

## MINUTES OF THE UNIVERSITY SENATE, SEPTEMBER 14, 1998

The University Senate met in regular session at 3:00 p.m., September 14, 1998 in the Auditorium of the W. T. Young Library.

Professor Roy Moore, Chairperson of the Senate Council presided.

Members absent were: Walter Abbott, Leon Assael, Deborah Blades, Jayson Brittain, Joseph Burch, Edward Carter, Michael Cibull\*, Jordan Cohen, George DeBin, Eric Drake, Anthony English\*, Bill Fortune, Donald Frazier, William Freehling, Richard Furst, Hans Gesund\*, Howard Grotch, Issam Harik\*, Kay Hoffman, James Holsinger, Blake Hornal, Clifford Hynniman, Mike Inman, Jamshed Kanga, James Knoblett\*, Craig Koontz, Thomas Lester, C. Oran Little, Donald Madden\*, Susan Mains, William Maloney, Douglas Michael\*, Jason Miller, Mary Molinaro, Jacqueline Noonan\*, William O'Connor\*, Thomas Robinson, Michael Schlossberg, Robert Schwemm, Robert Shay, Louis Swift, Henry Vasconez, George Wagner, Carolyn Williams, Eugene Williams, Emery Wilson, Ernest Yanarella.

Chairperson Roy Moore called the meeting to order and welcomed everyone to the first Senate meeting of the year. He recognized the members of Lexington Community College who were attending the meeting. Professor Moore said that the election for representatives to the Senate from Lexington Community College would be completed by the end of September and there would be nine new representatives. He recognized all the new senators for the 1998-99 year. Professor Moore then recognized the members of the Senate Council; the immediate past chair, Jim Applegate, Lee Meyer, the Vice-Chair of the Senate Council, Loys Mather, a previous chair and a faculty representative on the Board of Trustees, Don Frazier, John Thelin, Virginia Davis-Nordin, Ray Cox, also a former chair, Bill Fortune, Joe Schuler, the representative from Student Government, Nate Brown, Dan Reedy, a member of the Board of Trustees, Doug Poe, David Durant, and Susan Mains.

The Chair also made the following introductions: Cindy Todd, whom Jim Applegate told him, was the heart and soul of the Senate Council. Cindy has been in the position since 1972. Gifford Blyton, the parliamentarian, Susan Caldwell, the recording secretary, Don Witt, the Registrar, and the Sergeants at Arms, Lana Dearing and Michelle Sohner.

Chairperson Moore said that each year it was the tradition to have the President of the University address the Senate and do a state of the University address. Dr. Wethington has been the President of the University since September 18, 1990 when he was elected tenth President of the University. He started his career in higher education in 1965 as a faculty member on Lexington campus and two years later he was named Chief Administrator of the Maysville Community College. In 1972 he moved to Lexington as Assistant Vice-President and then became Vice-President of the Community College System in 1981. In 1982 the University went through a reorganization process and during that time he was named Chancellor of the UK Community College System. Five years later he was given University-wide responsibilities for public and governmental relations in addition to his community college role and his title was changed once again to Chancellor for the Community College System and University Relations. He earned both his Master's and his Doctorate from the University of Kentucky. He is a Kentucky native. He served as Chair of the Southeastern Universities Research Association's Council of Presidents for two years. He is a member of the NCAA Executive Committee and he is a member of the NCAA Division I Board of Directors. He has served also as President of the Southeastern

Conference from 1993-1995. Dr. Wethington is a member of the Southern Regional Education Board. He has served on the Southern Growth Policies Board for six years. He was pleased to present Dr. Charles T. Wethington, Jr., President of the University of Kentucky.

The President was given a round of applause.

UNIVERSITY SENATE PRESENTATION  
SEPTEMBER 14, 1998

THANK YOU.

LADIES AND GENTLEMEN OF THE UNIVERSITY SENATE:

THANK YOU FOR THE OPPORTUNITY TO MEET WITH YOU AS WE BEGIN THE 1998-1999 ACADEMIC YEAR. IT'S ESPECIALLY NICE THIS TIME OF YEAR TO HAVE THE STUDENTS AND FACULTY BACK ON CAMPUS, WITH CAMPUS LIFE BACK IN FULL SWING AND WITH ANOTHER PROMISING YEAR UNDER WAY AT THE UNIVERSITY.

I WANT TO SHARE WITH YOU SOME THOUGHTS ON THE FOLLOWING: (1) A LOOK BACK AT WHAT HAS HAPPENED SINCE THIS TIME LAST YEAR AND A COMMENT ABOUT OUR CURRENT SITUATION; (2) TRANSFORMATIONS IMPACTING HIGHER EDUCATION AND THE CHALLENGES THESE TRANSFORMATIONS POSE FOR OUR UNIVERSITY; AND (3) A LOOK FORWARD, AT PLANS AND INITIATIVES FOR 1998-1999.

HIGHLIGHTS OF THE PAST YEAR

I BELIEVE 1997-1998 WAS ONE OF THE BEST YEARS IN UK'S RECENT HISTORY. SEVERAL ACCOMPLISHMENTS MADE CLEAR AND COMPELLING STATEMENTS ABOUT THE QUALITY OF THIS UNIVERSITY AND ITS TRANSFORMATION INTO A BETTER AND STRONGER INSTITUTION. CERTAINLY THE OPENING OF THE WILLIAM T. YOUNG LIBRARY WAS A MAJOR ACCOMPLISHMENT FOR THE UNIVERSITY.

AFTER YEARS OF EFFORT BY A MULTITUDE OF DEDICATED INDIVIDUALS, WE ARE NOW IN FULL OPERATION OF THIS MAGNIFICENT FACILITY.

UK CAN NOW TRULY BOAST ABOUT A WORLD-CLASS LIBRARY TO SUPPORT ITS TEACHING, RESEARCH AND SERVICE MISSION.

OUR MEN'S BASKETBALL TEAM CERTAINLY ACCOMPLISHED THE UNBELIEVABLE THIS PAST SPRING AND BROUGHT MUCH CREDIT TO THE UNIVERSITY. WE APPLAUD THE EFFORTS OF COACH TUBBY SMITH AND THE TEAM.

OUR FOOTBALL PROGRAM, UNDER COACH HAL MUMME, HAS MADE TREMENDOUS PROGRESS, AS SHOWN BY THE INCREASING DEMAND FOR TICKETS THAT NECESSITATES THE EXPANSION OF COMMONWEALTH STADIUM. WE EXPECT THAT EXPANSION PROJECT TO BE COMPLETED PRIOR TO THE 1999 SEASON.

I THANK ATHLETIC DIRECTOR C.M. NEWTON, WHOSE STRONG LEADERSHIP AND PERSONAL CHARACTER HAS HELPED MAKE OUR ATHLETIC PROGRAM A MODEL OF INTEGRITY IN THE WORLD OF COLLEGE ATHLETICS.

DURING THIS YEAR, WITH FACULTY, STAFF AND STUDENT INVOLVEMENT AND BOARD APPROVAL IN MAY, WE DEVELOPED A STRATEGIC PLAN FOR THE UNIVERSITY FOR THE NEXT FIVE-YEAR PERIOD. IN ADDITION TO GOALS, OBJECTIVES AND ENABLING STRATEGIES, WE DEVELOPED 37 STRATEGIC INDICATORS TO MEASURE OUR PROGRESS DURING THE LIFE OF THIS STRATEGIC PLAN. WE BUILT THIS PLAN ON A STRONG FOUNDATION BUTTRESSED BY SEVERAL RECENT STUDIES SUCH AS THE REEDY REPORT, THE BOLING REPORT, AND OTHERS. THIS PLAN IS THE FIRST PHASE OF OUR 22-YEAR EFFORT TO MOVE THIS UNIVERSITY TO "TOP 20" STATUS BY THE YEAR 2020.

THE RESEARCH TASK FORCE, OR AS IT HAS BECOME KNOWN AROUND CAMPUS, THE REEDY TASK FORCE, COMPLETED ITS WORK AND ISSUED A THOROUGH AND WELL-ORGANIZED REPORT ON RESEARCH.

DAN REEDY AND HIS GROUP DID A FIRST-RATE JOB OF REVIEWING THE RESEARCH AND GRADUATE EFFORTS IN THE UNIVERSITY, AND IDENTIFYING THOSE PROGRAMS ALREADY NATIONALLY PROMINENT AND THOSE POISED TO MOVE INTO THIS CATEGORY WITH ADDITIONAL CARE AND FEEDING. THAT REPORT WILL BE USEFUL TO US FOR YEARS TO COME AS WE MOVE THE UNIVERSITY TOWARD "TOP 20" STATUS AMONG ALL PUBLIC RESEARCH UNIVERSITIES. I THANK DR. REEDY AND HIS COMMITTEE FOR THEIR EXCELLENT WORK.

