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OFFICE OF THE
SENATE COUNCIL

1. General Information

1a. Submitted by the College of: ARTS & SCIENCES

Date Submitted: 3/20/2015

1b. Department/Division: Linguistics

1c. Contact Person

Name: Edward R. Barrett

Email: erbarr2@uky.edu

Phone: 859-257-3114

Responsible Faculty ID (if different from Contact)

Name:

Email:

Phone:

1d. Requested Effective Date: Semester following approval

1e. Should this course be a UK Core Course? No

2. Designation and Description of Proposed Course

2a. Will this course also be offered through Distance Learning?: No

2b. Prefix and Number: LIN 622

2c. Full Title: Advanced Syntax

2d. Transcript Title:

2e. Cross-listing:

2f. Meeting Patterns

LECTURE: 3

2g. Grading System: Letter (A, B, C, etc.)

2h. Number of credit hours: 3

2i. Is this course repeatable for additional credit? No

If Yes: Maximum number of credit hours:

If Yes: Will this course allow multiple registrations during the same semester?

2j. **Course Description for Bulletin:** The course builds on the groundwork laid in LIN 512 Analysis of English Syntax in two main ways: (1) by framing the problems introduced in the first course within a non-Chomskyan framework of assumptions found in constraint-based lexicalist grammars; and (2) by going beyond the confines of English to account for syntactic data from other languages. A fundamental shift from Chomskyan to constraint-based lexicalist grammar is the rejection of syntactic derivation, or transformations, i.e. the assumption that there are distinct underlying and surface representations in which arena transformations / movement play a significant role. Topics covered include: formal grammar as unification grammar, headedness, binding theory, agreement, and the role of the lexicon in determining syntactic structure. This course may require LIN 640 taken concurrently.

2k. **Prerequisites, if any:** LIN/ENG 512 (Analysis of English Syntax) or similar course approved by the Director of Graduate Studies; may require LIN 640 taken concurrently.

2l. **Supplementary Teaching Component:**

3. Will this course taught off campus? No

If YES, enter the off campus address:

4. **Frequency of Course Offering:** Spring.

Will the course be offered every year?: No

If No, explain: This will be one of several 600-level courses offered on a regular cycle for graduate students in the degree program.

5. **Are facilities and personnel necessary for the proposed new course available?:** Yes

If No, explain:

6. **What enrollment (per section per semester) may reasonably be expected?:** 15

7. **Anticipated Student Demand**

Will this course serve students primarily within the degree program?: Yes

Will it be of interest to a significant number of students outside the degree pgm?: No

If Yes, explain:

8. **Check the category most applicable to this course:** Traditional – Offered in Corresponding Departments at Universities Elsewhere,

If No, explain:

9. **Course Relationship to Program(s).**

a. **Is this course part of a proposed new program?:** Yes

If YES, name the proposed new program: Ph.D. in Linguistics

b. **Will this course be a new requirement for ANY program?:** No

If YES, list affected programs:

10. **Information to be Placed on Syllabus.**

a. Is the course 400G or 500?: No

b. The syllabus, including course description, student learning outcomes, and grading policies (and 400G-/500-level grading differentiation if applicable, from 10.a above) are attached: Yes

Distance Learning Form

Instructor Name:

Instructor Email:

Internet/Web-based: No

Interactive Video: No

Hybrid: No

1. How does this course provide for timely and appropriate interaction between students and faculty and among students? Does the course syllabus conform to University Senate Syllabus Guidelines, specifically the Distance Learning Considerations?

2. How do you ensure that the experience for a DL student is comparable to that of a classroom-based student's experience? Aspects to explore: textbooks, course goals, assessment of student learning outcomes, etc.

3. How is the integrity of student work ensured? Please speak to aspects such as password-protected course portals, proctors for exams at interactive video sites; academic offense policy; etc.

4. Will offering this course via DL result in at least 25% or at least 50% (based on total credit hours required for completion) of a degree program being offered via any form of DL, as defined above?

