POLICIES & PROCEDURES of the DEPARTMENT OF MODERN & CLASSICAL LANGUAGES, LITERATURES & CULTURES Approved by the MCLLC Faculty on 1/22/20

I. The Department Structure

A. THE DEPARTMENT

B. OFFICERS / ADMINISTRATIVE POSITIONS

- 1. CHAIR
- 2. ASSOCIATE CHAIR
- 3. ADMINISTRATIVE DIRECTOR OF UNDERGRADUATE STUDIES
- 4. ADMINISTRATIVE DIRECTOR OF GRADUATE STUDIES
- 5. MAX KADE HOUSE DIRECTOR
- 6. COORDINATOR OF STUDENT TEACHING FOR MATWL/MATESL
- 7. TA COORDINATORS
- 8. CONVENERS
- 9. ADMINISTRATIVE POSITION NOMINATION AND APPROVAL PROCESS

C. COMMITTEES

- 1. EXECUTIVE COMMITTEE
- 2. GRADUATE COMMITTEE
- 3. UNDERGRADUATE COMMITTEE
- 4. FLIE STEERING COMMITTEE
- 5. WORKING GROUPS
- D. BUDGET

II. Hiring, Merit Evaluation, Tenure, and Promotion

- A. PROCEDURES FOR NEW APPOINTMENTS (HIRING)
- **B. PROCEDURES FOR MERIT EVALUATION**
 - 1. FMER PROCESS
 - 2. TEACHING PHILOSOPHY STATEMENTS
 - 3. NARRATIVE COURSE EVALUATIONS
 - 4. NARRATIVE COURSE EVALUATIONS FOR LARGE CLASSES
- C. PROCEDURES FOR RECOMMENDING TENURE AND PROMOTION

III. Other Policies and Procedures

- A. THE DEPARTMENT MEETING
- B. TAs
- C. STUDENT PARTICIPATION
- D. ADVISING
- E. OTHER POLICIES & PROCEDURES
- F. AMENDMENTS

1		POLICIES & PROCEDURES	
2		of the	
3		DEPARTMENT OF MODERN & CLASSICAL	
4	LANGUAGES, LITERATURES & CULTURES		
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7	I. 7	The Department Structure	
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9	Ch	air, Associate Chair, Administrative Director of Undergraduate Studies, Administrative	
10	Director of Graduate Studies, Max Kade House Director, Coordinator of Student Teaching for		
11		ATWL/MATESL, and TA Coordinators	
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13	A.	THE DEPARTMENT	
14			
15	1.	The Department of Modern and Classical Languages, Literatures and Cultures (MCLLC) is	
16		composed of ten language-based tracks: Arabic and Islamic Studies, Chinese Studies,	
17		Classics (Greek and Latin), French and Francophone Studies, German Studies, Hebrew,	
18		Italian, Japan Studies, Russian Studies. A Bachelor of Arts and a Bachelor of Science and a	
19		minor are currently offered in MCL/Arabic and Islamic Studies, Chinese Studies, Classics,	
20		French and Francophone Studies, German Studies, Japan Studies, and Russian Studies. A	
21		minor only is offered in MCL/Italian Studies and in Folklore and Mythology. Hebrew is a	
22		constituent part of the Jewish Studies interdisciplinary program. A Bachelor of Arts and a	
23		Bachelor of Science in Foreign Language and International Economics are offered in	
24		cooperation with the College of Business and Economics. MCLLC also offers a Master of	
25		Arts Degree in Classics, French and Francophone Studies, German Studies, Teaching World	
26		Languages (TWL), and Teaching English as a Second Language (TESL) in cooperation with	
27		the College of Education as well as graduate certificate programs in Latin Studies and in	
28		Teaching English as a Second Language. The current arrangement does not preclude the	
29		creation of new programs or degrees in the future.	
30	2.	The faculty consists of the Chair and all Department faculty who hold the rank of Professor,	
31		Associate Professor, Assistant Professor, or Lecturer. In addition, the Department may	
32		extend membership in the faculty, with or without voting privileges, to visiting professors,	
33		instructors, or any person assigned to the Department for administrative work, teaching,	
34		research or service. On voting privileges in the Department, see below under Department	
35		Meetings, III.A.2.	
36	3.	MCLLC is administered by a Chair, an Associate Chair, an Administrative DUS, an	
37		Administrative DGS, and an Executive Committee (EC). The Chair is appointed by the Dean	
38		of Arts & Sciences—in consultation with the Department—in accordance with college	
39		procedure. All other administrative positions are appointed by the Chair following	
40		nomination and election by the Department faculty.	
41	4.	The Department embraces the following principles in its governance:	
42		a. Collegiality: the decision-making process should always involve members of the	
43		Department and, where applicable, all administrative bodies.	
44		b. Subsidiarity: decisions on matters affecting only specific programs should be made by the	
45		program working groups and, where applicable, all administrative bodies.	

46 47	c. '	Transparency: matters of policy and the decision-making process must always be open to the knowledge and scrutiny of the Department administrative bodies and the members of
48		the Department.
49 50		CLLC welcomes opportunities for its faculty to teach and otherwise participate in activities other Departments and programs. Obligations to MCLLC must always be given
51		ecedence, and significant work outside MCLLC can only be undertaken after consultation
52	-	th the Chair about teaching resources.
52 53	WI	the Chair about teaching resources.
55 54		FICERS / ADMINISTRATIVE POSITIONS
54 55	D. OF	TICERS / ADMINISTRATIVE I OSTITONS
55 56	1 CF	HAIR (term 4 years, may be renewed for a total of 8 years; the Dean of A&S appoints a
50 57		arch committee of Department faculty, who gather recommendations in open forums or in
58		lividual meetings from Department colleagues on candidates for the position; the search
59		mmittee provides the Dean with a short list of candidates with their qualifications; the
60		ean chooses and appoints a candidate from that list.)
61		an chooses and appoints a candidate nom that ist.
62	Duties	
63	2 00000	
64	a)	Budget;
65	,	Convene monthly Department meetings;
66	c)	
67	,	Department meetings (or, if urgent action is required, via e-mail);
68	d)	Convene biweekly Executive Committee (EC) meetings and give a report of EC meetings
69		at Department meetings (or, if urgent action is required, by e-mail);
70	e)	FMERs;
71	f)	Staff Review;
72	g)	Support letters for grants and sabbaticals;
73	h)	Plagiarism/student issues;
74	i)	Convene Ad hoc committees;
75	j)	Appoint faculty to Departmental committees (standing and ad hoc);
76	k)	Deal with personnel issues;
77	1)	Maintain TRS;
78		Coordinate with HUM Chairs on initiatives, issues of concern;
79	n)	Other duties, as necessary.
80	2 4 9	
81		SOCIATE CHAIR (term 4 years, may be renewed; appointed by the Chair in consultation
82	with th	ne EC and after final approval by vote of Department faculty)
83 84	Dution	
84 85	Duties	
85 86	a)	Schedule building;
80 87	a) b)	MCLLC PR duties (web, Facebook page, announcements on MCLLC PR, to Dean, etc.);
88	c)	Attend Chairs' meetings if Chair is unavailable;
89		Convene faculty meetings, if Chair is unavailable;
90		Convene EC meetings, if Chair is unavailable;
10	0)	convene Le modunge, n'enun is unavanaore,

- f) Serve as point person on gathering information required by EC (as described below under
 Executive Committee).
- 93 3. ADMINISTRATIVE DGS

94 The Administrative DGS is responsible for coordinating and providing resources and support to 95 the graduate programs in the Department (currently, these are Classics, French and Francophone 96 Studies, German Studies, Teaching World Languages, Teaching English as a Second Language 97 as well as graduate certificate programs in Latin Studies and in Teaching English as a Second 98 Language).

99 a) Appointment of the DGS

The recommendation for a DGS is made by the Chair in consultation with the EC, after initial nominations and upon final approval by vote of Department faculty. The DGS is appointed by the Dean of the Graduate School after consultation with the respective graduate faculty and administration in the program. The DGS is normally a tenured faculty member, holding the rank of Associate Professor or above, and must be a full member of the Graduate Faculty. The term of the DGS is three years; it may be renewed. Although the standard term for a DGS is three years, the Department has the option to request an appointment for up to four years if appropriate. For

- example, a four-year DGS term may be requested to coincide with the term of appointment of a
- 108 Department Chair. A DGS who is to be absent from the University for as long as a semester must
- 109 inform the Dean so that a substitute may be appointed.
- 110 b) DGS and the Graduate School
- 111 The DGS reports directly to the Dean of the Graduate School or to the Dean's designee on all
- 112 matters relating to graduate education in the programs. The DGS serves as the focal point for
- 113 dissemination of information from the Graduate School.
- 114 c) Advising and Recruiting
- 115 The DGS serves as general advisor to all graduate students in the Department. There are also
- additional discipline-related advisors for Classics, French and Francophone Studies, German
- 117 Studies, Teaching World Languages, and Teaching English as a Second Language, who are
- 118 appointed by the Chair (in consultation with the EC and the relevant working group). The DGS
- 119 serves as a discipline-related advisor to the graduate students in her/his discipline. The
- 120 discipline-related advisors advise the students on their academic schedules and endorse the
- schedules, as well as guide the students about career opportunities. Furthermore, the additional
- advisors conduct discipline-related recruiting of new students.
- 123 Duties:
- 124
- a) Attend College DGS meetings. Communicate information (both to the Department in general, and to the Graduate Committee in particular) on College DGS meeting news;

- b) Serve as Chair of the Graduate Committee (GC) in the Department. Convene monthly
 meetings of the GC to discuss curricular proposals and issues relevant to the graduate
 programs. Provide meeting minutes from GC meetings for review by faculty before
 Department meetings and report on GC meeting decisions. The GC meets at least once a
 month, the week following Department meetings;
- c) Appoint admissions and funding committees in each of the graduate programs. The DGS
 takes an active role in deliberations in her/his program. The DGS admits/rejects students
 in the Apply Yourself system upon the recommendation of all the admissions
 committees;
 - d) Appoint examination committees. Approve examination requests;
- e) Present approved curricular proposals, both of new programs and of new courses, to the
 faculty at a Department meeting for a vote. Submit proposals for new programs approved
 by the GC and Department faculty to the online system, serve as point person for
 questions on these proposals. Curricular proposals for new courses approved by the GC
 and the Department faculty are submitted to the online system by the faculty who has
 made the proposal;
- f) Manage Blackboard Assessment system for graduate programs. The assessment of each
 graduate program will be done according to the learning outcomes defined by the
- program. Data for each program will be provided by the program in question through theGC member of the program.

