

**From:** [Faculty Trustee Election](#)  
**To:** [FACULTYTRUSTEEELECTION-L@lsv.uky.edu](mailto:FACULTYTRUSTEEELECTION-L@lsv.uky.edu)  
**Cc:** [Mazur, Joan](#); [Jones, Davy](#); [McGillis, Joe](#); [Wood, Connie](#); [Brothers, Sheila C](#); [Brown, Roger](#); [Deaton, Marcy](#)  
**Subject:** Re: Voting Site Open (Initial Round)  
**Date:** Thursday, April 06, 2017 9:34:02 AM

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Members of the University Faculty:

The Senate Rules and Elections Committee provides the following statement in response to a query about the **use of UK listservs to advocate for candidates** in a Trustee Election:

The Elections Subcommittee considers the use of one's own UK email address to express individual opinions about the qualifications of candidates to be part of the academic freedom of our University community. In relation to this election, the Senate Rules and Elections Committee obtained the endorsement of the University's Office of Legal Counsel for the following election policy:

*The use of official University email lists, such as a listserv, to advocate on behalf of Trustee Candidates is strongly discouraged. Please refrain from the use of existing University listservs, unit distribution lists, and similar University resources to advocate for or against any candidate(s). If any university lists, such as a listserv, are used to advocate for any candidate, all candidates in that election, must then be allowed equal access to that specific list.*

Legal questions about the use of such University communication resources should be directed to the University's Office of Legal Counsel. The Elections Subcommittee will provide each candidate their own listserv to use during the second round of voting.

**Also, please vote if you haven't already ([click here](#)).**

Sincerely,

Davy Jones, co-Chair, Senate Rules and Elections Committee  
Joan Mazur, co-Chair, Senate Rules and Elections Committee  
Roger Brown, Chair, SREC Elections Subcommittee

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**From:** Faculty Trustee Election  
**Sent:** Wednesday, April 5, 2017 09:21 AM  
**To:** [FACULTYTRUSTEEELECTION-L@lsv.uky.edu](mailto:FACULTYTRUSTEEELECTION-L@lsv.uky.edu)  
**Cc:** [Mazur, Joan](#); [Jones, Davy](#); [McGillis, Joe](#); [Wood, Connie](#); [Brothers, Sheila C](#); [Brown, Roger](#)  
**Subject:** Voting Site Open (Initial Round)

**Members of the University Faculty:**

The purpose of this notice is to announce the initial round of voting in the election of a new **Faculty Trustee**.

Under University Senate Rules, there will be an initial voting round from which the top three vote getters advance to the final round (unless a candidate receives more than 50% of the vote on the initial round).<sup>1</sup>

The outcome of the recent nomination process resulted in the certification of five candidates. The five candidates in the initial round of voting are (alphabetically, with college affiliation):

[Christopher W. Frost](#) (Law)  
[Robert B. Grossman](#) (Arts and Sciences)  
[Patrick C. McGrath](#) (Medicine)  
[Margaret Mohr-Schroeder](#) (Education)  
[Sidney W. Whiteheart](#) (Medicine)

State law determines voter eligibility.<sup>2</sup> Voting begins now and will continue through noon, Wednesday, April 12, 2017.

Click [here](#) to vote in the initial election round. You must enter your link blue ID/Password to open the voting page.

A large turn-out by University Faculty in this election will empower our elected representative to the Board.

Thank you very much for your participation in this election.

**[Davy Jones, Co-Chair, Senate Rules and Elections Committee](#)**  
**[Joan Mazur, Co-Chair, Senate Rules and Elections Committee](#)**  
**[Roger Brown, Chair, SREC Elections Subcommittee](#)**

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<sup>1</sup> Senate Rule 1.4.2.1; click this [link](#).

<sup>2</sup> State law determines voter eligibility. Persons eligible to vote in the election must be members of the University Faculty (i.e., a member of the faculty body of one of the colleges) who are in a status of regular, full-time employment in the Regular Title Series, Special Title Series, Extension Title Series, Librarian Title Series, Clinical Title Series<sup>3</sup> or Research Title Series<sup>4</sup> at or above the rank of Assistant Professor, including tenured phased retirement faculty, but must not be appointed to an administrative position at/above the level of department chair<sup>5</sup> or assigned a 50% or greater administrative assignment. A list of University Faculty members eligible to vote is posted at the University Senate web site for this election (click here).

<sup>3</sup> Admin. Reg. 2:6, web link: <http://www.uky.edu/regs/files/ar/ar2-6.pdf>

<sup>4</sup> Admin. Reg. 2.5, web link: <http://www.uky.edu/regs/files/ar/ar2-5.pdf>

<sup>5</sup>

HRPP 4.0, web link: <http://www.uky.edu/hr/policies/employee-status>; reviewed in detail [here](#) and summarized briefly in earlier election notices (see below).