THE COUNCIL FOR POST-SECONDARY EDUCATION APPROVED THE UNIVERSITY PROPOSAL FOR USING THE RESEARCH CHALLENGE TRUST FUND DOLLARS FOR 1997-1998 AND 1998-1999.

WE WILL RECEIVE \$4 MILLION IN NON-RECURRING FUNDS AND \$4 MILLION IN RECURRING FUNDS, FOR A TOTAL OF \$8 MILLION, WHICH WE MUST MATCH. OUR PROPOSAL INCLUDED 11 SPECIFIC INITIATIVES FROM THE REEDY REPORT.

THESE INITIATIVES WILL INVEST IN FACULTY, GRADUATE STUDENTS AND RESEARCH STAFF, ALONG WITH ASSOCIATED NON-RECURRING INVESTMENTS IN RESEARCH INFRASTRUCTURE SUPPORTING THE ELEVEN PROGRAMS AND INITIATIVES.

THESE ACTIVITIES MEAN THAT MORE THAN 50 NEW FACULTY MEMBERS WILL BE HIRED. THESE NEW FACULTY MEMBERS, THE INFRASTRUCTURE IMPROVEMENTS AND THE GRADUATE STUDENT SUPPORT SHOULD HAVE A SIGNIFICANT POSITIVE IMPACT ON THE UNIVERSITY OF KENTUCKY AND ITS RESEARCH PROGRAMS.

IN ADDITION, WE MADE A COMMITMENT OF NEARLY \$1.5 MILLION TO ENHANCE GRADUATE OPPORTUNITIES BASED ON RECOMMENDATIONS FROM THE BOLING REPORT. THAT COMMITMENT ALLOWED THE GRADUATE SCHOOL TO ESTABLISH FOUR NEW PROGRAMS:

--THE COMMONWEALTH RESEARCH AWARDS

--DISSERTATION ENHANCEMENT AWARDS

--UNIVERSITY GRADUATE STUDENT DEVELOPMENT AWARDS, AND

--THE KENTUCKY YOUNG SCIENTIST AWARDS.

THE GENERAL ASSEMBLY MET IN REGULAR SESSION IN THE SPRING OF 1998, AND THE UNIVERSITY FARED QUITE WELL IN THIS SESSION. AS YOU KNOW, THE EXECUTIVE BUDGET PREPARATION FOR THE UNIVERSITIES WAS QUITE DIFFERENT FOR THIS BIENNIUM THAN THE METHOD USED IN THE PAST. WE HAD NO REQUIREMENT TO SUBMIT A BIENNIAL BUDGET REQUEST EXCEPT FOR A CAPITAL REQUEST. THE GOVERNOR RECOMMENDED, AND THE GENERAL ASSEMBLY APPROVED, A 2.9 PERCENT INCREASE IN STATE APPROPRIATIONS FOR 1998-1999, AND A 2.8 PERCENT INCREASE FOR 1999-2000.

MOST OF THE NEW MONEY FOR POST-SECONDARY EDUCATION WENT INTO CAPITAL CONSTRUCTION OR ONE OF THE SIX TRUST FUNDS ADMINISTERED BY THE COUNCIL ON POST-SECONDARY EDUCATION. THE RESEARCH CHALLENGE FUND WAS FUNDED AT \$100 MILLION, TWO-THIRDS OF WHICH WILL COME TO THE UNIVERSITY OF KENTUCKY ON A MATCHING BASIS.

THESE FUNDS MUST SUPPORT A FUND FOR ENDOWED CHAIRS AND PROFESSORSHIPS AND OTHER RESEARCH INITIATIVES.

WE ALSO WERE APPROVED FOR RESEARCH EQUIPMENT FUNDS. OF THE TOTAL

APPROPRIATION OF \$26 MILLION, WE ARE TO RECEIVE TWO- THIRDS, OR APPROXIMATELY \$17.5 MILLION. THESE FUNDS SHOULD BE AVAILABLE NO LATER THAN THE SPRING OF 1999.

RELATED TO OUR EFFORTS IN RESEARCH IS THE DEVELOPMENT OF A NETWORK OF SIX SOUTHEASTERN UNIVERSITIES THAT CONNECTED OUR SUPERCOMPUTERS FOR RESEARCH PURPOSES. THE UNIVERSITY OF KENTUCKY LOOKS FORWARD TO A CONTINUING LEADERSHIP ROLE IN NETWORKING TO SUPPORT THE RESEARCH ROLES OF OUR NATION AND ITS UNIVERSITIES.

THE NEW FACILITIES, WE SHOULD MAKE A MAJOR STEP TOWARD "TOP 20" STATUS IN THE NEXT FIVE YEARS.

IN ADDITION, WE WILL PARTICIPATE IN A DEFERRED MAINTENANCE FUND THROUGH THE COUNCIL ON POST-SECONDARY EDUCATION. OUR SHARE OF THOSE FUNDS IS APPROXIMATELY \$7.2 MILLION.

AGAIN, WE MUST MATCH THESE FUNDS ON A ONE-TO-ONE BASIS, BUT WE ARE EFFECTIVELY DOUBLING THE AMOUNT OF DEFERRED MAINTENANCE WE COULD ACCOMPLISH WITH OUR OWN \$7.2 MILLION. WE ALSO RECEIVED AUTHORIZATION TO BUILD SEVERAL NEW BUILDINGS THAT I WILL TALK ABOUT LATER.

WE SELECTED THE SECOND GROUP OF ROBINSON SCHOLARS THIS PAST YEAR. THESE FORMER EIGHTH GRADERS ARE NOW ENROLLED IN THE NINTH GRADE. IF THEY STAY IN HIGH SCHOOL AND MAINTAIN A GOOD GPA, THEY WILL BE GIVEN A FULL SCHOLARSHIP TO THE UNIVERSITY OF KENTUCKY OR ONE OF THE COMMUNITY COLLEGES. I CAN THINK OF NO BETTER WAY TO BENEFIT THE CITIZENS OF EASTERN KENTUCKY THAN TO ASSIST IN THE HIGHER EDUCATION OF FIRST GENERATION COLLEGE ATTENDEES FROM THIS IMPORTANT REGION OF THE STATE.

#### ADMINISTRATIVE CHANGES

WE MADE SEVERAL ADMINISTRATIVE CHANGES DURING 1997-1998. EARLIER, I MENTIONED THE TRANSFER OF THIRTEEN COMMUNITY COLLEGES TO THE KENTUCKY COMMUNITY AND TECHNICAL COLLEGE SYSTEM. UK RETAINED RESPONSIBILITY FOR LEXINGTON COMMUNITY COLLEGE, AND WE MOVED THE MANAGEMENT OF THE LEXINGTON COMMUNITY COLLEGE TO THE LEXINGTON CAMPUS. THE PRESIDENT OF LCC NOW REPORTS TO THE CHANCELLOR OF THE LEXINGTON CAMPUS. WE ALSO APPOINTED DR. JIM KERLEY, FORMER PRESIDENT OF HOPKINSVILLE COMMUNITY COLLEGE, AS PRESIDENT OF LCC. I HAVE EVERY CONFIDENCE DR. KERLEY WILL BUILD ON THE EXCELLENT JOB DONE BY DR. JIM CHAPMAN.

SINCE WE GAVE ADDITIONAL RESPONSIBILITY TO THE CHANCELLOR OF THE LEXINGTON CAMPUS, WE DECIDED TO MAKE A MOVE THAT WOULD SOMEWHAT EQUALIZE THE WORKLOAD BY MOVING THE PHYSICAL PLANT DIVISION FROM THE LEXINGTON CAMPUS TO THE VICE PRESIDENT FOR FISCAL AFFAIRS. THE PPD MOVE SEEMED TO BE AN APPROPRIATE FIT WITH THE OTHER DUTIES ASSIGNED TO THE FISCAL AFFAIRS AREA, SUCH AS CAPITAL PROJECTS MANAGEMENT.

I ALSO MADE ONE CHANGE IN MY OFFICE. I CHANGED THE TITLE OF THE ASSISTANT TO THE PRESIDENT FOR ADMINISTRATIVE AFFAIRS TO THE VICE PRESIDENT FOR ADMINISTRATION. DR. BEN CARR, FORMER CHANCELLOR OF THE COMMUNITY COLLEGE SYSTEM, REMAINS IN THAT POSITION.

WE ALSO HIRED ONE NEW DEAN FOR THE COLLEGE OF DENTISTRY IN THE MEDICAL CENTER AND NEW DEANS FOR THE COLLEGES OF ARTS AND SCIENCES, COMMUNICATIONS AND INFORMATION SYSTEMS, FINE ARTS, AND SOCIAL WORK IN THE LEXINGTON CAMPUS.