If yes, which percentage, and which program(s)?

5. How are students taking the course via DL assured of equivalent access to student services, similar to that of a student taking the class in a traditional classroom setting?

6. How do course requirements ensure that students make appropriate use of learning resources?

7. Please explain specifically how access is provided to laboratories, facilities, and equipment appropriate to the course or program.

8. How are students informed of procedures for resolving technical complaints? Does the syllabus list the entities available to offer technical help with the delivery and/or receipt of the course, such as the Information Technology Customer Service Center (<http://www.uky.edu/UKIT/>)?

9. Will the course be delivered via services available through the Distance Learning Program (DLP) and the Academic Technology Group (ATL)? NO

If no, explain how student enrolled in DL courses are able to use the technology employed, as well as how students will be provided with assistance in using said technology.

10. Does the syllabus contain all the required components? NO

11. I, the instructor of record, have read and understood all of the university-level statements regarding DL.

Instructor Name:

SIGNATURE|ARHIPP2|Andrew R Hippisley|LIN 622 NEW Dept Review|20150320

SIGNATURE|ACSI222|Anna C Harmon|LIN 622 NEW College Review|20150921

SIGNATURE|ZNNIKO0|Roshan Nikou|LIN 622 NEW Graduate Council Review|20151210

New Course Form

<https://myuk.uky.edu/sap/bc/soap/rfc?services=>

[Open in full window to print or save](#)

Generate R

Attachments:

[Browse...](#)

Upload File

	ID	Attachment
Delete	4661	LIN 622 proposal V2-1[1].doc

First 1 Last

(*denotes required fields)

1. General Information

- a. * Submitted by the College of: Submission Date: 3/20/2015
- b. * Department/Division:
- c.
- * Contact Person Name: Email: Phone:
- * Responsible Faculty ID (if different from Contact): Email: Phone:
- d. * Requested Effective Date: Semester following approval OR Specific Term/Year¹
- e. Should this course be a UK Core Course? Yes No
- If YES, check the areas that apply:
- Inquiry - Arts & Creativity Composition & Communications - II
- Inquiry - Humanities Quantitative Foundations
- Inquiry - Nat/Math/Phys Sci Statistical Inferential Reasoning
- Inquiry - Social Sciences U.S. Citizenship, Community, Diversity
- Composition & Communications - I Global Dynamics

2. Designation and Description of Proposed Course.

- a. * Will this course also be offered through Distance Learning? Yes⁴ No
- b. * Prefix and Number:
- c. * Full Title:
- d. Transcript Title (if full title is more than 40 characters):
- e. To be Cross-Listed² with (Prefix and Number):
- f. * Courses must be described by at least one of the meeting patterns below. Include number of actual contact hours³ for each meeting pattern type.
- | | | | |
|--|--|---------------------------------|---------------------------------|
| <input type="text" value="3"/> Lecture | <input type="text"/> Laboratory ¹ | <input type="text"/> Recitation | <input type="text"/> Discussion |
| <input type="text"/> Indep. Study | <input type="text"/> Clinical | <input type="text"/> Colloquium | <input type="text"/> Practicum |
| <input type="text"/> Research | <input type="text"/> Residency | <input type="text"/> Seminar | <input type="text"/> Studio |
| <input type="text"/> Other | If Other, Please explain: <input type="text"/> | | |
- g. * Identify a grading system:
- Letter (A, B, C, etc.)
- Pass/Fail
- Medicine Numeric Grade (Non-medical students will receive a letter grade)
- Graduate School Grade Scale
- h. * Number of credits:
- i. * Is this course repeatable for additional credit? Yes No
- If YES: Maximum number of credit hours:
- If YES: Will this course allow multiple registrations during the same semester? Yes No

j. * Course Description for Bulletin:

The course builds on the groundwork laid in LIN 512 Analysis of English Syntax in two main ways: (1) by framing the problems introduced in the first course within a non-Chomskyan framework of assumptions found in constraint-based lexicalist grammars; and (2) by going beyond the confines of English to account for syntactic data from other languages. A fundamental shift from Chomskyan to constraint-based lexicalist grammar is the rejection of syntactic derivation, or transformations, i.e. the assumption that there are distinct underlying and surface representations in which arena transformations / movement play a significant role. Topics covered include: formal grammar as unification grammar, headedness, binding theory, agreement, and the role of the lexicon in determining syntactic structure. This course may require LIN 640 taken concurrently.

k. Prerequisites, if any:

LIN/ENG 512 (Analysis of English Syntax) or similar course approved by the Director of Graduate Studies; may require LIN 640 taken concurrently.

l. Supplementary teaching component, if any: Community-Based Experience Service Learning Both

3. * Will this course be taught off campus? Yes No

If YES, enter the off campus address:

4. Frequency of Course Offering.

a. * Course will be offered (check all that apply): Fall Spring Summer Winter

b. * Will the course be offered every year? Yes No

If No, explain: This will be one of several 600-level courses offered on a regular cycle for graduate s.

5. * Are facilities and personnel necessary for the proposed new course available? Yes No

If No, explain:

6. * What enrollment (per section per semester) may reasonably be expected? 15

7. Anticipated Student Demand.

a. * Will this course serve students primarily within the degree program? Yes No

b. * Will it be of interest to a significant number of students outside the degree pgm? Yes No

If YES, explain:

8. * Check the category most applicable to this course:

Traditional – Offered in Corresponding Departments at Universities Elsewhere

Relatively New – Now Being Widely Established

Not Yet Found in Many (or Any) Other Universities

9. Course Relationship to Program(s).

a. * Is this course part of a proposed new program? Yes No

If YES, name the proposed new program:

Ph.D. in Linguistics

b. * Will this course be a new requirement for ANY program? Yes No

If YES, list affected programs:

10. Information to be Placed on Syllabus.

a. * Is the course 400G or 500? Yes No

If YES, the differentiation for undergraduate and graduate students must be included in the information required in 10.b. You must include: (i) ident additional assignments by the graduate students; and/or (ii) establishment of different grading criteria in the course for graduate students. (See SR

b. * The syllabus, including course description, student learning outcomes, and grading policies (and 400G-/500-level grading differentiation if appl 10.a above) are attached.

¹ Courses are typically made effective for the semester following approval. No course will be made effective until all approvals are received.
² The chair of the cross-listing department must sign off on the Signature Routing Log.

- In general, undergraduate courses are developed on the principle that one semester hour of credit represents one hour of classroom meeting per week for a semester, exclusive of any laboratory meeting. Laboratory meeting, generally, are two hours per week for a semester for one credit hour. (from SR 5.2.1)
- You must also submit the Distance Learning Form in order for the proposed course to be considered for DL delivery.
- In order to change a program, a program change form must also be submitted.

Rev 8/09

LIN 622
Advanced Syntax

Instructor: *Andrew Hippisley*

Email: *andrew.hippisley@uky.edu*

Office phone: *859-257 6989*

Office address: *1377 POT*

Preferred method on contact: *email*

Office Hours: *MW 11-12 TBD*

Day/Time/Place: *TR 11-12:15 Barker Hall room 306*

Prerequisite: LIN/ENG 512 (Analysis of English Syntax) or similar course approved by DGS; may require LIN 640 taken concurrently.