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**From:** Faculty Trustee Election

**Sent:** Monday, March 27, 2017 02:00 PM

**To:** FACULTYTRUSTEEELECTION-L@lsv.uky.edu

**Cc:** Mazur, Joan; Jones, Davy; McGillis, Joe; Wood, Connie; Brothers, Sheila C; Brown, Roger

**Subject:** Re: Faculty Trustee Election

### **Members of the University Faculty,**

Today at noon was the deadline for submission of faculty petitions for faculty interested in running for the position of faculty trustee. Only one nomination petition was submitted, and the nominated individual was certified as a candidate in the election.

According to the University Senate Rule 1.5.2.A

*"if only one (1) person is nominated, the nomination period shall be extended by one (1) week with notification to all eligible faculty voters. If no other nominations are put forward at this point, the sole nominee shall be declared elected."*

Therefore, **the nomination period is being extended to 10 a.m. Tuesday, April 4, 2017**. A petition form provided by the Senate Council Office must be used and is available at the University Senate web site created for this election (click [here](#)). The nomination procedures during this extended period are the same as in the original nomination stage announcement (reprinted below).

**Davy Jones, Co-Chair, Senate Rules and Elections Committee**

**Joan Mazur, Co-Chair, Senate Rules and Elections Committee**

**Roger Brown, Chair, SREC Elections Subcommittee**

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**From:** Faculty Trustee Election

**Sent:** Tuesday, March 21, 2017 04:54 PM

**To:** FACULTYTRUSTEEELECTION-L@lsv.uky.edu

**Cc:** Mazur, Joan; Jones, Davy; McGillis, Joe; Wood, Connie; Brothers, Sheila C; Brown, Roger

**Subject:** Faculty Trustee Election

### **Members of the University Faculty,**

The UK Board of Trustees is the highest governing body of the University and establishes the various policies directly affecting the programs created by, employment conditions of,

and the academic careers of, the University Faculty.

**The term of Faculty Trustee Robert Grossman on the UK Board of Trustees is ending June 30, 2017.** Under state law,<sup>1</sup> an election must be held to determine a member of the University Faculty body who will fill the seat for the new term that begins July 1, 2017. The UK Board of Trustees has delegated to the University Senate the authority to conduct the election,<sup>2</sup> and the University Senate has in turn delegated the certification of candidate and voter eligibilities in the election to the Senate's Rules and Elections Committee.<sup>3</sup> **This announcement briefly explains the election process that will soon begin.**

**A. Persons Eligible to Submit Nominating Petitions.** The Senate Council Office will receive (either hand-delivered to 203E Main Bldg. or by color pdf scan to [sbrothers@uky.edu](mailto:sbrothers@uky.edu)) nominating petitions from any faculty employee of any title, rank series, or administrative assignment, whether full-time, temporary or part-time, and including those who may not be a member of the formal College Faculty body of their college. **Nominating petitions are due noon Monday March 27, 2017.** The faculty employee taking responsibility for submitting the petition is responsible to (1) confirm that at least ten (10) persons signing the petition are eligible to vote in the election, (2) confirm that the individual being nominated is eligible to be elected, and (3) confirm the individual nominated is willing to serve. Persons may self-nominate. A petition form provided by the Senate Council Office must be used and is available at the University Senate web site created for this election (click [here](#)). Submitted petitions will not be opened and certified until after the above deadline for submission of petitions. Petitions not satisfying one or more of the above requirements will not be certified and the nominated person will not be placed on the ballot.

**B. University Faculty Members Eligible to Sign Nominating Petitions and Vote.** Persons eligible to sign nominating petitions and vote in the election must be members of the University Faculty (i.e., a member of the faculty body of one of the colleges) who are in a status of regular, full-time employment in the Regular Title Series, Special Title Series, Extension Title Series, Librarian Title Series, Clinical Title Series<sup>4</sup> or Research Title Series<sup>5</sup> at or above the rank of Assistant Professor, including tenured phased retirement faculty, but must not be appointed to an administrative position at/above the level of department chair<sup>6</sup> or assigned a 50% or greater administrative assignment. A list of University Faculty members eligible to vote is posted at the University Senate web site for this election (click [here](#)).