IN ADDITION, DR. JAMES BOLING WAS CHOSEN TO REPLACE DR. DAVID WATT AS VICE CHANCELLOR FOR RESEARCH AND GRADUATE STUDIES FOR THE LEXINGTON CAMPUS.

DR. SHIRLEY RAINES HAS BEEN TAPPED FOR THE ADDITIONAL RESPONSIBILITY OF VICE CHANCELLOR FOR ACADEMIC SERVICES FOR THE LEXINGTON CAMPUS IN ADDITION TO SERVING AS DEAN OF THE COLLEGE OF EDUCATION.

ROBERT SCHWEMM IS SERVING AS ACTING DEAN OF THE COLLEGE OF LAW DURING 1998-1999, AND WE ARE PLEASED THAT HE IS WILLING TO ASSUME THIS RESPONSIBILITY IN A CRITICAL TIME FOR THE COLLEGE.

WITH ALL THIS NEW LEADERSHIP, AT THIS JUNCTURE OF UK'S DEVELOPMENT, 1998-1999 WILL TRULY BE AN EXCITING YEAR OF GROWTH AND IMPROVEMENT.

THE COUNCIL ON POST-SECONDARY EDUCATION WAS ACTIVE IN 1997-1998. A NEW PRESIDENT OF THE CPE WAS HIRED: DR. GORDON DAVIES ASSUMED THAT ROLE ON JUNE 15, 1998. DR. DAVIES IS AN EXPERIENCED HIGHER EDUCATION LEADER AT THE STATE LEVEL, HAVING HEADED THE COORDINATING COUNCIL FOR HIGHER EDUCATION IN VIRGINIA FOR MANY YEARS.

I AM IMPRESSED BY A PUBLICATION HE PREPARED BEFORE LEAVING VIRGINIA, IN WHICH HE INDICATES THAT THE TWO PRIMARY INGREDIENTS FOR THE SUCCESS OF A SYSTEM OF HIGHER EDUCATION ARE ADEQUATE FUNDING AND A LACK OF POLITICAL INTERFERENCE. I AM IN ABSOLUTE AGREEMENT WITH DR. DAVIES ON THESE POINTS, AND HOPE HE WILL CONTINUE TO ADVOCATE ALONG THESE LINES IN HIS NEW POSITION IN KENTUCKY.

WITH THE TRUST FUNDS AND OTHER INITIATIVES, DR. DAVIES AND THE CPE WILL PLAY AN IMPORTANT ROLE IN POST SECONDARY EDUCATION IN THIS BIENNIUM.

#### OTHER SIGNIFICANT EVENTS - 1997-1998

THE UNIVERSITY'S STAFF ELECTED THE FIRST STAFF MEMBER TO THE BOARD OF TRUSTEES. MR. RUSS WILLIAMS, A MEMBER OF THE HUMAN RESOURCE SERVICES STAFF, WAS ELECTED TO THIS POSITION. WE WELCOME MR. WILLIAMS TO THE BOARD OF TRUSTEES.

ON JANUARY 14, 1998, THE MANAGEMENT OF THE UK COMMUNITY COLLEGE SYSTEM WAS TRANSFERRED TO THE KENTUCKY COMMUNITY AND TECHNICAL COLLEGE SYSTEM. THIRTEEN OF THE FOURTEEN COLLEGES WERE INVOLVED IN THIS TRANSFER. WE ALSO SIGNED AN AGREEMENT TO DETERMINE WHAT SERVICES THE UNIVERSITY WOULD CONTINUE TO PROVIDE TO THE UKCCS.

THIS TRANSFER OF MANAGEMENT AND SIGNING OF AN AGREEMENT COMPLETED THE MANDATES REGARDING COMMUNITY COLLEGES IN HOUSE BILL 1, PASSED IN THE SPRING OF 1997.

THERE WERE MANY OUTSTANDING ACHIEVEMENTS BY OUR FACULTY, STAFF AND STUDENTS DURING 1997-1998.

IT WOULD TAKE SOME TIME TO LIST THE AWARDS AND HONORS BESTOWED, TO DESCRIBE THE LEADERSHIP ROLES ASSUMED, AND TO LIST THE GRANTS AND CONTRACTS AWARDED DURING THIS PAST YEAR. BUT ALLOW ME TO MENTION A COUPLE OF ACHIEVEMENTS.

PROFESSORS RICHARD ULACK AND KARL RAITZ EDITED THE ATLAS OF KENTUCKY, A MAJOR PUBLICATION OF THE UNIVERSITY PRESS OF KENTUCKY. THESE TWO FACULTY MEMBERS HAVE MADE A SIGNIFICANT CONTRIBUTION TO OUR COMMONWEALTH.

DOCTORS DINESH RANJAN AND THOMAS JOHNSTON LED THE SURGICAL TEAM THAT PERFORMED UK HOSPITAL'S FIRST PEDIATRIC LIVER TRANSPLANT.

#### TRANSFORMATIONS - CHALLENGES

LET ME COMMENT BRIEFLY ON TRANSFORMATIONS CONFRONTING HIGHER EDUCATION AND THE CHALLENGES THEY PRESENT FOR OUR UNIVERSITY.

I AM SPEAKING HERE OF TRANSFORMATIONS IN HIGHER EDUCATION THAT RESULT FROM OUTSIDE PRESSURE AND INSIDE CHANGES. AS HIGHER EDUCATION BECOMES LESS A LUXURY AND MORE A NECESSITY, THESE PRESSURES AND CHANGES ARE LIKELY TO INCREASE.

TENURE CONTINUES TO BE AT THE CENTER OF THE DISCUSSION. TENURE IS OFTEN BLAMED AS THE PRIMARY CAUSE FOR THE ILLS OF THE UNIVERSITY -- THE INSTITUTION'S INABILITY TO DISMISS UNPRODUCTIVE FACULTY, TO REORGANIZE, TO RE-ENGINEER TO MEET TODAY'S CHALLENGES. TENURE IS BLAMED FOR THE UNDUE FOCUS ON RESEARCH AT THE EXPENSE OF TEACHING AND LEARNING, FOR THE DEVELOPMENT OF FIEFDOMS, AND FOR THE INCREASING COST OF HIGHER

YOU AND I KNOW THAT THESE CONCERNS CANNOT AND SHOULD NOT BE BLAMED SOLELY ON TENURE. BUT TENURE WILL CONTINUE TO BE AN ISSUE UNDER FIRE. WE CONTINUE TO BE PRESSURED BY FORCES OUTSIDE THE INSTITUTION FOR A MORE RIGOROUS POST-TENURE REVIEW PROCESS.

I BELIEVE THE POST-TENURE REVIEW PROCESS IN THE COLLEGE OF ARTS AND SCIENCES AND THE WORKLOAD POLICY WE PUT IN PLACE A FEW YEARS AGO WILL HELP US ADDRESS SOME OF THE ISSUES RELATED TO TENURE AND PRODUCTIVITY. I URGE YOU TO CONTINUE TO WORK TO HELP US EXPAND AND STRENGTHEN THESE PROCESSES.

ANOTHER TRANSFORMATION IN HIGHER EDUCATION IS THE DISCUSSION ABOUT SCHOLARSHIP. BOYER'S 1990 DOCUMENT, "SCHOLARSHIP RECONSIDERED, PRIORITIES OF THE PROFESSORATE," MOVES BEYOND THE RESEARCH VERSUS TEACHING DEBATE, AND IS WORTH RE-READING.

THE CHALLENGE OF ADDRESSING WHAT IS CRITICAL FOR SCHOLARSHIP PRODUCTIVITY IN A "TOP 20" PUBLIC INSTITUTION THAT IS ALSO A LAND-GRANT INSTITUTION WILL BE A CHALLENGE FOR US IN THE COMING YEARS.

TECHNOLOGY HAS BEEN AN EXTRAORDINARY ENGINE FUELING CHANGE BOTH INSIDE AND OUTSIDE THE ACADEMY. COLLEGES AND UNIVERSITIES WILL FACE INCREASING COMPETITION FOR THEIR STUDENTS BECAUSE OF THE IMPACT OF TECHNOLOGY ON INSTRUCTION.

INSTITUTIONS OF HIGHER EDUCATION DO NOT INTEND TO LOSE SIGNIFICANT SHARES OF THEIR ENROLLMENT. THE OFFERING OF COURSES BY SATELLITE, INTERACTIVE VIDEO AND THE INTERNET ARE MEANS THAT SOME IN SOCIETY BELIEVE CAN SOLVE SOME OF THE RISING COST OF HIGHER EDUCATION.

