PIE has reviewed the proposal:** The proposed program change(s) (refer to list below) may move forward in accordance with college and university-level approval processes.

Proposed Program Change(s) is/are as follows:

- Replace Advanced Topics (FIN 691) with FIN (685)

Thank you for your patience and support of the University's institutional effectiveness efforts. Should you have questions or concerns about UK's substantive change policy and its procedures, please do not hesitate contacting me.

Regards,

Mia

**Mia Alexander-Snow, PhD**

Director, Planning and Institutional Effectiveness

Phone: 859-257-2873

Fax: 859-323-3999

Visit the Institutional Effectiveness Website: <http://www.uky.edu/ie>

## Childs, Paul D

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**Sent:** Monday, November 21, 2016 5:16 PM  
**To:** Childs, Paul D  
**Subject:** RE: Change Master's Degree Program Form-\_MS in Finance (52.0801)

Hi Paul,

Thank you for submitting the SACSCOC Substantive Checklist(s) and the Senate Undergraduate Degree Change Form(s) for the proposed program change(s) to the following degree program(s):

- **MS in Finance (52.0801)**

My email will serve 2 purposes: 1.) Next steps for SACSCOC, and 2.) Verification and notification that you have contacted PIE—a Senate requirement for proposal approval.

1. **Next steps for SACSCOC:** No additional information is required at this time.
2. **Verification that PIE has reviewed the proposal:** The proposed program change(s) (refer to list below) may move forward in accordance with college and university-level approval processes.

Proposed Program Change(s) is/are as follows:

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**From:** Childs, Paul D  
**Sent:** Monday, November 21, 2016 1:40 PM  
**To:** Alexander-Snow, Mia <mia.alexander-snow@uky.edu>  
**Subject:** RE: Change Master's Degree Program Form

Hi Mia,

Just checking to see if there is anything else I need to get for you.

Thanks,  
Paul Childs

**From:** Childs, Paul D  
**Sent:** Friday, November 11, 2016 1:42 PM  
**To:** Alexander-Snow, Mia <[mia.alexander-snow@uky.edu](mailto:mia.alexander-snow@uky.edu)>  
**Subject:** RE: Change Master's Degree Program Form

Dear Mia,

Attached is the completed form. Thanks for your help.

Paul

**From:** Alexander-Snow, Mia  
**Sent:** Thursday, November 10, 2016 4:00 PM  
**To:** Childs, Paul D <[paul.childs@uky.edu](mailto:paul.childs@uky.edu)>  
**Subject:** RE: Change Master's Degree Program Form

Dear Dr. Childs:

Please complete the attached SACSCOC Checklist, and submit to me for review.

The CIP Code : 52.0801

Thanks,  
Mia

**Mia Alexander-Snow, PhD**  
Director, Planning and Institutional Effectiveness  
Phone: 859-257-2873  
Fax: 859-323-3999  
Visit the Institutional Effectiveness Website: <http://www.uky.edu/ie>

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**From:** Childs, Paul D  
**Sent:** Thursday, November 10, 2016 2:27 PM  
**To:** Alexander-Snow, Mia <[mia.alexander-snow@uky.edu](mailto:mia.alexander-snow@uky.edu)>  
**Subject:** Change Masters Degree Program Form

Hi Mia,

I just talked to you about the minor course numbering change for the Master of Science in Finance. Attached is the form. I have emailed Shiela about the Bulletin line.

Thanks,  
Paul Childs  
Gatton College of Business and Economics