Course description: The course builds on the groundwork laid in LIN 512 Analysis of English Syntax in two main ways: (1) by framing the problems introduced in the first course within a non-Chomskyan framework of assumptions found in constraint-based lexicalist grammars; and (2) by going beyond the confines of English to account for syntactic data from other languages. A fundamental shift from Chomskyan to constraint-based lexicalist grammar is the rejection of syntactic *derivation*, or transformations, i.e. the assumption that there are distinct underlying and surface representations in which arena transformations / movement play a significant role. Topics covered include: formal grammar as unification grammar, headedness, binding theory, agreement, and the role of the lexicon in determining syntactic structure. The frameworks investigated include one or more of:

1. Head-driven Phrase Structure Grammar (HPSG)
2. Lexical-Functional Grammar (LFG)
3. Simpler Syntax

May substitute for LIN 512 for the syntax requirement, with permission of DGS.

Course objectives: The course aims to equip students with a sophisticated knowledge of syntactic theory by maintaining the problem space from the previous course but extending the solution space. Through exposure to a non-transformational approach to syntax, students will gain greater versatility in accounting for syntactic phenomena, and the ability to critique a given theoretical approach to a problem by comparing it to another approach, and evaluating the results. Through exposure to non-English data, the course will furnish students with a stronger sense of syntax as a component of the language system with universal implications, rather than as simply a part of the description of English.

Student Learning Outcomes:

Upon completion of the course students should be able to

- fully appreciate the distinctions between derivational / serial /transformation and constraint-based / parallel architecture syntactic frameworks, and the implications that flow from the respective approaches

- analyze familiar syntactic phenomena such as binding, control and agreement within non-transformational, constraint-based lexicalist frameworks
- evaluate the constraint-based lexicalist account of such problems by comparing them to the accounts of the same phenomena offered in transformational frameworks such as Principles & Parameters
- explain the relation between semantic and syntactic levels of representation, and how these levels interface
- explain the highly significant role of the lexicon in constraint-based lexicalist framework, including argument structure and semantic roles
- explain the role of grammatical relations in constraint-based lexicalist frameworks
- apply and implement the unification formalism to produce hypotheses about grammaticality judgments of English sentences of their own choosing

Required materials

Main text:

- Borsley, Robert and Kersti Börjars (eds). 2011. *Non-transformational Theories of Grammar: Formal and Explicit Models of Grammar*. Oxford: Blackwell.

Supplementary readings:

- Select published articles identified in class

Course assignments: A student's grades will be based on the following components

- 10% for class participation
- 15% for three short research reports (5% each)
- 15% for implementation demo (group work)
- 20% for term paper
- 40% for final exam

Summary description of course assignments: (1) full participation in all class sessions, (2) a report on each of the frameworks discussed, (3) a demonstration of an implementation of HPSG with documentation, carried out in groups, (4) a term paper on a syntactic topic accounted for in a framework, or compared across frameworks and (5) a cumulative exam.

Course grading:

90-100%	A	70-79%	C
80-89%	B	69% or below	E

COURSE POLICIES

Submission of Assignments: Students will submit their term projects both on paper and online. Term projects are due on the last day of class. If a student cannot attend class on the day on which s/he is scheduled to give a class presentation or on the day on which the term project is due, s/he should consult with me as promptly as possible (ideally, before the day of her/his absence) to make alternative plans.

Attendance Policy: In order to benefit fully from my lectures and from the insights of the other students in the course (and to contribute your own insights), it is important that you attend every class session; if you do have to miss a class session, you must let me know the circumstances of your absence. If a student has three unexcused absences, her/his course grade will be reduced one letter grade.

Excused Absences: Students need to notify the professor of absences prior to class when possible. S.R. 5.2.4.2 defines the following as acceptable reasons for excused absences: (a) serious illness, (b) illness or death of family member, (c) University-related trips, (d) major religious holidays, and (e) other circumstances found to fit "reasonable cause for nonattendance" by the professor.

Students anticipating an absence for a major religious holiday are responsible for notifying the instructor in writing of anticipated absences due to their observance of such holidays no later than the last day in the semester to add a class. Information regarding dates of major religious holidays may be obtained through the religious liaison, Mr. Jake Karnes (859-257-2754).

Students are expected to withdraw from the class if more than 20% of the classes scheduled for the semester are missed (excused or unexcused) per university policy.