AS WEB SITE DEVELOPMENT CONTINUES ITS ASTOUNDING PACE, YOU AND I KNOW ELECTRONIC COMMUNICATIONS ARE NOT THE ONLY COMMUNICATION TOOLS OUR STUDENTS WANT OR NEED.

A RECENT STUDENT POLL (SPRING 1998) INDICATED THAT ALTHOUGH ACCESS TO THE INTERNET AND GENERAL USE OF E-MAIL CONTINUES TO INCREASE, STUDENTS PREFER PERSONAL CONTACT TO ELECTRONIC COMMUNICATIONS BY A WIDE MARGIN.

THE FACT IS THAT WE WILL BE INVOLVED IN OFFERING MORE OF OUR COURSES AND SOME PROGRAMS VIA HIGH-TECH METHODS. THOSE OF YOU WHO HAVE BEEN INVOLVED IN PREPARING DISTANCE LEARNING COURSES KNOW THAT IT TAKES AN EXTRAORDINARY AMOUNT OF TIME AND EFFORT TO ASSURE THAT THESE COURSES PROVIDE AN EQUIVALENT EXPERIENCE FOR STUDENTS AND MEET THE SAME HIGH STANDARDS OF YOUR ON-CAMPUS COURSES.

THE COMMONWEALTH VIRTUAL UNIVERSITY (OR CVU) IS A JOINT INITIATIVE OF KENTUCKY INSTITUTIONS OF HIGHER EDUCATION AND THE COUNCIL ON POSTSECONDARY EDUCATION. SEVERAL MILESTONES ARE APPROACHING. A CHIEF EXECUTIVE OFFICER FOR THE CVU WILL BE APPOINTED SOON.

INSTITUTIONS ARE NOW PROPOSING PILOT PROGRAMS TO BE THE FIRST OFFERINGS VIA THE CVU. BOTH PUBLIC AND PRIVATE INSTITUTIONS ARE EXPECTED TO PARTICIPATE IN THIS EFFORT.

WE WILL PLAY A MAJOR ROLE IN THE DEVELOPMENT AND IMPLEMENTATION OF THE COMMONWEALTH VIRTUAL UNIVERSITY. WE HAVE A SIZABLE NETWORK IN PLACE IN THE STATE, AND PLAN TO BE A DRIVING FORCE IN THE EFFORT TO BRING COMPREHENSIVE COMMUNICATIONS AND COMPUTER NETWORKING ACROSS THE COMMONWEALTH, FROM PIKEVILLE TO PADUCAH, FROM COVINGTON TO CUMBERLAND.

WE WILL SOON BE DELIVERING COURSES IN MANY FIELDS OF STUDY TO STUDENTS WHOSE CLASSROOMS MAY BE THEIR HOME COMPUTERS.

WE WILL INSURE THAT STUDENTS, IN OUR CLASSROOMS HERE ON CAMPUS AND IN DISTANT COUNTIES, HAVE ACCESS TO ALL THE INFORMATION THEY NEED TO SUCCEED IN THEIR EDUCATIONAL PURSUITS AND IN THEIR LIVES. YOU, AS FACULTY AND MEMBERS OF THE SENATE, WILL PLAY THE PRIMARY ROLE IN DETERMINING THE QUALITY OF THAT INSTRUCTION.

BY THE YEAR 2000 AMERICAN COLLEGES AND UNIVERSITIES WILL HAVE CHANGED DRAMATICALLY. WE WILL HAVE MULTI-MEDIA OR "SMART" CLASSROOMS AND LABORATORIES. MORE COURSES WILL BE AVAILABLE VIA DISTANCE LEARNING.

WHILE WE HAVE UPDATED SOME OF OUR CLASSROOMS, WE STILL NEED TO UPDATE OTHERS, AND WE ARE IN THE PROCESS OF DOING SO.

WE WILL FOLLOW OUR PLANS TO REFURBISH, UPDATE AND MAINTAIN CLASSROOM AND RESEARCH SPACE AND INSTRUCTIONAL AND RESEARCH EQUIPMENT IN RESPONSE TO THE NEEDS YOU AND OTHERS HAVE IDENTIFIED.

ONE SOCIETAL TRANSFORMATION THAT WILL IMPACT US AS WELL AS OTHER ORGANIZATIONS IS THE CHANGING DEMOGRAPHICS OF OUR STATE AND NATION. IN MANY REGIONS OF THIS COUNTRY, HISPANICS ARE THE LARGEST MINORITY. WE CAN SEE CHANGES HERE IN KENTUCKY, WITH A GROWING JAPANESE POPULATION, AND WITH A GROWING MIGRANT FARM-WORKER POPULATION FROM MEXICO AND CENTRAL AND SOUTH AMERICA. NEW IDENTITY GROUPS ARE EMERGING AND WHAT CONSTITUTES A POLITICALLY RELEVANT MINORITY GROUP IS CHANGING. PEOPLE ARE LIVING LONGER. THE ELDERLY POPULATION IS GROWING TWICE AS RAPIDLY AS THE REST OF THE POPULATION.

HOW THE UNIVERSITY ADDRESSES AFFIRMATIVE ACTION AND EQUAL OPPORTUNITY WILL BE IMPACTED BY THESE CHANGES. ALL THESE CHANGES ARE FACTORS THAT WILL HAVE SOME IMPACT ON THE USE OF OUR RESOURCES AND WILL CONTINUE TO PROVIDE OPPORTUNITIES FOR US TO SERVE A DIVERSE STUDENT BODY.

AS AN INSTITUTION WITH A THREE-PART MISSION OF INSTRUCTION, RESEARCH AND SERVICE, WE HAVE A SIGNIFICANT TASK AHEAD OF US IN EXPLAINING WHY THE COST OF HIGHER EDUCATION IS INCREASING AT SUCH A RAPID RATE, AND AT THE SAME TIME REMINDING THE PUBLIC THAT THE COST OF ATTENDING THE UNIVERSITY OF KENTUCKY IS STILL A REAL BARGAIN. CONCURRENTLY, WE NEED TO FIND WAYS TO BECOME MORE EFFICIENT WHILE WE STRIVE TO BE EVEN MORE EFFECTIVE.

THE DISTANCE LEARNING EFFORT I MENTIONED EARLIER MAY LEAD TO SOME OF THESE EFFICIENCIES -- ESPECIALLY IN THE COSTS BORNE BY STUDENTS.

IT WILL BE ESSENTIAL THAT UNIVERSITIES LIKE UK BECOME PRESTIGIOUS INSTITUTIONS WITH HIGH ACADEMIC STANDARDS. WE WILL SURVIVE AND PROGRESS TOWARD BEING A "TOP 20" INSTITUTION IF WE MAKE A CONSTANT EFFORT TO BE ACCOUNTABLE, AND PRACTICE CONTINUOUS IMPROVEMENT IN EVERYTHING WE DO. WHEN I READ THE EXECUTIVE SUMMARIES OF THE REPORTS OF REVIEW COMMITTEES WHO CONDUCT THE PERIODIC REVIEWS OF OUR ACADEMIC UNITS, I FEEL OPTIMISTIC.

THERE SEEMS TO BE RECOGNITION ACROSS THIS UNIVERSITY THAT QUALITY AND EFFICIENCY ARE NOT NECESSARILY ANTITHETICAL. WE MUST CONTINUE TO STRIVE FOR EFFECTIVENESS AND EFFICIENCY.

1998-1999

NOW LET'S TURN OUR ATTENTION TO 1998-1999 AND THE BIENNIUM IN WHICH WE ARE NOW OPERATING. OUR FIRST OPPORTUNITY WILL BE TO IMPLEMENT THE FIRST PHASE OF THE RESEARCH CHALLENGE FUND. A TOTAL OF \$16 MILLION THAT I MENTIONED EARLIER WILL BE AVAILABLE TO FUND RESEARCH INITIATIVES INSIDE THIS INSTITUTION. HALF OF THAT AMOUNT, \$8 MILLION WILL BE NON-RECURRING FUNDS, AND HALF, \$8 MILLION, WILL BE RECURRING FUNDS. YOU WILL RECALL THAT THIS TOTAL AMOUNT INCLUDES A DOLLAR FOR DOLLAR MATCH OF STATE FUNDING AND INTERNAL RE- ALLOCATION.

WE -- YOU AND THE DEPARTMENT CHAIRS, COLLEGE DEANS, THE CHANCELLORS AND VICE PRESIDENTS -- RE-ALLOCATED \$4 MILLION IN RECURRING FUNDS AND \$4 MILLION IN NON-RECURRING FUNDS TO MATCH EQUAL AMOUNTS OF STATE APPROPRIATION. WE HAVE SUBMITTED A PLAN, THAT I DESCRIBED TO YOU EARLIER, THAT SUBSEQUENTLY WAS APPROVED BY THE COUNCIL ON POST-SECONDARY EDUCATION, TO USE THESE FUNDS TO STRENGTHEN OUR RESEARCH BASE. NOW WE MUST IMPLEMENT THAT PLAN.