147 4. ADMINISTRATIVE DUS

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148 The DUS, together with the Undergraduate Committee (UC), is responsible for coordinating and

149 the undergraduate curriculum and providing resources and support for undergraduate programs

150 in MCLLC. Currently, there are major tracks in Arabic and Islamic Studies (pending), Chinese

151 Studies, Classics, French and Francophone Studies, German Studies, Japan Studies and Russian

152 Studies and minors in all of the above as well as in Folklore and Mythology and Italian Studies.

153 The DUS is also the point person for the Department regarding FLIE majors in the languages

taught in MCLLC (FLIE-Arabic, FLIE-Chinese, FLIE-French, FLIE-German, FLIE-Italian,
 FLIE-Japanese, and FLIE-Russian). In addition, the DUS, together with the UC, is responsible

for issues related to placement, assessment, and transfer equivalencies within the Department and

the courses it offers. All major and minor course/curriculum changes should be submitted to the

158 DUS for consideration by the UC.

a) Appointment of the DUS

160 The Chair appoints the DUS after nomination and election by the MCLLC faculty. The DUS is

161 normally a tenured faculty member, holding the rank of Associate Professor or above. The

162 standard term of appointment for the DUS is four years and may be renewed. Length of

163 appointment may be adjusted at the discretion of the Chair in consultation with the EC.

- 164 b) Advising and Recruiting
- 165 The DUS facilitates upper-division advising for majors in MCLLC. This includes assigning
- advisors to MCLLC primary majors and informing faculty advisors of relevant deadlines and

167 policies regarding the advising process. The DUS coordinates placement for the various

168 language units, ensuring that clear guidelines are in place for each language. The DUS will work

169 with staff in A&S and the University to facilitate recruitment of potential language majors to

170 UK. In addition, s/he will represent the interests of the Department with regard to recruitment

171 activities at the University and the College levels.

- 173
- a) Attend College DUS meetings. Communicate information (via e-mail or in EC and
 Department meetings) on issues relevant to undergraduate programs and curricula;
- b) Serve as Chair of the Undergraduate Committee (UC) in the Department. Convene
 monthly meetings of the UC to discuss curricular proposals and issues relevant to the
 undergraduate programs in MCLLC. Provide meeting minutes from UC meetings for
 review by faculty before Department meetings and report on UC decisions. The UC
 meets at least once a month, the week following Department meetings;
- c) The DUS is the primary liaison with the College regarding undergraduate programs in MCLLC. This includes but is not limited to: approval of overrides and substitutions for undergraduate majors, submission of all courses approved by the UC and Department faculty to the online system, serve as point person for questions on these proposals, equation of all study abroad courses for languages taught in MCLLC (in consultation with representatives from language tracks when necessary);
 - d) The DUS is responsible for assessment of undergraduate major(s). This includes: oversight of data collection and facilitation of required assessment activities, including submission of required reports.
- 191 5. MAX KADE HOUSE DIRECTOR
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As stipulated by the agreement between the Max Kade Foundation and the German Studies program, the Max Kade House Director is a member of the German Studies faculty and is appointed by the Chair in consultation with the EC and after final approval by vote of Department faculty. Length of term is open.

197 198 Duties:

199 200 a) Approve, schedule, facilitate and coordinate events, meetings and classes (year-round). 201 The Director will organize the annual events Oktoberfest, Winterfest, and Bücherfest as 202 well as guest lectures, roundtable discussions, and additional events as needed; use by 203 student organizations, including German Club, French, A&S ambassadors, Arabic Club, 204 Education Abroad, etc.; use by local groups, including Kentucky World Language 205 Association and KY-AATG, the Kentucky chapter of the American Association of 206 German Teachers, and for Department classes or events from other UK 207 programs/departments taking place in the house; 208 b) Recruit residents, process resident applications, hold interviews for resident applicants,

and provide student resident support. Each year eight students live in the house. The
 Director will meet regularly with the students and RA to discuss issues related to the

211 212		house. The Director, in conjunction with the RA, also will maintain a schedule of move in and out dates;
212	()	RA Selection and Oversight. The Resident Advisor is chosen by the Director together
213	0)	with Housing. The Director will meet regularly with the RA in order to maintain the
214		proper environment in the house and to schedule events, classes, etc.;
215	(b	Maintain the physical space. The Director will schedule repairs, approve changes to the
210	u)	building, maintain bedding for the guest apartment, keep the kitchens stocked, purchase
217		and set up printers for the computer lab, maintain library, purchase furniture, TVs, etc.
218		Funding for these purchases is provided primarily by the Friends of the Max Kade
219		German House account and occasionally by the Max Kade German House Rental
220		Account at the discretion of the Director;
221	2)	Maintain schedule for guest apartment for visiting scholars (year-round). The apartment
222	e)	
223 224		regularly has between 5-10 guests per year with stays ranging from a long weekend to 8
224 225		months.
	6 00	ORDINATOR OF STUDENT TEACHING FOR MATWL/MATESL
226 227	0. CO	JRDINATOR OF STUDENT TEACHING FOR MATWL/MATESL
227	The ee	ordinator of the student teaching for MATWL/MATESL is appointed by the Chair in
228 229		tation with the EC and after final approval by vote of Department faculty. Length of term
229		
230 231	is oper	1.
231	Duties	
232	Duties	
	a)	Arrange for student placement in schools:
234	,	Arrange for student placement in schools; Teach MCL 601 each spring for all students in MATWI /MATESI :
234 235	b)	Teach MCL 601 each spring for all students in MATWL/MATESL;
234 235 236	,	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6
234 235 236 237	b)	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6 students in a given iteration, course equivalencies are calculated as for other faculty (see
234 235 236 237 238	b) c)	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6 students in a given iteration, course equivalencies are calculated as for other faculty (see description below);
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234 235 236 237 238 239 240	b) c)	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6 students in a given iteration, course equivalencies are calculated as for other faculty (see description below); Arrange for supervision by Department faculty of student teachers beyond 6; Report on the status of or developments in the programs at monthly Department
234 235 236 237 238 239 240 241	b) c) d) e)	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6 students in a given iteration, course equivalencies are calculated as for other faculty (see description below); Arrange for supervision by Department faculty of student teachers beyond 6; Report on the status of or developments in the programs at monthly Department meetings;
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234 235 236 237 238 239 240 241 242 243 244 245 244 245 246 247 248 249 250 251	b) c) d) e) f) As per load, s member receive studen the fol	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6 students in a given iteration, course equivalencies are calculated as for other faculty (see description below); Arrange for supervision by Department faculty of student teachers beyond 6; Report on the status of or developments in the programs at monthly Department meetings; Represent MATWL/MATESL at regular Teacher Education Program meetings in COE or ESB meetings (when required) and ensure that programs are in compliance with ESB regulations.
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234 235 236 237 238 239 240 241 242 243 244 245 244 245 246 247 248 249 250 251	b) c) d) e) f) As per load, s memboreceive studen the fol grantee DOE.	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6 students in a given iteration, course equivalencies are calculated as for other faculty (see description below); Arrange for supervision by Department faculty of student teachers beyond 6; Report on the status of or developments in the programs at monthly Department meetings; Represent MATWL/MATESL at regular Teacher Education Program meetings in COE or ESB meetings (when required) and ensure that programs are in compliance with ESB regulations. • (c) above, if a faculty member teaches TSL 597/697 as part of his/her regular teaching upervision of 6 students will be considered equivalent to a three-credit course. Faculty ers who supervise student teachers (but do not teach MCL 601 or TSL 597/697) will e either \$900 compensation per student supervised and/or a course release for every 6 ts supervised. The latter is cumulative, so that one might supervise a student one AY, two lowing AY and three the following, for a total of six, after which a course release will be d for the next AY. Such responsibilities will be reflected in the FMER and as part of the The faculty who supervised student teachers will be recompensed retroactively as well
234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253	b) c) d) e) f) As per load, s memboreceive studen the fol grantee DOE.	Teach MCL 601 each spring for all students in MATWL/MATESL; Supervise six student teachers each round of MCL 601. If MCL 601 does not contain 6 students in a given iteration, course equivalencies are calculated as for other faculty (see description below); Arrange for supervision by Department faculty of student teachers beyond 6; Report on the status of or developments in the programs at monthly Department meetings; Represent MATWL/MATESL at regular Teacher Education Program meetings in COE or ESB meetings (when required) and ensure that programs are in compliance with ESB regulations. • (c) above, if a faculty member teaches TSL 597/697 as part of his/her regular teaching upervision of 6 students will be considered equivalent to a three-credit course. Faculty ers who supervise student teachers (but do not teach MCL 601 or TSL 597/697) will e either \$900 compensation per student supervised and/or a course release for every 6 ts supervised. The latter is cumulative, so that one might supervise a student one AY, two lowing AY and three the following, for a total of six, after which a course release will be d for the next AY. Such responsibilities will be reflected in the FMER and as part of the