**C. University Faculty Members Who are Eligible to be Elected.** Under current state law and University regulations, persons eligible to be elected must be members of the University Faculty (i.e., a member of the faculty body of one of the colleges), not on phased retirement, who are in a status of regular, full-time employment, and with a rank of Assistant Professor (or its equivalent) or higher, in either the Regular Title Series, Special Title Series, Extension Title Series or Librarian Title Series,<sup>2</sup> but must not be appointed to an administrative position at or above the level of department chair<sup>6</sup> or assigned a 50% or greater administrative assignment. A list of University Faculty members eligible to be elected is posted at the University Senate web site for this election (click [here](#)).

The posted lists of persons eligible to vote and eligible to be elected are based on a report drawn from the Faculty Data Base by UK Analytics & Technologies, and final lists were provided to each college dean for corrections.

The election of a representative of the University Faculty to the UK Board of Trustees is an important authority afforded directly to the members of the University Faculty through state law enacted by the Kentucky Legislature. All individuals eligible to participate in any of the above election capacities are strongly urged to do so to ensure the quality of the election and thereby maximize the stature and presence of the chosen University Faculty representative on the Board of Trustees.

**Davy Jones, Co-Chair, Senate Rules and Elections Committee**  
**Joan Mazur, Co-Chair, Senate Rules and Elections Committee**  
**Roger Brown, Chair, SREC Elections Subcommittee**

- <sup>1</sup> KRS 164.130(3); web link: <http://www.lrc.ky.gov/Statutes/statute.aspx?id=45398>
- <sup>2</sup> GR II.A.2.b(1); web link: <http://www.uky.edu/Regs/files/gr/gr2.pdf>
- <sup>3</sup> Senate Rule 1.4.2.1; click this [link](#)
- <sup>4</sup> Admin. Reg. 2:6, web link: <http://www.uky.edu/regs/files/ar/ar2-6.pdf>
- <sup>5</sup> Admin. Reg. 2.5, web link: <http://www.uky.edu/regs/files/ar/ar5-2.pdf>
- <sup>6</sup> HRPP 4.0, web link: <http://www.uky.edu/hr/policies/employee-status>; reviewed in detail [here](#), but summarized briefly below.

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**Brief Summary by the SREC of Status of Faculty Members Who are Administratively Appointed to Positions At/Above the Level of Department Chair:**

State law reserves to “the Faculty” the power to recommend candidates for degrees to the Board of Trustees. With regard to the election of faculty trustees, state law says,

“The two (2) University of Kentucky faculty members shall be of the rank of assistant professor or above. They shall be elected by secret ballot by all University of Kentucky faculty members of the rank of assistant professor or above.”

The Commonwealth’s Attorney Generals have interpreted these laws to mean that “faculty members” are those who perform teaching and research, rather than duties of an administrative nature, more than 50% of their working time.

Until 2009, the Board of Trustees required the University to use DOEs to determine who were “faculty members” in the above sense of the law. However, serious problems arose in using DOEs:

- The chair of the University Senate’s Rules & Elections Committee had to examine the DOE of every potentially eligible employee to ascertain their primary duties.
- Some administrators, upon being informed of the 50% threshold for voting eligibility, changed their DOE from 50% or more administration to 49.9%, with no corresponding change in their duties.
- Some department chairs’ DOEs listed 0% administrative duties.

As a result of these problems and in order to more closely adhere to the intent of the state law, the

Senate Council, President, Provost, and deans agreed in 2009 that the University should henceforth determine voting eligibility by hierarchical rank, and that, for purposes of determining voting eligibility under the state laws mentioned above, “faculty members” must be those in a rank below the level of department chair or director. The Board of Trustees and the President subsequently incorporated this new policy into the University’s Governing and Administrative Regulations.

Everyone understands that most department chairs, especially those in colleges where the tradition is to serve a short time as chair and then return to the ranks of the “regular” faculty, think of themselves as faculty first and administrators only incidentally. Nevertheless, the University and its components must adhere to state law, which requires that they ascertain each faculty member’s “primary duties,” and the collective decision of the University’s policy-making bodies (both faculty and administrative) in 2009 was that hierarchical rank was superior to DOE for this purpose. The question then became, where should the University’s regulations draw the line between “regular” and “administrative” faculty for the purpose of determining eligibility to vote in matters reserved by state law to “the Faculty?” Because a chair has significant authority over faculty in his or her unit, and because the chair’s position depends on higher administrators, the administration and the Senate agreed that, for the purpose of election of a Faculty Member to serve on the Board of Trustees, and as Faculty Senator to serve in the University Senate, it was more appropriate to group chairs with administrators rather than with regular faculty.

See [here](#) for a more detailed description of the process by which the current situation developed. It also lists the many faculty privileges that chairs, deans, and other faculty administrators otherwise still retain.