WE ALSO MUST IMPLEMENT THE SECOND PHASE OF THE RESEARCH CHALLENGE TRUST FUND. WE MUST MATCH THE \$67 MILLION APPROPRIATED BY THE LEGISLATURE, AND ESTABLISH ENDOWMENTS IN SUPPORT OF ENDOWED CHAIRS AND PROFESSORSHIPS AND RESEARCH INITIATIVES NEEDED IN THIS INSTITUTION.

THAT LEADS TO THE NEXT CHALLENGE AND OPPORTUNITY WE FACE IN THIS BIENNIUM -- A MAJOR GIFTS OR CAPITAL CAMPAIGN.

WE HAVE DISCUSSED FOR SOME TIME THE NEED TO CONDUCT A CAPITAL CAMPAIGN AT THE UNIVERSITY OF KENTUCKY. WE NOW HAVE ANOTHER REASON TO MOVE FORWARD WITH THIS PROCESS. A FOCAL POINT OF THE CAMPAIGN WILL BE THE PRIVATE DOLLARS NEEDED TO MATCH THE \$67 MILLION TRUST FUND AMOUNT. THAT WILL NOT BE OUR ONLY GOAL IN THIS CAMPAIGN, BUT IT WILL CERTAINLY BE A PRIMARY GOAL.

OUR CONSULTANTS, GRENZEBACH GLIER AND ASSOCIATES, HAVE BEEN CONDUCTING AN ASSESSMENT OF OUR READINESS TO CONDUCT SUCH A CAMPAIGN. THEY REVIEWED OUR CENTRAL DEVELOPMENT OFFICE AND THE DEVELOPMENT STAFFING IN THE INSTITUTION.

THEY INTERVIEWED MORE THAN 100 PEOPLE BOTH INSIDE AND OUTSIDE THE UNIVERSITY, INCLUDING EACH MEMBER OF OUR BOARD OF TRUSTEES.

THEY ARE RECOMMENDING THAT WE STRENGTHEN OUR CENTRAL DEVELOPMENT STAFF TO ENABLE THAT OFFICE TO SUPPORT A CAPITAL CAMPAIGN. WE ARE PROCEEDING WITH THEIR RECOMMENDATIONS.

THE CONSULTANTS ALSO HAVE CONDUCTED A FEASIBILITY STUDY TO DETERMINE SUPPORT FOR A CAMPAIGN IN THE COMMUNITY AND IN THE STATE. THAT STUDY SHOWS STRONG SUPPORT FOR A FIRST-EVER UNIVERSITY-WIDE CAPITAL CAMPAIGN FOR THE UNIVERSITY OF KENTUCKY.

BASED ON GRENZEBACH'S FINDINGS AND THE SUPPORT OF THE UNIVERSITY COMMUNITY



INCLUDING THE BOARD OF TRUSTEES, WE WILL MOVE FORWARD WITH A CAPITAL CAMPAIGN OVER THE NEXT FIVE YEARS, FOCUSED ON ACADEMIC EXCELLENCE, WITH A GOAL OF ROUGHLY DOUBLING THE ANNUAL AMOUNT OF PRIVATE FUNDS NOW RAISED BY THE UNIVERSITY.

THE CAMPAIGN IS NOW UNDER WAY, WITH THE FIRST PHASE BEING THE RAISING OF \$67 MILLION DURING THE NEXT TWO YEARS TO MEET THE MATCHING REQUIREMENT FOR THE RESEARCH CHALLENGE FUND. WE WILL ANNOUNCE AN OVERALL (TOTAL) GOAL FOR THE CAMPAIGN AT A LATER DATE. I LOOK FORWARD TO THE CAMPAIGN AND WILL NEED YOUR HELP AND SUPPORT. WE WILL BE SUCCESSFUL.

ANOTHER CHALLENGING OPPORTUNITY WE FACE THIS BIENNIUM WILL BE THE CONSTRUCTION OF NEW FACILITIES AND CAPITAL PROJECTS. LET ME JUST RUN THROUGH A LIST OF THOSE FACILITIES TO GIVE YOU AN IDEA OF THE MAGNITUDE OF THE TASK:

AMONG OUR UNIVERSITY-FUNDED PROJECTS ARE:

- A \$14 MILLION PROJECT FOR A HEART INSTITUTE
- AN \$8 MILLION BUILDING FOR A WOMEN'S CANCER CENTER
- A \$24 MILLION FOOTBALL STADIUM EXPANSION
- AN \$18 MILLION PLANT SCIENCE BUILDING
- A \$6 MILLION FACILITY FOR THE CENTER FOR RURAL HEALTH IN HAZARD
- A \$4.5 MILLION PROJECT FOR A BUSINESS SERVICES BUILDING
- A \$2 MILLION NEW CAREER PLACEMENT CENTER
- A \$2.2 MILLION REPLACEMENT FOR THE CRISP BUILDING IN PADUCAH

WE ALSO HAVE THESE STATE-FUNDED PROJECTS:

- A \$23.5 MILLION MECHANICAL ENGINEERING BUILDING
- A \$33 MILLION AGING/ALLIED HEALTH BUILDING
- \$1.2 MILLION FOR A COLDSTREAM RESEARCH BUILDING
- \$5.5 MILLION FOR COLDSTREAM INFRASTRUCTURE

WE ALSO HAVE ANOTHER PROJECT FUNDED BY KENTUCKY TECHNOLOGY INC. AND BACKED BY A LOAN FROM THE STATE AND FIVE LOCAL BANKS: \$1.5 MILLION FOR A LABORATORY BUILDING AT COLDSTREAM.

WE ARE ALREADY WELL INTO THE PROGRAMMING, DESIGN AND LATER PHASES OF SOME OF THESE BUILDINGS. THESE FACILITIES WILL HELP US MOVE FORWARD ON MANY FRONTS.

AS WE FOCUS ON BECOMING A "TOP 20" PUBLIC RESEARCH INSTITUTION, WE CANNOT FORGET OUR COMMITMENT TO THE PREPARATION OF UNDERGRADUATE STUDENTS. THE BOYER COMMISSION ON EDUCATING UNDERGRADUATES IN THE RESEARCH UNIVERSITY RECENTLY RELEASED ITS REPORT, ENTITLED "REINVENTING UNDERGRADUATE EDUCATION: A BLUEPRINT FOR AMERICA'S RESEARCH UNIVERSITIES." THAT REPORT INCLUDES 10 WAYS TO CHANGE UNDERGRADUATE EDUCATION. (BY THE WAY, I RECOMMEND THAT REPORT TO YOU AS PROFESSIONAL DEVELOPMENT READING.) WE HAVE ALREADY IMPLEMENTED SEVERAL OF THESE RECOMMENDATIONS.

TO STIMULATE OUR REVIEW, DISCUSSION, IMPLEMENTATION AND REFINEMENT OF THESE RECOMMENDATIONS, WE WILL HAVE A SERIES OF DISCUSSIONS DURING 1998-1999 OF THE TOPICS IN THIS REPORT.

WE BEGAN THIS MONTH WITH A CONVOCATION LED BY STANLEY O. IKENBERRY, PRESIDENT OF THE AMERICAN COUNCIL ON EDUCATION, AND SHIRLEY STRUM KENNY, PRESIDENT OF STATE UNIVERSITY OF NEW YORK AT STONY BROOK. DR. KENNY WAS CHAIR OF THE BOYER COMMISSION.

CHANCELLOR ZINSER WILL BE DISTRIBUTING MORE INFORMATION ON THIS SERIES OF DISCUSSIONS IN THE NEAR FUTURE. I AM PLEASED THAT SHE AND DEAN SWIFT ARE TAKING A LEADERSHIP ROLE IN ADDRESSING UNDERGRADUATE EDUCATION IN A RESEARCH INSTITUTION.

I MENTIONED EARLIER THE TRANSFER OF MANAGEMENT OF 13 OF THE UK COMMUNITY COLLEGES TO THE KENTUCKY COMMUNITY AND TECHNICAL COLLEGE SYSTEM IN JANUARY OF THIS YEAR. A FOLLOW-UP VISIT ON THE SUBSTANTIVE CHANGE IS SCHEDULED NEXT MONTH.

A VISITING COMMITTEE WILL COME TO KENTUCKY TO REVIEW THIS CHANGE IN MANAGEMENT FOR COMPLIANCE WITH THE CRITERIA.