256 7. TA COORDINATORS

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- 258 There are TA coordinators in Classics, French, Chinese, Arabic, and German who are
- responsible for the supervision, work and training of the teaching assistants in these disciplines.
- 260 Faculty members receive credit for this task as part of their teaching distribution. TA
- 261 coordinators do initial assignments of TA duties each year, but should a TA-led course be
- 262 cancelled, the EC, in consultation with the disciplinary TA coordinator, will assign TAs as
- 263 needed into other duties necessary to the smooth functioning of MCLLC. Preference will be
- given to duties congruent to the TA discipline, if possible, and with consideration of TA skills.Should additional graduate programs be added, additional TA coordinators, if needed, may be
- appointed. By each April 15, the TA coordinators will submit a report to the Executive
 Committee and his/her respective working group outlining what was accomplished that academic
- year, plans for the following year and/or long term vision. This report should include information
 on enrollment trends, feedback on materials used (from students and TAs), and documentation of
 work plans, innovations, etc.
- 271
- 272 8. CONVENER
- One person will serve as convener for each working group (see I.C.5. for information on working groups). The Convener of each working group is named annually by the Chair after consultation
 with faculty in each working group. The incumbent convener may be reappointed to successive terms.
- 278 279 Duties:
- 279
- 280 281 a) Calling regular meetings of the working group, announcing meeting times and 282 locations and distributing agendas to the full-time faculty at least a week prior to the 283 meeting, and posting meeting minutes to the Department Sharepoint site within a 284 week after the meeting: 285 b) Coordinating discussions of curricular issues and innovation; 286 c) Coordinating advertising/outreach regarding programs/courses in the working group; d) Facilitating (in collaboration with the ADUS and working group members) advising 287 288 for juniors and seniors; 289 e) Facilitating (in collaboration with the ADUS and working group members) 290 assessment procedures; 291 f) Other necessary tasks as determined at Department Meetings. 292 293 The Chair will meet with all Conveners at the beginning of each academic year to outline 294 Department goals for the year for the working groups. The Convener will be responsible for 295 streamlining the efforts of the working group to meet these goals in a timely fashion. 296 297 9. ADMINISTRATIVE POSITION NOMINATION AND APPROVAL PROCESS 298 299 Nominations for any of the positions from 1-6 or for the at-large EC members (see I.C.1. for 300 information on the composition of the Executive Committee) will be taken from the Department faculty once an opening in a given position is announced at Department meetings and on 301 302 Polyglot. Self-nominations are possible. The Chair will ensure that the candidates nominated by

303	another faculty member are willing to accept the position before the EC proceeds with discussion
304	of the candidates. The EC will consider the person's credentials for the position and present a
305	slate of candidates with an outline of their qualifications in an email at least a week prior to the
306	Department Meeting. Candidates will be invited to add their own statement to include with the
307	email. The candidates' qualifications as outlined in the email will be discussed (candidates for a
308	position will be excused during the discussion of that position) at the Department Meeting. The
309	EC will determine the date for an anonymous vote on the slate; the date will be announced at the
310	Department Meeting and via email to all voting faculty. Voting faculty will have at least one
311	week to submit their votes to the Department Manager after the slate has been discussed. The
312	votes will be collected and tabulated by the Department Manager, who will communicate the
313	results to the EC. The Chair will announce the results of the voting to the faculty within a week
314	after the votes have been tabulated. The candidate with the majority of votes will be appointed
315	by the Chair.
316	
317	C. COMMITTEES
318	

Service on any of these committees will be reflected in the DOE (at a level commensurate with
the effort) and assessed as part of the FMER and/or in promotion dossiers. It is expected that
untenured faculty will not serve on these committees, due to the demands of such service.

322

323 1. EXECUTIVE COMMITTEE

324

The EC is composed of the following members: 1) Chair; 2) Associate Chair; 3) ADGS; 4) ADUS; 5) three at-large members (elected by the faculty for a two-year term; may be reelected for a maximum of two consecutive terms; may be reelected after a hiatus of two years; see I.B.9 for election procedures). Chaired by the Department Chair.

329

330 Duties:331

- a) Meet bi-monthly to discuss Department issues, college matters from the Chairs' meeting
 or other sources, make proposals regarding curricular initiatives, Departmental programs
 (Associate Chair serves as point person to gather data on these issues from colleagues, as
 needed), consider candidates for administrative positions;
- b) Chair gives EC reports at each faculty meeting;
- c) Consider budget requests (as detailed below in Section D);
- d) FMER review (ADGS/ADUS excepted from this task due to other duties);
- e) Take meeting minutes at each Department meeting and make them available to the
- faculty for approval at the next Department meeting. Minute taking rotates through the
 EC members; minutes are posted on the MCLLC SharePoint site.
- 342
- 343 2. GRADUATE COMMITTEE
- 344
- 345 The Graduate Committee is chaired by the DGS. One faculty member from each current
- 346 graduate program serves on the committee. Members of the GC are appointed by the Chair in
- 347 consultation with the EC and all relevant graduate program faculty. Should the graduate
- 348 programs grow significantly beyond the current number of five, then a limit similar to the UG

349 committee may be placed on the GC. Normally, the members of the GC from each discipline

- 350 serve as additional advisors and recruiters for their discipline. The GC meets monthly at the
- designated Departmental common meeting time, as per III.A.3, one week after the Department
- 352 meeting. Additional meetings may be called as required by the DGS.
- 353
- 354 Duties: 355
- 356 a) Review curricular proposals (for new graduate courses, new graduate programs, changes 357 in existing graduate courses, and changes in existing graduate programs) submitted by 358 working groups or the Department meeting. New curricular proposals should be submitted electronically to the DGS a minimum of one week prior to scheduled GC 359 meetings. Proposals will be considered in the order they are received. The curricular 360 proposals should be ready for submission to A&S and the Graduate Council at the time 361 362 they are submitted to the GC. The GC is not responsible for writing or editing proposals. For course proposals, it is the submitter's responsibility to ensure that the syllabus 363 364 adheres to all Senate guidelines.
- 365 b) Review will consist of a discussion of the pedagogical, academic, and logistical merits of each proposal. In order for a curricular proposal to be approved by the GC, more than 366 half of the members need to vote "yes." Concerns will be communicated directly to the 367 368 submitting faculty member within 48 hours of the GC meeting. A proposal approved by 369 the GC will be placed on the agenda for the next Department meeting and submitted to 370 the faculty as a whole. The proposal will be presented by the DGS with opportunities for 371 the submitting faculty to comment. Proposals that have not been approved by the GC will 372 not be considered by the Department as a whole;
- 373 c) Assessment: Each member of the GC assists the DGS in the graduate assessment by 374 providing the necessary information about their own program. The DGS requests the 375 needed information from the GC members. By 1 December the GC committee member of 376 each program, upon consultation with the program faculty, communicates to the DGS the 377 learning outcome to be assessed, and the assessment methods and benchmarks. By April 30 of the same academic year, the GC committee member of each program 378 communicates to the DGS the results data, analysis, and improvement action, as well as 379 380 reflection on previous improvement action.
 - d) Organize advertising, outreach and recruitment for MCLLC programs/courses;
 - e) Discuss issues related to graduate programs at monthly meetings;
- f) Take meeting minutes at each GC meeting. Minute taking rotates through the GC
 members in alphabetical order. The GC chair is responsible for communicating the
 content of the monthly meetings to colleagues in the faculty as a whole by submitting
 meeting minutes for review via SharePoint and making reports at monthly Department
 meetings;
- 388

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389

390 3. UNDERGRADUATE COMMITTEE

The Undergraduate Committee (UC) is chaired by the DUS. The committee will consist of five
members including the chair. Members of the UC shall be appointed by the Chair in consultation

394 with the EC and shall include members of the Department active in teaching and advising in the

undergraduate program. The UC meets monthly at the designated Departmental common, as per
 III.A.3., meeting time one week after the Department meeting. Additional meetings may be
 called as required by the DUS.

398

399 Duties:400

401 a) Review curricular proposals (for new undergraduate courses, new undergraduate 402 programs, changes in existing undergraduate courses, and changes in existing 403 undergraduate programs) submitted by working groups or the Department meeting. 404 Proposals should be submitted electronically to the DUS a minimum of one week prior to 405 scheduled UC meetings. Proposals will be considered in the order they are received. New course proposals and curricular changes should be ready for submission to A&S and the 406 407 UG Council at the time they are submitted to the UC. The UC is not responsible for 408 writing or editing proposals. It is the individual faculty member's responsibility to make 409 sure the syllabus adheres to all senate guidelines. Proposals for new programs and 410 changes to existing programs or courses will be considered in the same manner as 411 individual courses;

- 412 b) Review will consist of a discussion of the pedagogical, academic, and logistical merits of 413 the proposal(s). Conflicts with existing courses in MCLLC and/or A&S will be 414 addressed. Concerns will be communicated directly to the submitting faculty member 415 within 48 hours of the UC meeting. Proposals approved by the UC will be placed on the agenda for the next MCLLC Department meeting and submitted to the faculty as a whole. 416 417 The proposal will be presented by the DUS with opportunities for the submitting faculty 418 to comment. Proposals that have not been approved by the UC will not be considered by 419 the Department as a whole;
- 420 c) Assessment: In the fall semester of each year, the UC will identify a Learning Outcome 421 to assess within the MCLLC major. Faculty teaching relevant courses will be notified in 422 the fall regarding the gathering of assessment data in the spring. This will allow faculty 423 the opportunity to adapt syllabi and make other changes to their courses as required by 424 the assessment process. The UC will process the assessment data with the help of an ad hoc assessment committee chosen by the EC. The final assessment report will be written 425 426 and submitted electronically by the DUS. To the extent possible, assessment data will be 427 gathered from MCL 495, MCL 100 and MCL 200. Assessment results will be reported to 428 the faculty in the fall. All faculty will have an opportunity to comment on the results. 429 Each working group should submit a plan of action based on the results from the previous 430 year's iterations of MCL 495 to the UC each fall. Any improvement plans emerging from 431 assessment data will be developed by the UC and vetted with the Department as a whole.
- 432 d) Each fall the UC will summarize data from the MCL 495 senior survey and proficiency
 433 tests. The UC will forward the information to the Executive Committee by the end of
 434 September for discussion and dissemination to the department and/or working groups.
- 435 e) Organize advertising, outreach and recruitment for MCLLC programs/courses;
- 436 f) Discuss issues related to undergraduate programs at monthly meetings;
- g) Take meeting minutes at each UC meeting. Minute taking rotates through the UC
 members in alphabetical order. The DUS is responsible for communicating the content of
 the monthly meetings to colleagues in the faculty as a whole by submitting meeting
 minutes for review via SharePoint and making reports at monthly Department meetings.