Verification of Absences: Students may be asked to verify their absences in order for them to be considered excused. Senate Rule 5.2.4.2 states that faculty have the right to request "appropriate verification" when students claim an excused absence because of illness or death in the family. Appropriate notification of absences due to university-related trips is required prior to the absence.

Academic Integrity: Per university policy, students shall not plagiarize, cheat, or falsify or misuse academic records. Students are expected to adhere to University policy on cheating and plagiarism in all courses. The minimum penalty for a first offense is a zero on the assignment on which the offense occurred. If the offense is considered severe or the student has other academic offenses on their record, more serious penalties, up to suspension from the university may be imposed.

Plagiarism and cheating are serious breaches of academic conduct. Each student is advised to become familiar with the various forms of academic dishonesty as explained in the Code of Student Rights and Responsibilities. Complete information can be found at the following website: <http://www.uky.edu/Ombud>. A plea of ignorance is not acceptable as a defense against the charge of academic dishonesty. It is important that you review this information as all ideas borrowed from others need to be properly credited.

Part II of *Student Rights and Responsibilities* (available online at

<http://www.uky.edu/StudentAffairs/Code/part2.html>)

states that all academic work, written or otherwise, submitted by students to their instructors or other academic supervisors, is expected to be the result of their own thought, research, or self-expression. In cases where students feel unsure about the question of plagiarism involving their own work, they are obliged to consult their instructors on the matter before submission.

When students submit work purporting to be their own, but which in any way borrows ideas, organization, wording or anything else from another source without

appropriate acknowledgement of the fact, the students are guilty of plagiarism. Plagiarism includes reproducing someone else's work, whether it be a published article, chapter of a book, a paper from a friend or some file, or something similar to this. Plagiarism also includes the practice of employing or allowing another person to alter or revise the work which a student submits as his/her own, whoever that other person may be.

Students may discuss assignments among themselves or with an instructor or tutor, but when the actual work is done, it must be done by the student, and the student alone. When a student's assignment involves research in outside sources of information, the student must carefully acknowledge exactly what, where and how he/she employed them. If the words of someone else are used, the student must put quotation marks around the passage in question and add an appropriate indication of its origin. Making simple changes while leaving the organization, content and phraseology intact is plagiaristic. However, nothing in these Rules shall apply to those ideas which are so generally and freely circulated as to be a part of the public domain (Section 6.3.1).

Please note: Any assignment you turn in may be submitted to an electronic database to check for plagiarism.

Accommodations due to disability: If you have a documented disability that requires academic accommodations, please see me as soon as possible during scheduled office hours. In order to receive accommodations in this course, you must provide me with a Letter of Accommodation from the Disability Resource Center (Room 2, Alumni Gym, 257-2754, email address: jkarnes@email.uky.edu) for coordination of campus disability services available to students with disabilities.

Course schedule:

WEEK	TOPIC	READING ASSIGNMENTS*	ASSESSMENT
Week 1 (Jan 16)	Introducing advanced syntax		
Week 2 (Jan 21, 23):	Critique of transformational framework	B&B 1-8; Newmeyer 2003	
Week 3 (Jan 28, 30):	HPSG, the basics	B&B chapter 1	
Week 4 (Feb 4, 6)	HPSG, advanced	B&B chapter 2	
Week 5 (Feb 11, 13):	HPSG, advanced; implementation	(class announcement)	Short piece 1
Week 6 (Feb 18, 20):			
Week 7 (Feb 25, 27):	LFG, the basics	B&B chapter 3	
Week 8 (March 4, 6):			
Week 9 (March 11, 13):	LFG, functional structure	B&B chapter 4	Implementation demos
Week 10 (March 18, 20):	SPRING BREAK		
Week 11 (March 25, 27):	LFG, functional structure continued	B&B chapter 4	Implementation demos
Week 12 (April 1, 3)	Reading week		Short piece 2
Week 13 (April 8, 10):	Simpler syntax and the parallel architecture	Chapter 7	
Week 14 (April 15, 17):	Feature-based grammar, the big picture	Chapter 8	Short piece 3
Week 15 (April 22, 24):	Periphrasis, the syntax-morphology interface	Chapter 9	Term paper
Week 16 (Apr 29, May 1):			
		*Supplementary readings will be announced during previous class	FINAL EXAM 6TH MAY 10:30