WE ARE WORKING WITH THE CCS STAFF TO FINALIZE THE DESCRIPTION OF THE NEW STRUCTURE. ONE OF THE CONCERNS IS THE APPARENT SPLIT GOVERNANCE BETWEEN THE UK BOARD OF TRUSTEES AND THE BOARD OF REGENTS OF THE KCTCS.

THE UNIVERSITY CONTINUES TO EXCEL IN PROVIDING INFORMATION SERVICES THAT ARE CUTTING EDGE. THE VICE PRESIDENT FOR INFORMATION SYSTEMS, MR. GENE WILLIAMS, AND HIS STAFF ARE ADDRESSING THE YEAR 2000 PROBLEMS. WITH THE HELP OF CONSULTANTS THEY HAVE IDENTIFIED THE PROBLEM AREAS AND ARE IN THE PROCESS OF ADDRESSING THE PROBLEMS.

DURING THIS YEAR WE WILL DESIGN THE NEXT ADMINISTRATIVE COMPUTING SYSTEM FOR THE UNIVERSITY OF KENTUCKY. THE CURRENT SYSTEM HAS ITS ORIGINS IN THE 1960'S. THE NEXT ADMINISTRATIVE COMPUTING SYSTEM WILL HAVE THREE TIERS, SERVING STUDENT INFORMATION, INCLUDING ADMISSIONS, REGISTRATION AND STUDENT RECORDS; THE HUMAN RESOURCE DEPARTMENT; BUDGETING AND OTHER ADMINISTRATIVE AREAS THAT ALLOW US TO BETTER SERVE STUDENTS AND CITIZENS OF KENTUCKY.

OUR GOAL IS TO MAKE DECISIONS IN 1998-1999 AND PURCHASE THIS NEW SYSTEM IN THE 1999-2000 FISCAL YEAR.

AGAIN, THANK YOU FOR THE OPPORTUNITY TO SPEAK TO THE SENATE AT THE OPENING OF THE NEW SCHOOL YEAR. I SEE A BRIGHT FUTURE AHEAD FOR THIS INSTITUTION, AND MORE OPPORTUNITIES TO MOVE THIS UNIVERSITY TOWARD NATIONAL PROMINENCE.

THE CHALLENGE IS FOR US TO TAKE ADVANTAGE OF THESE OPPORTUNITIES -- THE RESEARCH TRUST FUNDS, THE CAPITAL CAMPAIGN, THE RESEARCH EQUIPMENT FUNDS, THE STATE'S COMMITMENT TO MOVE US TO "TOP 20" STATUS -- AND MAKE THIS THE BEST UNIVERSITY WE POSSIBLY CAN.

THE GROWTH AND SUCCESS OF THE COMMONWEALTH OF KENTUCKY DEPENDS TO A GREAT EXTENT ON THIS INSTITUTION. WE ARE RESPONSIBLE FOR PREPARING MANY OF TOMORROW'S LEADERS. WE ASSIST IN THE DEVELOPMENT OF THE STATE AS WE HELP EXISTING BUSINESSES GROW AND PROSPER AND HELP BRING NEW BUSINESSES TO THIS STATE. OUR ABILITY TO WEAVE NEW-FOUND KNOWLEDGE INTO THE DAILY FABRIC OF PEOPLE'S LIVES IS CRITICAL TO THE WELL-BEING OF LITERALLY EVERY CITIZEN OF KENTUCKY -- NOW AND IN THE FUTURE.

WE ACCOMPLISH THIS TASK NOT ONLY BY EDUCATING OUR STUDENTS, BUT THROUGH COUNTY EXTENSION, APPLIED RESEARCH, AND THROUGH ASSISTANCE TO KENTUCKY MANUFACTURERS, JUST TO MENTION A FEW WAYS.

AS WE MOVE CLOSER TO THE MILLENNIUM, WE BEAR A GREATER BURDEN. NEXT TO STATE GOVERNMENT, I BELIEVE THAT THE UNIVERSITY OF KENTUCKY WILL CONTINUE TO BE THE SINGLE MOST IMPORTANT PUBLIC ENTITY IN MAKING A POSITIVE IMPACT ON THE LIVES OF KENTUCKIANS.

AS PRESIDENT OF THE UNIVERSITY OF KENTUCKY, I UNDERSTAND AND ACCEPT THESE CHALLENGES AND SEE THEM AS OPPORTUNITIES FOR THIS INSTITUTION. WE WANT TO CREATE AND MAINTAIN AN ENVIRONMENT IN WHICH OUR STUDENTS, FACULTY AND STAFF CAN GROW AND BE PRODUCTIVE.

I BELIEVE THAT ONE OF MY RESPONSIBILITIES IS NOT ONLY TO PLAN FOR THE UNIVERSITY'S SHORT-TERM OR IMMEDIATE NEEDS, BUT TO MAKE DECISIONS THAT WILL POSITIVELY IMPACT THE LONG-TERM DEVELOPMENT OF THE UNIVERSITY AND MOVE UK FORWARD IN A WAY THAT WILL KEEP IT IN THE VANGUARD OF THE EDUCATIONAL COMMUNITY. I SHARE WITH YOU THE RESPONSIBILITY FOR ASSURING THE SUCCESS OF THIS UNIVERSITY.

BUT BECAUSE YOU ARE THERE ON THE "FRONT LINES" -- IN THE CLASSROOMS TEACHING STUDENTS AND IN THE LABORATORIES EXPANDING OUR FRONTIERS OF KNOWLEDGE -- YOURS IS A CRITICAL ROLE. OUR JOB, AS ADMINISTRATORS, IS TO PROVIDE YOU WITH THE MEANS TO ACCOMPLISH YOUR MISSION. WE PLEDGE OUR SUPPORT.

WE ENCOURAGE YOU TO BE BOLD IN THE PURSUIT OF EXCELLENCE. THANK YOU, LADIES AND GENTLEMEN FOR YOUR GOOD WORK AND YOUR DEDICATION.

THANK YOU.

The floor was opened for questions.

Brian Biermann - You talked about a nine percent increase in total students at LCC bringing us to the number of about 6,000. There has been concern about classroom space and finding classrooms available for the classes being taught. Has anything been discussed about perhaps a new classroom building on the LCC campus?

President Wethington - Yes, a lot has been discussed. The University of Kentucky has had a request in its biennial budget capital request for at least the two bienniums and maybe beyond that for additional facilities for LCC. I would anticipate that we would continue to make those requests. How successful we will be I do not know. I think that in the short term I am well aware of LCC's growth and we are going to have to seek temporary relief because any process for building of new buildings takes quite a long period of time. I believe I have a meeting scheduled sometime in the next week with President Kerley just to talk about some possibilities for temporary relief.

Loys Mather (Agriculture) - I thought I noted a slight change of wording today as you said from that one hundred million - in the past you said we anticipated getting two-thirds of that and today you were very positive on that. What light can you shed on us on criteria-as that was the topic today of the CPE?

President Wethington - I think that today's meeting should be the last meeting in regard to criteria. There was much discussion today around a provision in the criteria that would have required the institutions to

indicate to prospective donors and prospective faculty members that one might be trying to recruit a chair that if you did not do X within five years, then you would have to give the money back. I tried, and President Shoemaker joined me, to convince the CPE that it would make it extremely difficult for us to recruit anyone to a position if they thought that the position might not be there five years from now and, too, that it would be very difficult for a donor to be convinced that he or she ought to donate to the University given those conditions. I think that we were successful in getting that issue resolved in our favor. There still needs to be some technical corrections or editorial work done on the criteria, but I would anticipate that we would have those done in the next week or so. I feel better that we have a set of criteria in place that would allow us to move ahead full speed on the raising of that money. Perhaps, if I was more positive today, it is the result of the moving of those criteria along and the giving us of some flexibility in the raising of those matching funds. We will be successful. It is too important of an initiative for us not to be. My concern from the beginning is that those criteria might so narrowly define what we would be doing that it would be very difficult for us to achieve our goal. I feel reasonably confident that the criteria will be ones we can live with and feel very confident that we can move ahead and raise monies to meet that challenge in the next two years.

Jim Applegate (Communications) - I just echo two of your comments in the Senate's activity. You mentioned the Post Tenure Review issue and also the concern for multiple forms of scholarship. I think that hopefully the Senate will be voting next month on a proposal that would expand our promotion and tenure system to embrace that broader sense of scholarship, and also the Senate Council submitted a proposal to the American Association of Higher Education for a partnership where we can spend this coming year discussing post tenure faculty enhancement kind of programs that enhance and maintain faculty productivity and help us ward off some of these attacks that misunderstand tenure as far as its focus on academic freedom. I hope that the Senate will be able to be an effective partner in helping address some of the issues that you embrace. Those are exactly the ones we will be dealing with.