441

442 4. FLIE STEERING COMMITTEE

443

444 The FLIE Steering Committee is formed by representatives from the Departments of Economics, 445 Hispanic Studies, and Modern and Classical Languages, including faculty from all seven 446 languages currently involved in the program. It is to be composed of one representative from 447 Economics, one representative from each language track in the major, and the chairs of MCLLC 448 and HS. The department faculty in each of the three departments (ECON, HS, MCLLC) vote on 449 a representative for this committee from among their constituencies for a two-year term. Terms 450 may be renewed indefinitely, if the majority of faculty in the representative's home department 451 vote in favor of reappointment. The committee elects a coordinator to serve a four-year term from among its membership. The FLIE coordinator's position may be renewed a maximum of 452 453 one time. The FLIE coordinator serves as the de facto Director of Undergraduate Studies for this 454 interdisciplinary program. 455

456 Duties:

- 457
- a) Review curricular proposals submitted by working groups or the Department meeting (or
 from Economics or Hispanic Studies);
- b) Present approved curricular proposals to the faculty at a Department meeting for a vote;
- 461 c) Discuss issues related to the FLIE program at regular meetings;
- d) Members take meeting minutes at each FLIE meeting and make them available to the
 faculty for approval at the next Department meeting. Minute taking rotates through the
 FLIE members;
- e) The FLIE chair is responsible for communicating the content of the FLIE Committee
 meetings to colleagues in the faculty as a whole by submitting meeting minutes for
 review via SharePoint and making reports at monthly Department meetings.
- 468
- 469 5. WORKING GROUPS

470

471 The Department will have a series of standing programmatic groups ("working groups"), as 472 established by the faculty as a whole, e.g., language areas, such as German, Japanese, Italian, 473 etc., and topical areas (e.g., literary studies, cultural studies, film studies, folklore and 474 mythology, etc.). New working groups may be initiated in consultation with the Chair and EC. 475 The vitality of the Department of MCLLC is based on our values of collegiality, subsidiarity, and 476 transparency (see I.A.4.). Our system of governance is built upon the premise (and past 477 experience) that MCLLC faculty in a given language track are engaged in the program and are 478 essential to its success, but are also part of a larger group represented by MCLLC in its entirety. 479 If a Department faculty member teaches a course that counts toward credit in a given language 480 track, s/he is a member of a working group by default. The continued success of the Department 481 and its programs depends on a commitment to active participation by all faculty teaching in a 482 given track represented by the working group. We share a common vision to advance the 483 understanding and appreciation of language and cultural studies, but also share a respect for each 484 other's academic expertise. Any Department faculty member may attend any working group 485 meeting and offer input on the discussion. However, the working group serves as the impetus for

486 487	and functions as the steering group of the relevant language track in the MCL major. The Convener and members of each working group are responsible for the following duties:
488	
489	a) Supervise and approve innovations or revisions of curriculum, including the course
490	schedule (in consultation with the Associate Chair, taking into account the
491	Department four-year plan) as well as preparation for submission of necessary forms
492	for curricular proposals for submission to the UC/GC;
493	b) Coordinating advertising/outreach regarding programs/courses in the working group;
494	c) Facilitating (in collaboration with the ADUS and working group members) advising
495	for juniors and seniors;
496	d) Facilitating (in collaboration with the ADUS and working group members)
497	assessment procedures;
498	e) Coordinating track activities, e.g., lecture series, film showings, student
499 500	organizations;
500	f) Providing information on the working group program at the request of the Chair,
501	Department Meeting, ADUS, or ADGS;
502	 g) Evaluating budget requests from working group members; b) Other management to be an electronic and statement of the sta
503	h) Other necessary tasks as determined at Department Meetings.
504	The day to day duties manipusly performed by Division Directors, Directors of Undergraduate
505	The day-to-day duties previously performed by Division Directors, Directors of Undergraduate Studies, Directors of Graduate Studies must be shared equitably among all program faculty. A
506 507	Convener or Department member performing necessary tasks outlined above is not the de facto
508	DDs or DUS/DGSs. Any such service tasks undertaken for the working group will be reflected in
508 509	the DOE and in FMERs.
510	
510	When issues relevant to a particular working group arise, the Convener may call a meeting to
512	address them or may discuss issues using electronic media. The convener must ensure that all
512	members of a working group have the opportunity to participate in discussions about issues
514	relevant to the working group either in person or via electronic media. Conveners must call a
515	working group meeting at least once per semester at a minimum. As per III.A.3, working group
516	meetings should be held at the common Department meeting time, so that all members of a
517	working group may participate. If a member of a working group is unable to attend a scheduled
518	meeting, minutes and documents should be made available electronically within 24 hours of the
519	meeting, so that s/he may offer input on matters under consideration within 48 hours after receipt
520	of the materials. Alternatively, a faculty member may approach the Chair to request such a
521	meeting; the Chair will then ask the Convener to arrange the meeting. If the need for working
522	group meetings is determined at Department Meetings or in EC meetings, Conveners will be
523	directed to set a time for the meeting. Meeting times, locations, and agendas should be
524	communicated to the faculty via Polyglot with at least a week's notice. Minutes should be taken
525	by an attendee (determined on an ad hoc basis at each meeting) and made available to the faculty
526	on the Department SharePoint site within a week after the meeting. The Convener may be asked
527	to present a report at the Department Meeting or to the Undergraduate Committee or Graduate
528	Committee if the issues discussed are of importance to the Department as a whole or were the
529	result of a request from the Undergraduate Committee/ADUS or Graduate Committee/ADGS.
530	
531	

532	
532 533	D BUDGET
	D. BUDGET
534	
535	The MCLLC budget is currently based on allocations from the College of Arts and Sciences as
536	well as on summer profits. These funds cover operating expenses for the Department, including:
537	
538	1) Office supplies
539	2) Copying and printing
540	3) Mailing costs
541	4) Faculty Professional Development
542	5) Student receptions (e.g., annual graduate student reception, annual undergraduate awards
543	ceremony)
544	6) Support for events of interest to MCLLC organized by other Departments
545	7) Memberships and subscriptions (e.g., MLA, CAMWS, APA, Russian Life).
546	8) Support for conference fees or conference travel for TAs (e.g., annual KWLA
547	conference)
548	9) Program allocations
549	10) Other expenses
550	
551	1) Office Supplies:
552	
553	The Department maintains a supply of materials needed for faculty and graduate student teaching
554	assistants for educational and research purposes. If a "special order" item is needed, it may be
555	requested from the Department Manager, who will get approval from the Chair before
556	purchasing the item.
557	
558	2) Copying and Printing:
559	-)F)88-
560	Each faculty member and graduate student has access to the shared printer. Each person is
561	allowed from 5,000 to 9,000 copies (based on course size; total allotment to be announced to the
562	teaching faculty each fall) per year for educational or research purposes. If a Department
563	member exceeds that amount, s/he must reimburse the Department for all additional copying or
564	printing costs.
565	printing costs.
566	3) Mailing Costs:
567	5) Maning Costs.
568	The Department will cover all costs related to mailing necessary to conduct the business of the
569	Department. Costs for mailing other items will not be covered, and reimbursement may be
570	requested in these cases.
570 571	requested in these cases.
571 572	(1) Equilty Professional Davelonment:
	4) Faculty Professional Development:
573 574	Professional Davalonment funds are allocated annually for each full time faculty merchan
574 575	Professional Development funds are allocated annually for each full-time faculty member (without start up funds or an andowment that may be accessed for professional development
	(without start-up funds or an endowment that may be accessed for professional development
576 577	expenses) based on available funds. Faculty members may use Professional Development Funds
577	for: travel to scholarly conferences; research trips; purchase of materials for research or teaching;

- 578 travel necessary for administrative duties, e.g., as editor of a journal, officer in a national 579 organization, or the like. To make use of the Professional Development funds, a faculty member 580 must make a formal request to the Chair (copied to the Department Manager) including a 581 description of the proposed use for the funds and an estimated budget. 582 583 5) Student receptions: 584 585 The ADGS, ADUS or working group will submit a budget for the proposed event to the Chair, 586 who will approve the funds after consultation with the Department Manager on their availability. 587 588 6) Support for events of interest to MCLLC organized by other departments: 589 590 The Chair will determine if there are funds to support requests and the amount of the request 591 (average MCLLC support for such events is \$100-250) after consultation with the EC about the 592 value of the event to the Department and its programs. 593 594 7) Memberships and subscriptions: 595 596 Should a member of the Department determine that it would benefit the Department to join an 597 organization and/or subscribe to a journal, s/he should write a budget request including a 598 justification for the request, outlining the advantages to the Department and its programs, and 599 submit it to the Chair. The Chair and the EC will determine if the Department will cover the 600 expenses for this request. 601 602 8) Program Allocations: 603 604 Each active program will be allocated an equal portion of the operating expenses. These funds 605 will be used for public events, visiting scholar support, undergraduate/graduate student or club 606 events, purchase of teaching materials, e.g., films, books, audio, etc., and the like. If a member of 607 a working group would like to make use of the funds, s/he should s/he should write a budget 608 request including a justification for the request, outlining the advantages to the Department and 609 its programs, for discussion in the working group. If the working group supports the use of the 610 funds, then the request should be submitted to the Chair. For amounts less than \$100, the Chair may approve to use of the funds without consulting the EC. For all requests above \$100, the 611 612 Chair and the EC will determine if the Department will cover the expenses for this request. 613 614 9) Support for Conference Fees: 615 The Department will cover fifty percent of the conference registration fee for MCLLC graduate 616 617 students. A graduate student who has been invited to present at a conference may also request 618 travel support from the Chair. The Chair will determine if there are available funds for this 619 purpose. 620
- 621 10) Other Expenses:
- 622