Course Policy on Academic Accommodations due to disability: If you have a documented disability that requires academic accommodations, please see me as soon as possible during scheduled office hours. In order to receive accommodations in this course, you must provide me with a Letter of Accommodation from the Disability Resource Center (Room 2, Alumni Gym, 257-2754, email address jkarnes@eamil.uky.edu) for coordination of campus disability services available to students with disabilities.

Course Policy for Attendance:

In order to benefit fully from my lectures and from the insights of the other students in the course (and to contribute your own insights), it is important that you attend every class session, lecture and lab; if you do have to miss a class session, you must let me know the circumstances of your absence. Try to borrow someone's notes, since some of the information that will be covered in class is not covered in the text. If you have specific questions regarding any information covered in class, by all means come and see me during my office hours (or schedule an appointment for some other time); please don't expect me to repeat entire lectures, however.

Excused absences will be given at instructor's discretion only with proof as defined by S.R. 5.2.4.2. [http://www.uky.edu/Ombud/policies.php S.R. 5.2.4.2 defines the acceptable reasons for excused absences.]

Course Policy for Submission of Assignments:

Homework which you submit after class time on the specified due-date will not be accepted for grading UNLESS your absence on that date is an excused one. If a student is unable to submit a written homework assignment on time owing to an excused absence, s/he should consult with me as soon as possible about making up the assignment. Whatever length of time a student is out of class because of an excused absence, the student has that length of time to make up missed homework once s/he returns to class; for instance, if a student misses three class meetings because of an excused absence, then starting from the day of her/his return to class, s/he has three class meetings to make up any homework that s/he failed to submit during her/his absence. *Students missing work due to an excused absence bear the responsibility of informing the instructor about their excused absence within one week following the period of the excused absence (except where prior notification is required), and of making up the missed work.*

Course Policy on Academic Integrity:

All assignments, projects, and exercises completed by students for this class should be the product of the personal efforts of the individual(s) whose name(s) appear on the corresponding assignment. Misrepresenting others' work as one's own in the form of cheating or plagiarism is unethical and will lead to those penalties outlined in the University Senate Rules (6.3.1 & 6.3.2) at the following website: http://www.uky.edu/USC/New/rules_regulations/index.htm. The Ombud site also has information on plagiarism found at <http://www.uky.edu/Ombud>.)

Course Policy on Classroom civility and decorum:

The university, college and department has a commitment to respect the dignity of all and to value differences among members of our academic community. There exists the role of discussion and debate in academic discovery and the right of all to respectfully disagree from time-to-time. Students clearly have the right to take reasoned exception and to voice opinions

contrary to those offered by the instructor and/or other students (S.R. 6.1.2). Equally, a faculty member has the right -- and the responsibility -- to ensure that all academic discourse occurs in a context characterized by respect and civility. Obviously, the accepted level of civility would not include attacks of a personal nature or statements denigrating another on the basis of race, sex, religion, sexual orientation, age, national/regional origin or other such irrelevant factors.)

Course Policy for Group work & student collaboration:

Students sometimes ask whether it is permissible to work together on homework assignments. Here is the answer: it is fine--in fact it is desirable--for two or more students to discuss an assigned problem BEFORE they have begun formulating their answers in writing; but once a student has begun putting an answer down in writing, no consultation with other students is permitted. (By university policy, the minimum penalty for handing in an answer any part of which is copied (or an answer from which another student has been allowed to copy) is an E for the assignment.