President Wethington - I want to commend the Senate for what you have done in the past in regard to these issues and encourage you to continue to work as Jim has outlined. I know that the faculty productivity document that we produced and that you participated in, I believe that Karl Raitz chaired that group some years back, has been of considerable benefit to this institution in explaining to those outside the institution that we actually do have a policy that outlines what faculty members do and what they do not do in an institution like this one. The post tenure review, the fact that we have had that in the College of Arts and Sciences and have been able to talk about that to legislators and others, has been a real benefit to us. We need to move that along and we need to determine if that is the best approach with the rest of the colleges in the University. There needs to be some expansion and/or enhancement of that in these next two years before we get to the next session of the General Assembly because these issues do not die. They take on a life of their own and they keep coming back. But you and we together, I think, have taken a very responsible position in regards to these issues and matters and have been able to explain to those outside the institution reasonably well the fact that we are doing something. Those outside may not always agree with us but they are not able to come to us and say you are not doing anything, why aren't you addressing this issue. We, in fact, have been and are addressing these serious issues and I propose that we continue, too.

Alan Kaplan (Medicine) - Did I hear a different nuance today in matching

the \$67 million as part of the capital campaign versus various entities throughout the University doing it somewhat independently?

President Wethington - The \$67 million campaign was a campaign that was going to go on whether we had a major gifts capital campaign or not. That was a given. We simply must pursue that initiative. A major capital campaign obviously needs to be of a much broader nature even yet identified than those that would be addressed in the \$67 million campaign. My goal is to fold in the entire University into a major capital campaign. A major gifts campaign is one of the top priorities, and a first priority needs to be the raising of money to meet this \$67 million research challenge fund. It happens that that trust fund is right in line with the priorities that we have identified that are needed for the entire University. It is just that the needs are broader than the research part of our mission.

Alan Kaplan - Have you thought from an institutional perspective as to what mechanism you will use in deciding how that \$134 million is allocated in terms of professorships, endowments, and what have-you?

President Wethington - I have made this comment before. I wish that I had that problem before me. I think that the challenge is not to determine how we allocate that amount of money but how we raise the monies to match the \$67 million. That must come first. If we had five years to raise this money, I could be much more comfortable in talking with you about a plan and taking a year to develop it. We need to finish this fund raising effort, if we can by the end of 1999, so we are a year and a half or less from needing to complete this \$67 million campaign. That is why I have encouraged our deans and chairs to be entrepreneurial and get with it and see what we can identify and whatever ways we can identify possibilities for matching funds that can help us ensure that we take advantage of the \$67 million. If we are more successful than that, if we raise more money than the \$67 million, then I am perfectly ready to get into a process of allocation. Right now we just need to raise the money.

Jim Applegate - I would just reinforce one thing that you said in your presentation, that the \$134 million is an endowment and at a five percent return it is about \$6,700,000 to allocate. Some people have the view that there will be \$134 million to allocate, but that is not the case.

President Wethington - That is absolutely not the case and you have hit it right on the head. Our endowment expenditure policy is such that we spend five percent of the endowment annually. That is returned to the units that are approved for the expenditure of the money. Any other increase in the corpus of the endowment increases the size of the endowment so that five percent next year is greater than five percent this year. At least, it does to keep up with inflation, and in the last few years goes beyond inflation. Jim is right. When we are successful in meeting this research challenge fund, we will have the interest from that endowment to work with, not the \$100 million, the \$67 million, and not twice that.

The question was asked - I am amazed at the level of activity that has developed over the last year in terms of various initiatives. Reading the strategic plan indicators, there is one thing that I am looking for that is not there. Is there a set of priorities that go with those 37 strategic indicators?

President Wethington - No. There is not at this time, other than if you note in that list of priorities we have several of these research initiatives up front and those are there deliberately because of the need to move the institution ahead as rapidly as we can in terms of several of

these research initiatives and knowing that we have some money coming to do that. There is not a priority beyond that. Each year, to the Board of Trustees in June usually, I report to them our success in addressing each one of those thirty-seven indicators, and I would propose to continue to do that. I am sure that you and I could say that one might be more important than another, but they are designed to cut across the institution and to single out certain initiatives that we feel we should pursue during this next five year period.

Ray Forgue (Human Environmental Sciences) - We saw a large tuition increase and I assume there will be a similar one. Is there set of priorities or initiatives that were targeted for that particular increase?

President Wethington - No. As you probably know each year in that January through March period, whatever new revenue is available to the University gets prioritized and allocated for the budget year beginning July 1. You can expect to see revenues, wherever it comes from, whether it is tuition, state appropriation, any additional monies that we raise, private or otherwise, to be prioritized at that time and to address again the priorities we have set for ourselves, the indicators that we have set for ourselves in the strategic plan. So we will apply them to the strategic plan. We will make decisions based on what that plan tells us we should be doing as a priority. Obviously, it goes without saying, that always at the top of our list is the need to pay just sheer cost of living increases, whether that be in heat, light, or whatever. Next, always comes from my perspective the need to address faculty and staff salaries and to keep them at some competitive level to the extent that we can. Annually, you will find me looking at those as priorities because they cut across the entire University. They serve everyone--students, faculty, staff, and the entire University community. Beyond that, we address other more specific priorities in the strategic plan.

Jim Applegate - Are these endowments professorships as you see these criteria merging, obviously we want to recruit from the outside topnotch world class scholars. But we have on campus some topnotch world class scholars. Do the criteria seem to be emerging in such a way that through named enhanced or endowed that these will be available to a very select group of faculty on board? I can see a situation where we end up bringing someone in and then losing someone of equal stature because of that issue. Is that going to be allowed or is it all going to have to be bringing in outside talent?

President Wethington - In my written response to Mr. Davies in regard to the draft of the challenge, I made exactly that point. To fill an endowed chair or professorship, that we ought to be looking for the very best person in the country that we could attract to that position. The language in the criteria before today indicated something like from outside of Kentucky and pretty much excluded everyone in the state. I was assured, even though we did not have a lot of discussion of that today, that was not intended and, editorially, that would be corrected. There is a need in terms of particular professorships and to include chairs to try to maintain, keep, and enhance some of the very best professors that we have in this institution and, in my opinion, some of the very best in the country. We ought not to exclude our own from consideration for endowed professorships in particular and endowed chairs, too, for that matter. It is just that I anticipate that there will be far more professorships than chairs. That is an issue and an issue that we have addressed, and I am hopeful in the final version of the criteria that we would not be precluded from choosing one of our own if that happened to be the very best person in the country.

The President was given a round of applause.

Chairperson Moore introduced Professor Lee Meyer, Vice-chair of the Senate Council, for a resolution.

Resolution presented to the University of Kentucky Senate in  
Recognition of the Chairmanship of Professor James Applegate

15 September 1998

It is my opportunity to carry on a tradition of our University Senate, which is to present a resolution reminding all of us of the work of the outgoing Chair of the Senate and to offer him or her our appreciation. Professor Jim Applegate richly deserves this offering of thanks from all of us.

Jim presided over a year of challenges, challenges on the academic side of the University world as well as the governance and political sides. For the record and to refresh our memories, let me highlight some of the work that Jim has done on our behalf.

Starting with Jim's favorite topic, I'll discuss the perhaps the most vexing challenge during Jim's leadership- the issue of plus/minus grading. One of the issues facing the Senate leadership is to raise the level of involvement of the faculty and students in the governance of the University. Ours is a University senate, not a faculty Senate. It has the substantive involvement of students as well as faculty. Well, the discussions, deliberation and debate over plus/minus grading certainly brought students, as well as faculty into active roles. The ways in which Jim managed this process demonstrated his high principles. He did not choose the expedient, short-term solution, but rather found ways to broaden the debate so that a decision was made to stand. Frustrating at times, yes, but now we can move on.

Of course the highest profile event of Jim's tenure as chair-elect and chair was the special legislative session on higher education. Jim was an important part of the Council of Senate Faculty Leaders team as they sought to effectively represent positions of faculty and students to state senators and representatives. Jim gave effective testimony and was instrumental in ensuring that our voice was heard. This work will continue, as we explore the issues of the "virtual" university, common curricula among the state's universities and post-tenure review.

The real work of the past year has been the University's response to the legislative challenge for us to be a "top 20 research university." Jim has worked closely with President Wethington and the Chair of the Graduate Education and Research committee, Professor Reedy, on our strategic plan. The result has been a mechanism to fund our strengths and to support the areas of the University with the greatest potential. This plan will put us on the road to build UK into the institution we all will be proud of. But, at the same time, with Jim's interest in a broad-based university, he has ensured that the interests of students and outreach will not be lost in the glamour of high profile research efforts.