- 623 Purchases in this category are generally one-time expenses unrelated to any of the purposes
- 624 outlined above. These funds may not be used for materials that should be purchased using start-
- 625 up funds. The faculty member should submit a request to the Chair. For amounts less than \$100,
- the Chair may approve to use of the funds without consulting the EC. For all requests above\$100, the Chair and the EC will determine if the Department will cover the expenses for this
- 627 \$100, the Chair and the EC will determine if the Department will cover the expenses for this 628 request.
- 628 629
- 630 11) Development Accounts and Endowments:
- 631

632 Per University regulations, the Department Chair signs off on all expenses from the

- 633 Department's Development Accounts and Endowments. Each working group determines the
- allocation and amount of funds to be distributed from its Endowment(s) or Development
- 635 Account for support of its activities or for student scholarships. The Convener of the working
- 636 group informs the Department Chair and Department Manager, in writing, of its decisions
- regarding allocation. The request for allocation of funds is then approved by the Chair and
- 638 submitted to the College Integrated Business Unit. All allocations must conform to the
- 639 specifications for the Endowment or to the University regulations for spending from
- 640 Development Funds. Distribution of funds from the Friends of the Max Kade House accounts is
- 641 addressed in section 1.A.5(d).
- 642 643
- 644 II. Hiring, Merit Evaluation, Tenure, and Promotion645
- 646 (See University Administrative Regulations AR II-1.0-1, AR II-1.0-5, & AR II-1.0-10 for detailed
 647 information)
 648

649 A. PROCEDURES FOR NEW APPOINTMENTS (HIRING)

- 650
- 651 1. Ultimate responsibility for recommending new appointments to the Dean is vested in the Chair. Due to the unique nature of the Department, it is of the utmost importance for 652 procedure and collegial decision-making to be observed throughout any hiring process. Only 653 654 the Department Chair is authorized to speak to the Dean regarding hiring in the Department, 655 and may do so only with the consensus of the Department faculty expressed in a vote. The Department as a whole will meet every year to consider hiring plans and priorities. The 656 657 ranking of hiring priorities will be determined through a vote of the Departmental faculty. Ballots will be submitted that list in rank order the hiring priorities. A tally of the ballots will 658 659 produce the ranked list that is the hiring plan. No hiring plan can be forwarded to the College without approval by a vote of the entire Department faculty. Prior to forwarding hiring 660 recommendations to the Dean, the procedures set forth below shall be followed. 661 a. Upon approval of the appointment(s) by the Dean, the Department Chair, in consultation 662 663 with the EC (see I.C.1.) shall appoint the search committee(s) and committee chair(s) to 664 seek out and screen applicants for the position(s). The search committee chair shall
- 665 preside over the work of the search committee.
- b. After considering the applications, the search committee shall recommend inviting two or more applicants to campus as time and resources permit.

668	с.	After candidates have interviewed, the search committee ranks the candidates. This
669		recommendation is then brought before the meeting of the whole Department.
670	d.	The chair of the search committee then presents the recommendations of the committee.
671		All Departmental faculty eligible to vote will cast ballots in order to recommend to the
672		Chair whom to appoint to the position. If a Department meeting is not feasible, an
673		electronic ballot by all those eligible to vote shall be used.
674	e.	
675	0.	recommendations about interview invitations or new appointments may cast absentee
676		votes on hiring questions. Such absentee votes must be in writing and transmitted, either
677		in hard copy or by e-mail, to the Department Chair prior to the Department meeting.
678	f.	
	1.	Based on the results of the balloting, the Chair will formally request the hire from the
679		Dean, and the process will continue according to college procedures from this point on.
680	g.	
681		hired in other departments, etc.) may be proposed in different ways from a variety of
682		sources, including the Dean, other departments, and programs or members of MCLLC.
683		Such matters often require great care and tact.
684		i. In all cases, the Chair and the EC will meet to determine the best way in
685		which to proceed in each individual case. Prior to a vote of the Department
686		faculty on inviting the proposed hire to campus, no member of the
687		Department may approach any member of the College central administration
688		on the matter, except for the Department Chair.
689		ii. Should, after preliminary considerations, the proposed hire be allowed to
690		proceed, the relevant program and the executive committee will first review
691		the candidate's credentials and determine whether to extend an invitation for
692		an on-campus appearance, which may run a continuum from a simple lecture
693		to an actual interview, depending on the individual case. Upon a favorable
694		recommendation from the program and EC, the Department as a whole will
695		vote to approve the invitation, either at a meeting or via electronic ballot.
696		(The candidate's credentials will be made available to the entire faculty
697		before such a vote.)
698		iii. Once the candidate has completed the on-campus appearance, the program
699		and EC confer either via e-mail or in person to either recommend or not
700		recommend the candidate to a vote of the Department faculty for the hiring
700		process to continue. If the joint program/EC does not recommend,
701		
		consideration of the case ends. If it does recommend, the Department faculty
703		votes to approve the recommendation following the procedure described in
704		letters d & e above.
705		iv. At this point, if the Dean has not been involved in the process, the Chair will
706		formally request an opportunity hire from the Dean, and the process will
707		continue according to college procedures for this point on.
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710	B. PR	OCEDURES FOR MERIT EVALUATION
711		
712	1. FN	MER Process
713		

714		a. The FMER is an instrument for measuring the contribution of the individual faculty
715		member to the Department's mission during the specific time period being evaluated.
716		Ratings cannot logically be uniform for everyone; observable differences should be
717		reflected.
718		b. The Chair and EC will observe the following procedure:
719		i. The three at-large members of the EC and the Associate Chair comprise the
720		FMER committee. Each member will read FMERs from faculty outside
721		his/her own program for the initial review. They will complete a draft of the
722		Chair's rating sheet that provides provisional ratings and written rationales for
723		the categories under review.
723		
		ii. Those same FMERs will then be read by one other FMER committee member
725		who will follow the same procedure outlined above (II.B.1.b.i). The two will
726		meet to reach consensus on the ratings and the written rationales. Upon
727		reaching consensus the two EC members will submit their ratings to the Chair.
728		In cases where the two EC members fail to reach consensus on a rating, both
729		shall report their recommended scores and written rationales to the Chair.
730		iii. Care shall be taken that the FMER Committee members exchange FMERs
731		with a different FMER committee member each year to prevent any unseemly
732		or perceived collusions.
733		iv. The Chair will independently review the FMERs. Upon completion of this
734		task the Chair will review the ratings submitted by the FMER committee.
735		Should there be a discrepancy between the ratings of the FMER committee
736		and those of the Chair, all three will meet to discuss and resolve any
737		differences in order to produce the final FMER ratings and written rationales.
738		v. The Chair will present these and their written rationales to the Dean on or
739		before the Dean's deadline.
740		vi. In cases of unresolved disagreement between the Chair and either or both of
741		the EC members serving on the FMER committee, the rating by the EC
742		members and its written rationale, along with the reasons for the difference of
743		opinion, will be reported to the Dean along with those of the Chair.
744 744		c. Each EC member will recuse him- or herself from reviewing his or her own rating by the
745		
743 746		Chair. The merit rating of EC members is done directly by the Chair. The rating is then
		reviewed by two other EC members who then come to a consensus on the final rating with the Chain. In pages of unreached differences, the proceedure outlined chaus (1 h vi)
747		with the Chair. In cases of unresolved differences, the procedure outlined above (1.b.vi.)
748		will be used.
749	•	
750	2.	Teaching Philosophy Statements
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752		Per college regulations, a statement of teaching philosophy must be kept on file in the
753		Department for each regular faculty member. For untenured tenure-track faculty, this
754		statement should be updated yearly to reflect new teaching assignments, changes or
755		innovations in pedagogy, responsiveness to course evaluations, and to demonstrate active
756		engagement and professional growth in teaching. For non-tenure track faculty, the statement
757		should be regularly kept up-to-date in terms of teaching assignments, significant changes or
758		innovations in pedagogy, and responsiveness to less-than-satisfactory evaluations, which
759		shall be defined as a score of below 3.0 in either of the "global" evaluation questions (items #

20, 21) on the college evaluation form over three consecutive semesters in any courses
taught. Tenured faculty should update their statements when there is a change in teaching
assignment, a new course is taught, there have been significant changes or innovations in
pedagogy, or when there have been less-than-satisfactory evaluations, which shall be defined
as a score of below 3.0 in either of the "global" evaluation questions (items # 20, 21) over
three consecutive semesters in any courses taught.

767 3. Narrative Course Evaluations

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769 In addition to the bubble-sheet form provided by the College, the Department will employ a 770 common supplementary narrative course evaluation form, administered with the College form. The specific form to be used is developed by the Chair and EC and approved by a vote 771 772 of the faculty. This narrative evaluation should be constructed in such a way as to elicit a 773 considered, discursive response from the student, not just simply a number or one-word 774 answer. An example of the current form is included in Appendix 1 of this document. All 775 faculty must submit the MCLLC teaching evaluation for all classes with an enrollment of 10 776 or fewer students. Copies of these evaluations must be included in FMER reviews and in 777 promotion dossiers.

779 4. Narrative Evaluations for Large Classes

In consideration of the staff workload for the Department, narrative course evaluations in classes with enrollments of more than 30 students will not be typed up. The original manuscript copies will be kept on file for the required period.

- 785 C. PROCEDURES FOR RECOMMENDING TENURE AND PROMOTION
- 787 *(See MCLLC Guidelines for Tenure, Promotion, & Lecturer Appointments, Appendix 2)*

789 1. The Department as a whole is responsible for recommending faculty for tenure and 790 promotion. Per University and College regulations, all associate and full professors write 791 letters, addressed to the Chair, with their recommendations for promotion to associate (with 792 tenure, as appropriate). All full professors—and associates either voluntarily or at the 793 invitation of the Chair—write for cases involving promotion to full (with tenure, as 794 appropriate). These letters are to present a substantive discussion of the dossier, not a mere 795 opinion. See GR VII.B.5. (http://www.uky.edu/regs/files/gr/gr7.pdf) for further regulations 796 regarding these letters.

- 797
 2. Before letters are written, a presentation of the candidate's dossier shall be made by
 the appropriate faculty member delegated by the Chair to a meeting of all members of the
 Department writing letters. The main purpose of this presentation is to acquaint faculty from
 other programs with the candidate's discipline and the dossier in the context of that
 discipline. If the candidate's dossier heavily involves a specific expertise, a member of the
 Department familiar with the area of expertise may also be asked to comment by the Chair.
- 805 3. Questions and discussion from the assembled faculty follow the presentation, but no

806 vote is taken nor is any consensus formally reached. Each person writing a letter forms 807 his/her own evaluation based on the dossier, presentation, and discussion. 808 809 5. In cases in which a new faculty member is hired with tenure and/or promotion, the procedures for a regular or "opportunity" hire described above in II.A. are followed 810 811 with regard to the hiring process per se. After the Department agrees to the hire, a 812 separate consideration of tenure and/or promotion is undertaken. For this, the 813 candidate is to compile a dossier equivalent to that brought forward by faculty in the regular tenure/promotion process. The same procedure is then followed as 814 815 described in numbers 1, 2, & 3 above, and the Departmental guidelines (see Appendix 2) are applied as in cases in regular course. If necessary, these procedures 816 817 may be telescoped so that the dossier is made available during the hiring process. 818 Consideration of tenure or promotion may follow immediately upon agreement to 819 the hire, but these must be considered as separate agenda items and the different 820 procedures for these determinations (e.g., the consideration of tenure/promotion 821 does not result in a vote but in the writing of individual letters) must be observed. 822 823 D. PROCEDURES FOR FULL-TIME INSTRUCTORS OR PART-TIME INSTRUCTORS 824

- 825 If a full-time instructor (FTI) is hired for longer than one year, the following procedures will826 apply:
- 827

828 1. The working group will assess FTI performance in the classroom by the end of the first month 829 of the second semester of employment in MCLLC on the basis of a) observation of classes (at 830 least once per semester, either by working group members or by a member of the EC); b) student 831 evaluations of the courses they have taught. Though service is not required for FTIs, any

contributions to the program as a whole will be described in the document as well. The convener

(or a member of the EC) will supply a written assessment considering these factors to the chair

for discussion in the EC in preparation for possible renewal of the position.

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836 2. The chair will then meet with the FTI to discuss the materials, and a final decision on renewal837 will be discussed in a Department Meeting.

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839 3. As noted in I.A.2 (The Department), FTIs are considered to be part of the faculty. As such,

they should be invited to working group meetings and department meetings (see III.A.2 The
Department Meeting). They are not required to attend, but are valued members of the department
who should be included in discussion of metters of concern to their program.

842 who should be included in discussion of matters of concern to their program.

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4. The same procedures outlined in (1) and (2) will apply to any part-time instructor hired forlonger than one year as well.

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- 847 III. Other Policies and Procedures848

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849	A. THE DEPARTMENT MEETING

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- The Department meeting has the responsibility for making educational policy, consulting collectively with the Chair and EC on recruitment of new faculty members and amending the Policies and Procedures (this document). It may also advise the Chair collectively on matters of Departmental administration and on such other matters as the Chair may bring to its attention.
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- 857
 2. Voting members of the Department meeting shall consist of the tenured, tenure-track, and lecturer faculty holding primary appointments in the Department. The voting members of the Department faculty may, by majority vote, extend voting privileges to visiting faculty, instructors, and to any person assigned to the Department for administrative work, teaching, research or service.
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3. The Department shall establish a fixed time during the week for Department meetings, through a decision of the Chair and EC in consultation with the Department faculty. No classes taught by voting members of the faculty are permitted to be scheduled during the determined common meeting time. To ensure that all members of the Department can attend meetings and contribute to discussion of Departmental matters, this time should, except in unforeseen circumstances, also be used for working group and committee meetings when Department or GC or UC meetings are not scheduled.

- 4. Department meetings shall be called by the Chair as business necessitates, generally once a month, but not less than once a semester. A meeting shall also be called upon the written request of five voting members with said request setting forth one or more agenda items for the meeting to consider. When meetings are called, the Chair shall notify the faculty in writing by mailbox or e-mail notice. A specific agenda must be posted and/or circulated at least two working days in advance of Department meetings.
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878 5. The Chair shall preside over all meetings except as he or she may delegate this function. The 879 Chair must take care that meetings begin punctually. The start of meetings should not be 880 delayed for latecomers. The Chair must take care that meetings run efficiently through the 881 proposed agenda. Scheduling a meeting for a large faculty is difficult; hence the opportunity must be seized to conduct an optimum amount of business without needlessly prolonging the 882 883 meeting. Should the Dean or other administrators be present to address the Department, 884 reasonable care must be taken that Department business is not sidetracked. If necessary, 885 visits by the Dean, etc. and Department business should be scheduled for separate meetings.

- 886
- 6. Minutes shall be kept for every meeting by a member of the EC in rotation. These minutes need not report the details of announcements or discussions, but should record any specific measures or decisions voted on by the faculty, and any provisions connected to these which a faculty member requests be shown in the minutes. Copies of the minutes shall be circulated to all faculty members via SharePoint and kept on file in the Department office.
- 892

A quorum for action at the Department meetings shall be 50 per cent of those eligible to vote.
Absentee voting is not allowed except on questions of advising the Chair about inviting
candidates for faculty positions to campus for an interview or questions of advising the Chair
on the appointment of new faculty members.

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8. The Department meeting shall be open in accordance with the Kentucky Open Meetings
Law. In those personnel matters where the law permits the meeting to go into executive
session, the meeting may be closed upon a majority vote. This shall always be done in
considering personnel matters such as tenure and promotion.

903 B. TAs

Care should be taken that TA workloads, both in terms of coursework and teaching, be
roughly equivalent in all programs. The DGS should be aware of each program's
requirements in this regard. See also above, I.B.7.

909 C. STUDENT PARTICIPATION

In accordance with GR VII.A.8 (http://www.uky.edu/regs/files/gr/gr7.pdf), rules of procedure in MCLLC shall provide for participation of students in the development of educational policies. To comply with this regulation, an MCLLC Graduate Council will be established that will have as its members graduate students from all MCLLC graduate programs. The Graduate Council will serve as a mechanism through which graduate students can meet to discuss issues of mutual interest and to hold elections for the graduate student representatives who will attend MCLLC Department meetings.

918 The graduate students of the Department shall elect two students from MCLLC graduate 919 programs to serve as graduate student representatives at Department meetings. Graduate 920 student representatives will be elected at the end of each Academic Year in a meeting of the 921 MCLLC Graduate Student Council. The two elected representatives will call a meeting of the 922 MCLLC Graduate Student Council in the fall of each Academic Year and will communicate 923 issues of relevance to or gather data from the graduate students via an MCLLC Graduate 924 Student listserv or in additional meetings of the MCLLC Graduate Student Council, if 925 necessary. 926

927 D. ADVISING

928 929 Advising in MCLLC will be handled as follows: Each full-time faculty member with some 930 percentage of service in his/her DOE will be assigned a portion of students for advising 931 purposes. These assignments will generally be based on language concentration (in the major 932 or minor). Faculty who do not teach a particular language will share in advising duties for 933 students who match their area of expertise, e.g., folklore and mythology, linguistics, religious 934 studies, etc. As an example, if there are 5 full-time faculty in an area and 25 students, each 935 will advise 5 students. Untenured faculty or lecturers will not advise more than 5 students at 936 any given time; lecturer DOEs which are 100% teaching may be adjusted from 4-4 course 937 loads to 4-3 and advisees be increased accordingly. Those with heavy administrative duties, 938 e.g., positions listed under I.B.1-4 above) will be exempted from advising duties while they 939 hold the position. Each faculty member who participates as an advisor will submit a 940 statement on advising for the FMER; the role will be reflected in the DOE and will be 941 assessed as part of promotion dossier as per Department T&P guidelines.

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943 The same model will be used for graduate students, depending on 1) their language specialty; 944 and 2) area of research or professional interests.

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E. OTHER POLICIES & PROCEDURES

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948 The Department may from time to time establish certain other policies and procedures 949 dealing with specific issues outside the purview of this document, e.g., the specific form of 950 the narrative course evaluation, recommended TA loads, etc. Excerpts from meeting minutes 951 establishing these are to be included in Appendix 3. Such policies and procedures are 952 adopted or subsequently changed by a majority vote of those present at the Department 953 meeting. 954

- 955 F. AMENDMENTS
- 956 957 1. The P&P will be on the agenda at one Department meeting each year, so that faculty have an 958 opportunity to consider any necessary amendments. Amendments to the Policies and 959 Procedures may be proposed by the Chair or the voting members of the Department meeting. 960 All such proposals must be circulated to the members of the meeting in writing by mailbox or 961 e-mail notice at least two working days in advance of the meeting. A majority of all voting 962 members of the faculty (not just of those present) is necessary to adopt a proposed 963 amendment.
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2. No amendment may contravene the GRs, the ARs, the USRs, or the Rules of the A&S Faculty.

967		Appendix 1: MCLLC Narrative Teaching Evaluation	
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969	Modern and Classical Languages Department Course Evaluation		
970		Questionnaire	
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973	Cours	se Semester/Year Instructor	
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975 976	1.	What did you find most interesting and helpful in this course?	
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980	2.	What did you find least interesting and least helpful in this course?	
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986	3.	What are the instructor's greatest strengths and/or weaknesses?	
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992 993	4.	What changes would you recommend in this course?	
994	-10	what changes would you recommend in this course.	
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999 1000	5.	Please evaluate your own learning and intellectual development as a result of this course.	
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1006	6.	Please add any other remarks that you think might be useful to the Department	
1007 1008		and/or the instructor.	
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GUIDELINES FOR TENURE & PROMOTION DEPARTMENT OF MODERN & CLASSICAL LANGUAGES, LITERATURES & CULTURES 11 February 2013

Approved by the Dean, College of Arts and Sciences, 18 February 2013

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1015 These guidelines are specific to the Department of Modern and Classical Languages, Literatures

and Cultures. For those of the College of Arts & Sciences and the university in general, see:
 http://www.as.uky.edu/regular-title-series. Nothing in the guidelines below shall be taken to

1018 contravene or supersede the general requirements and guidelines of the college or university.