As is the tradition of the Senate Council, Professor Applegate has been a strong proponent of shared University governance. The concept of shared governance requires that faculty leaders become involved in the broad range of decisions facing the university. As all can attest, this at times creates tensions. Jim kept these tensions at the healthy, rather than at

the pathological level. This is Jim's leadership style. He ensured that all issues of importance to the university community received attention, whether it was the discussion about an on-campus basketball arena or the budget. He enabled the students and faculty's voices to be heard.

An effective chair must balance facilitative and advocacy roles. The advocacy role causes tensions, but Jim didn't hide from being an advocate when appropriate. Post-tenure review has been Professor Applegate's area of advocacy. He has worked closely with our legislative delegation to educate them about the role of tenure in the university. We are making progress on this issue and hope to avoid the confrontations and legislation, which has been enacted in other parts of the country.

Under Jim's leadership, progress was made on two long-term issues, which have been addressed with Senate committees. These are "privilege and tenure" and "special title" appointments. Changes in either of these areas are changes in the university culture and must be carefully considered and receive broad-based support. The methodical way in which these issues are being addressed is not procrastination, it is consensus building. A friend advises me, "go slow to go fast." Jim has set the stage for us to go fast in the future on a strong base of careful consideration.

Last spring, Jim quoted the philosopher Wittgenstein, and I'll paraphrase him saying: "What I said had two parts, what I said and what I didn't say." Let me use that quote to end this resolution. I have done my best to remind all of us about the visible results of Professor Applegate's leadership over the past two years as Chair elect and then as Chair of the Senate. But, like any good leader, most of the work is less visible. So, please remember that what I didn't personally experience or know directly about Jim's leadership, and therefore cannot testify about, is also important.

Please join me in a gesture of appreciation for the sincere and effective work of Jim Applegate for being our leader of the Senate for the past year.

Mr. Chair, I request that this resolution be presented to Professor Applegate and that a copy be entered into the archives of the Senate.

Professor Applegate was given a round of applause.

The Chair stated that minutes of April 1998 had been circulated. There were no corrections or revisions and the minutes were approved as circulated.

Chairperson Moore made the following announcements:

Two weeks from today on Monday, September 28, 1998 there will be an orientation session for all senators, not just new senators. It will be in the W.T. Young Library Auditorium. There will be a reception following in the Alumni Gallery. I have asked that the chairs of all the committees come and talk about what they, the Senate Council, and I have in mind for the committees for the coming year. It will be an excellent opportunity for you to learn who those chairs are as well as the members of those committees and to get a chance to have some input. I strongly urge you to come to that meeting.

For the first time in history, we have a bulletin board for the Senate Council. If you would like to know when there are committee meetings, see minutes of the Senate Council meetings as well minutes of committee meetings, those will all be posted for you on the bulletin board just outside Room 10 of the Administration Building, which is the Senate Council office. It is a pretty large bulletin board, so there can be a lot of



stuff there. If you would like to be personally notified or receive written notice of any of these, all you need to do is to let Cindy Todd know, and we will be glad to see that you receive those either by mail or e-mail.

If you have looked at our Web site, you will notice that it is in a state of construction or progress. Let me assure you that it will in order before too long. Right now, there are some changes going on. The University Web pages are going through a process of standardization, and we will be involved in that. In the meantime, you can look on the Web site. There are charges for the various committees. There is some very good information there.

The Senate Council meets every Monday, except on the second Monday, which is the Senate meeting, and you are welcome to come. The meeting is at 3:00 p.m. in the Old Board Room of the Administration Building, and it is an open meeting and anyone is welcome to come.

If you need to get in touch with me at anytime, in the afternoons I am in the Senate Council office and the telephone number is 257-5871 for Cindy Todd or 257-5872 to call me direct. In the mornings, since I am wearing two hats, I am Associate Dean of the College of Communications and Information Studies. You can reach me at that office and the number is 257-7805. My e-mail address is moore@pop.uky.edu. I would welcome you to come by any time. If you would like to make an appointment, feel free to do that.

Chairperson Moore recognized Professor Ron Pen from the College of Fine Arts for the following memorial resolution.

The University of Kentucky  
Lexington, Kentucky  
September 14, 1998

Memorial Resolution  
for the University of Kentucky Senate  
- Donald Ivey -

Don Ivey had a warm baritone voice that could wrap itself around a Schubert lied, a Renaissance madrigal, or a Broadway show tune with mellow grace and sensitivity. It was a voice that could fill any space from a classroom to a concert hall to the University Senate.

Don Ivey had a larger-than life personality that was effervescent as fine Dom Perignon champagne and crusty as a French baguette, with a curmudgeonly exterior that belied the generosity, geniality, and wondrously caring inner man.

Don Ivey hated pomposity. He loathed mendacity. He despised inefficiency. He had no use for self important aggrandizement. He was no friend to bureaucracy although he was the most skillful navigator of those treacherous waters that I have ever witnessed.

Don Ivey spent much of his life in the academy, but he knew that the academy was not all there was to the world. He was born in Easton, Pennsylvania on August 10, 1918 and graduated from high school in 1935. Subsequently, he worked as a banker and sang in dance bands for the next eight years. During the Second World War he served in the army and fought at the Battle of the Bulge. His wartime experiences made Don a life-long pacifist. Upon returning to the states, he attended the Juilliard School

under the G.I. Bill. While there he met his bride, Helen, who was studying at Columbia University. Moving to Kentucky, he attended the University of Kentucky, where he completed his B.M. degree in 1951 and his M.M. in 1955. While teaching at Kentucky Wesleyan he completed his doctorate at the University of Illinois, and in 1961 he returned to the University of Kentucky School of Music as an Associate Professor of Music.

Don Ivey had a special way with language. He could curse with virtuosity and imagination, and with gusto and good natured bluster. It was a simple joy to watch him hold forth in salty, direct invective--no niceties of subtle innuendo for Don. One always knew where he or she stood with him.

Don Ivey had a special way with written language as well. He authored a focused study of art song entitled *Song: Anatomy, Imagery, and Styles* with Macmillan Press in 1970 and the innovative music appreciation text, *Sound Pleasure* with Schirmer Press in 1977. *Sound Pleasure* was remarkable for its revolutionary and perceptive observations that drew truth from unlikely cross cultural and cross disciplinary juxtapositions such as the pairing of Janis Joplin' Mercedes Benz with a Kyrie Eleison from the requiem mass. His all encompassing perspective enabled him to specialize in generalism--a paradox at which he would have chuckled.

Don Ivey loved his students and loved his work at the university. He taught voice as an Associate Professor until 1973 and was promoted to Full Professor in 1974. He served the School of Music as Chair of the Musicology Division, Director of Graduate Studies and finally, as Director of the School in 1984. He was one of the university's most popular teachers, known to generations of students for his "must see" music appreciation and history of jazz classes. As a musician he soloed in various performances, directed the Men's Glee Club, was Associate Director of the Lexington Singers, and served as Music Director at Crestwood Christian, Park Methodist, Maxwell Street Presbyterian, and Temple Adath Israel.

Don Ivey grouched and grumbled about administration, but he was a gifted and effective administrator as well. His service at the university included years of work with the Graduate Council and the University Senate. This service culminated in his term of office as Chairman of the University Senate Council in 1982-1983. His tenure marked the successful passage of the controversial, but ultimately, highly beneficial selective admissions proposal. Regarding his senate chairmanship, please allow me to quote an article in the *Kentucky Kernel*: "I alienated a bunch of people as council chairman. A lot of people take themselves very serious. That's a tragedy. We're all about half nuts . . . . It will operate better if you're able to laugh at yourself and inject a little humor."

Don Ivey loved people, and he especially loved his family--his wife, Helen and their four children, Elizabeth, Jonathan, Laura, and Chris. Don loved the Maxwell Street Presbyterian Church where he worshipped and tended his spiritual and social garden. He was a leader in the church as well through his ministry as a deacon and elder. Don loved life and approached each day with joy and relish.

Don Ivey upon retiring from the University at the conclusion of the 1985 spring semester stated his plans with characteristic humor: "When I retire I'm going to write the great American pornographic novel that will translate into a movie and I'll retire rich." Instead, he went to work volunteering as an ombudsman for nursing homes, brightening the lives of the aged and needy. Don did retire rich, but his riches were of a far more substantial nature. Thus it is that we remember the life of Donald Ivey who passed away June 12, 1998.

Respectfully submitted,  
Ron Pen, Director  
John Jacob Niles Center for American Music

The Chair asked the Senate to stand for a moment of silence.

The Chair recognized Jeff Dembo, the new academic ombud.

Chairperson Moore stated that the Ombud report would be postponed until the October 1998 Senate Meeting.

The meeting was adjourned at 4:25 p.m.

Donald Witt  
Secretary, University Senate