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PURPOSES & PRINCIPLES

1. <u>DIVERSITY & COMMONALITY</u> - The faculty members of the Department of Modern and Classical Languages, Literatures and Cultures (MCLLC) represent a variety of fields of study all of which constitute separate disciplines in the academy, each with their own distinct - sometimes disparate - history, culture, methods, and mindsets. The department must, perforce, take this disciplinary diversity into account when considering cases for tenure and promotion, while at the same time assuring that the same standards of excellence are applied and met for every member of the department faculty, regardless of discipline. This recognition of professional diversity within a common standard of excellence lies at the heart of the tenure and promotion policies of this department.

In addition to the variety of language-demarcated disciplines (French, German, Classics, etc.), members of the department also represent disciplines such as theoretical and applied linguistics, literary criticism, and language pedagogy, as well as a variety of methods and approaches, such as digital scholarship and social theory, as well as areas of study such as the 18th century, visuality, and post-colonialism. The diversity of these fields must also be recognized, as well as their character of cutting across traditional disciplinary and language-demarcated lines. In some respects, therefore, a linguist working in a different language may, for example, be a better judge of a faculty member's scholarship than a social theorist working in the same language. The various forms of diversity and the various bonds of commonality in the department must both be recognized in the department's own evaluation of candidates for tenure and promotion and clearly communicated to the higher levels of review within and beyond the university.

2. <u>MODES & MEDIA OF SCHOLARLY PRODUCTION</u> - Within the past 20 years, the means and process of scholarly production have changed dramatically, and will continue to do so into the foreseeable future. The most notable change is the rise of digital technology, and the changes it has brought not only to the mode of scholarly production, but more significantly to the very nature of its products. The printed book and Facebook now both vie for scholarly attention. Scholarship now comes in different sizes (Twitter) and shapes (blogs and wikis) and venues ("self-published," "collaborative collectives," etc.). These other forms of scholarly production, conversation, and collaboration need to be accounted for alongside digital versions of traditional printed journals and monographs. At the same time, the nature of traditional print publication has also changed, with academic presses operating under different demands, increased financial pressures, and often much slower timetables. The traditional dominance and normality of the monograph as the centerpiece of the scholarly portfolio is being questioned, as is that of print publication generally. Just as digital media are usually seen as secondary to print, it is also true that oral scholarly communication and production, i.e., the paper, lecture, panel, and conference, have often been relegated to a required but unnoticed place in the dossier, and additional forms of scholarly production, e.g., competitive grant and fellowship proposals, have been all but ignored in the assessment of scholarly portfolios in the disciplines that make up the department.

In view of this, the Department of Modern and Classical Languages, Literatures and Cultures enunciates as a matter of principle that any and all modes and media of scholarly production possess equal validity, whether they be print or electronic, and are appropriate evidence of achievement for the purposes of tenure and promotion, <u>provided that the given piece of work has been validated by a process of substantive and rigorous peer review or, in exceptional cases, commissioned or invited by a person or institution of eminence. If oral scholarly communication has been validated by a process of peer review or, in exceptional cases, commissioned or invited by a person or institution of eminence. If oral scholarly communication has been validated by a process of peer review or, in exceptional cases, commissioned or invited by a person or institution to the discipline. Successful grant and fellowship proposals, which have also been peer-reviewed by a panel of experts, will also be included in the dossier and assessed as evidence of scholarship.</u>

Appropriate scholarly production may also take forms very different from the traditional notion of "publication." Digital projects in particular which apply new technological tools, create scholarly databases or repositories of sources, information, and resources, or create new modes, methods, and opportunities for scholarly interaction and collaboration are to be valued on a par with traditional scholarly publication. In such cases, where the usual sort of peer-review does not apply, nationally or internationally reputed figures with experience in this area will be solicited as external reviewers to attest to the quality and contributions of the project to the scholarly community, and explain its value in terms accessible to the uninitiated.

This department firmly believes that our research is what keeps this institution a research university and a flagship, and that maintaining an insistence upon research and its dissemination is vital to the credibility of the department, college, and university as a whole. As a further matter of principle, however, the department chooses to use the term "scholarly production" rather than "research" in connection with tenure and promotion. In a department in which pedagogy and teaching to teach have always been an exceptionally strong focus, and in a time in which the modes and media of scholarly production are continuously evolving and changing, we believe the word "research" is too restrictive in describing the breadth of scholarship this faculty engages in, a breadth that will only continue to expand throughout the academy in future.

3. <u>SCHOLARSHIP IN THE LANGUAGE OF EXPERTISE</u> - One proof of scholarly excellence in our fields is production in the language of expertise, especially when such scholarly production involves electronic resources, print media, or conferences sponsored by international institutions. Both as a demonstration of such excellence, and as a means of advancing the internationalization and the global reputation of the university, the department places value on scholarly communication in languages appropriate to the international nature and scope of the scholarship and will consider these materials to be of equal importance in assessing the candidate's research record as those in English.

4. COLLABORATIVE SCHOLARSHIP - Collaborative research and scholarship has become commonplace in several disciplines represented in MCLLC (e.g., theoretical linguistics, applied

linguistics, language pedagogy) and it has also become the norm for certain research methods and scholarly approaches regardless of discipline (e.g., digital scholarship). Indeed, certain types of work currently being performed in the humanities and social sciences would not be possible without collaborative efforts. The department, therefore, values collaborative work and the scholarly production that results from it and will give such multi-author scholarship equal consideration within the context of established disciplinary standards.

5. <u>A CASE TO BE MADE RATHER THAN A HURDLE TO BE JUMPED</u> - A recurring issue throughout the academy is the anguished and problematic question: "What do I have to do to get tenure?" Giving a precise answer to that question is notoriously difficult, all the more so in a department as diverse as Modern and Classical Languages, Literatures and Cultures. The department believes, as a matter of principle, that this situation can be ameliorated by a change of focus, i.e., looking at tenure and promotion not as a hurdle to be jumped over but as a case to be made cogently. Insofar as possible, the dossiers in this department should be framed as an articulated demonstration that the record and achievements of the candidate in scholarly production, teaching, and service merit the award he or she is seeking, rather than as a "to-do list" to be appropriately checked off. This sort of articulation will go far in assuring that the diversity of career paths and accomplishments of our faculty are properly recognized and rewarded.

6. <u>PRELIMINARY REVIEW</u> - In order to assure a complete and fair compilation and review of the dossier, a faculty member seeking to go up for tenure should submit to the department chair, as part of the 4th-year review, a notification of intent to bring the dossier forward, which will include an updated CV with a specific statement of publications, projects, and undertakings in progress and likely to be completed in time for inclusion in the dossier. The candidate should also submit a summary list of qualifying achievements in scholarly production, teaching, and service for tenure and (if applicable) promotion to associate professor. The chair, with the assistance of 2 or 3 appropriate faculty members, which can be within or outside the specific division of the candidate, will examine the most recent review dossier and the newly submitted material, with a specific eye to attending to any areas in which reviewers up the line might raise questions. The purpose of this preliminary review is more to assure that the best possible case is made, rather than to judge worthiness.

Candidates for promotion to full professor will also, a year before they intend to bring their dossier forward, submit an updated CV, and a list of accomplishments since the last promotion that qualify the candidate for promotion to full. The chair reviews the material with 2-3 other faculty, as above, for the same primary purpose of strengthening the case made for promotion.

7. <u>QUESTIONS OF MEANING AND INTERPRETATION</u> - Since each department in the College of Arts & Sciences is asked to draw up its own written guidelines for tenure and promotion, it is clear that the long-established principle of the academy, that faculty set the standard for the tenure and promotion of their colleagues, is respected. As such, it seems clear that when questions may arise concerning the interpretation of these guidelines, such questions should be referred to the faculty who authored them. It is inappropriate for any other person or body to interpret their meaning and intent.

TENURE & PROMOTION TO ASSOCIATE PROFESSOR

1. <u>SCHOLARLY PRODUCTION</u> - Candidates for tenure and promotion to associate professor must show a record of excellent scholarly production and its dissemination in appropriate peer-

reviewed venues, which constitutes a substantial and cohesive body of work, with one or more identifiable intellectual trajectories and an impact on the field(s) of the candidate showing that the candidate has helped to move his/her profession forward.

The primary evidence of this production includes any and all forms of written, electronic, and oral scholarly production (including textbooks, translations, edited volumes, and critical editions) that is either peer-reviewed or, in specific cases, invited or commissioned by a scholar or institution of eminence. Such invited or commissioned work must not, however, constitute the bulk of the individual's production. Given the diversity of its faculty and the current variety of venues for dissemination of scholarly production, the department does not believe that a prestige-ranking of venues of publication or dissemination is advisable or even possible. However, we expect that scholarship should appear in venues appropriate for the standards of the discipline and meet the department expectations for demonstrating impact on the specific field. At the same time, however, the current state of academic publication allows for contrived dissemination in any number of modes and media. Scholarly products appropriate for the dossier must be free of any such suspicions. Reviews of the candidate's work (monographs, textbooks, translations, edited volumes and critical editions), citations, communications, and other direct evidence of the quality and impact on the field(s) of the candidate's work must also be included, if available.

The volume and frequency of scholarly production must be such as to evidence an ongoing commitment to scholarship, rigorous intellectual activity, and engagement with the broader scholarly community on the national or international level. In evaluating such production, attention must be paid to quality as well as quantity, since production appropriately varies not only from person to person but from discipline to discipline, and thus quantity alone cannot be the definitive measure of a successful dossier. A dossier containing fewer contributions that exhibit higher quality could well present a more cogent case than a dossier containing many, lower-quality scholarly contributions. Both the periodic reviews before the dossier is brought forward and the letters of outside evaluators should specifically address the question of appropriate quantity of production within the context of the specific discipline(s) professed by the candidate and should invariably comment on the quality of the work presented. The department welcomes, encourages, and, in some areas of expertise, anticipates collaborative work and considers such work to be as valuable a contribution to scholarship as a single-authored piece.

In cases where the merits of specific projects or publications might not be immediately clear, nationally or internationally reputed figures with experience in this area will be solicited as external reviewers to provide explanation of the nature and value of the project or publication.

Other forms of high-level professional engagement are also appropriate as additional evidence of accomplishment for tenure and promotion. These include, but are not limited to: refereeing scholarly publications and competitive grant/fellowship proposals, editorial work on scholarly publications, and initiating or participating in collaborative scholarly efforts--considered in its own right apart from the scholarly production that may result from such collaboration. These contributions amplify a candidate's dossier, but they do not substitute for scholarly production.

In view of the above, the research statement of the dossier must describe and demonstrate that the candidate has an excellent record of scholarly production, disseminated in appropriate peer-reviewed venues, constituting a substantial and cohesive body of work, with one or more intellectual trajectories, which has had an impact on and furthers the candidate's field(s) of study. The statement can also discuss the reception of the candidate's work in the broader scholarly community. In any aspect in which the nature or value of work might not be readily apparent to any non-specialist reader of the dossier, explanation and/or testimonia solicited by the department should be provided to assure that proper credit is given for the individual's accomplishments.

2. <u>TEACHING</u> - The Department of Modern and Classical Languages, Literatures and Cultures values teaching on a par with scholarship for the awarding of tenure and promotion. Candidates for tenure and promotion to associate professor, though still relatively young in their teaching careers, must demonstrate a commitment to teaching as an essential part of their profession, a desire to achieve excellence in teaching, and solid effectiveness in their teaching careers up to that point.

While bare global evaluation numbers are used on the FMER and other faculty review processes, a tenure and promotion dossier must be more inclusive of different types of evidence of teaching effectiveness. At the same time, the department recognizes that good teaching comes in a variety of methods, styles, techniques, and modes of delivery. The accomplished teacher does not excel at only one method or practice, but should have a repertoire of techniques and approaches available to implement as appropriate, depending on the nature of the subject, level, and particular class of students. The teaching section of the dossier, therefore, must present evidence of commitment and effectiveness. Commitment may be demonstrated by reference to the rigor, innovation, or utility of course topics and materials; the structure of the syllabus and nature of assignments; and/or a description of how experience and receptiveness to student needs and profiles informs the candidate's teaching. Since Teaching and Course Evaluation scores are a required part of the dossier, an initial measure of teaching effectiveness is that the average of the candidate's global question scores meet or exceed the college mean on the global question scores, but other evidence must also be provided. This can include feedback from students and faculty colleagues, reception of teaching awards and commendations, the reports of class visits by faculty colleagues (the faculty mentor shall not be asked for such a report, in order to preserve confidence in the mentoring relationship), and objectively documented improvement in student performance.

In addition to classroom activities and performance, other evidence of accomplishment in teaching includes, but is not limited to: creation of new courses or revision of existing ones and formal participation in broader curriculum review and revision; participating in workshops and serving on committees, both on and off campus, concerning teaching; engaging in outreach activities to schools (depending on the nature of the outreach, some activities may be more appropriately classified as service and will be assessed as such); and sponsoring or participating in events outside the classroom that enhance student learning. Academic advising and/or serving as DUS--which in MCLLC carries with it advising duties--and DGS can also be appropriately categorized under teaching for purposes of tenure and promotion (some activities of these positions may be more appropriately classified as service and administration and will be assessed as such). Guiding and mentoring students through their academic programs as a whole, overseeing their formation in the discipline, and being fundamentally involved in both students' success and timely progress toward the degree are more closely related to a faculty member's teaching responsibilities than to service work. The members of this department have a tradition of seeing advising as integral to their duties as dedicated and concerned teachers, and the numerous advising awards won by the MCLLC faculty are testimony to this. Since internationalization and global awareness is a primary goal of the college, university, and most especially of this department, involvement in education abroad and developing courses for UK Core will be recognized accordingly.

In general, assistant professors are not asked to serve as DUS, DGS, Language Coordinator, or TA Director, positions that are currently classified under teaching in the DOE and FMER. In the event that necessity has required that a candidate for tenure and promotion to associate professor serve in such positions, the record of service in the position will also be included in the dossier. A statement from the department will also be included articulating how the time and effort devoted to this duty may have affected the candidate's achievements in other areas.

Similar to the research statement in the dossier, the statement of teaching philosophy should argue a cogent case, and should take the form more of a statement of approaches and accomplishments in teaching and less an abstract discussion of principles.

3. <u>SERVICE</u> - As stated immediately above, the service expectations for assistant professors are to be kept at a minimum, to allow for the necessary focus on developing scholarship and teaching. The expectation is that the candidate will have diligently attended division and department meetings, and other meetings of academic units she or he may be involved in. It is also expected that the candidate will have served on committees when asked, and in general be actively and thoroughly engaged as a colleague in the life and work of the department. While assistant professors are generally not expected to perform College or University service or professional service at the regional or national levels, in rare cases when such service does occur, these duties will be evaluated as a core part of the service dossier.

PROMOTION TO FULL PROFESSOR

1. <u>SCHOLARLY PRODUCTION</u> - Candidates for promotion to full professor are expected to realize the promise implicit in the award of tenure and must be recognized by leading scholars in their field(s) as having had both a significant impact on their field(s) and as having gained a position of national distinction and even international prominence. With a view to the diversity of the department's faculty and their work, the candidate's ongoing research program may involve the significant amplification of a topic or area that has been the focus of research throughout her/his career, with an effort commensurate to that put forth for the candidate's award of tenure, new research and departures in new topics and fields, or a combination of the two. The type of work produced in each of these cases and the timetable for that work can be quite different, and the precise nature of the candidate's ongoing scholarly production must be articulated in the dossier.

The standards for work appropriate as evidence for promotion to full professor parallel those for tenure and promotion to associate: the primary evidence will be a record of scholarly production and its dissemination in appropriate peer-reviewed venues, which constitutes a further substantial and cohesive body of work, with one or more identifiable intellectual trajectories, and a further impact on the field(s) of the candidate demonstrating that the candidate has achieved a notable reputation and prominence in his/her profession on at least a national and preferably international scale. (There will be certain cases in which the nature of the discipline or of the candidate's production is such that does not readily lend itself to an international scope.)

Evidence of this production includes any and all forms of written, electronic, and oral scholarly production (including textbooks, translations, edited volumes, or critical editions) that is either peer-reviewed or, in specific cases, invited or commissioned by a scholar or institution of eminence. Normally invited or commissioned work will not, however, constitute the bulk of the individual's production. Scholarly production appropriate for the dossier must be free of any suspicion of contrivance. Reviews of the candidate's work (monographs, textbooks, translations, edited volumes and critical editions), citations, communications, and other direct evidence of the quality and impact on the field(s) of the candidate's work must also be included, if available.

The volume and frequency of scholarly production must be such as to evidence a lifelong career of committed scholarship, rigorous intellectual activity, and high-level engagement with the broader scholarly community on at least a national and preferably international level. As in the case of tenure and promotion to associate professor, attention must be paid to quality as well as quantity; a dossier containing fewer contributions that exhibit higher quality could well present a

more cogent case than a dossier containing many, lower-quality scholarly contributions. The department welcomes, encourages, and, in some areas of expertise, anticipates collaborative work and considers such work to be as valuable a contribution to scholarship as a single-authored piece.

In cases where the merits of specific projects or publications might not be immediately clear, nationally or internationally reputed figures with experience in this area will be solicited as external reviewers to provide explanation of the nature and value of the project or publication.

Other forms of professional engagement on a level appropriate to a senior scholar are also appropriate as evidence of accomplishment for promotion. These include, but are not limited to: serving as editor of journals or major scholarly publications, and initiating collaborative scholarly efforts--considered in its own right apart from the scholarly production that may result from such collaboration. These contributions amplify a candidate's dossier, but they do not substitute for scholarly production.

In view of the above, the research statement of the dossier must demonstrate that the candidate has a record of scholarly production, disseminated in appropriate peer-reviewed venues, of a quality, volume, prominence appropriate to a senior scholar in the field. The statement can also discuss the reception of the candidate's work in the broader scholarly community. In any aspect in which the nature or value of work might not be readily apparent to a non-specialist reader of the dossier, nationally or internationally reputed figures with experience in this area will be solicited as external reviewers to assure that proper credit is given for the individual's accomplishments.

2. <u>TEACHING</u> - The candidate must demonstrate sustained teaching excellence in a wide range of courses; no longer a neophyte to the profession, the candidate must show evidence of pedagogical expertise, of teaching at a variety of levels in the course of his/her career up to that point, and of active, ongoing interest in further developing teaching skills. Evidence of this ongoing development includes, but is not limited to: participating in continuing education in the art of teaching, application of new technologies and methods, and curricular and classroom experimentation and innovation. Where appropriate, candidates must also demonstrate involvement in the graduate program by teaching graduate courses, advising and mentoring graduate students and chairing and/or serving on graduate committees. The candidate is also expected to have maintained scores on the global question of the Teaching and Course Evaluations at or above the college average.

In addition to classroom activities and performance, other evidence of accomplishment in teaching includes, but is not limited to: creation of new courses or revision of existing ones and formal participation in broader curriculum review and revision; participating in workshops and serving on committees, both on and off campus, concerning teaching; engaging in outreach activities to schools (depending on the nature of the outreach, some activities may be more appropriately classified as service and will be assessed as such); academic advising; and sponsoring or participating in events outside the classroom that enhance students learning. Since internationalization and global awareness is a primary goal of the college, university, and most especially of this department, involvement in education abroad and developing courses for UK Core will be recognized accordingly.

Tenured associate professors are also expected to undertake the duties of DUS, DGS, or TA Director in the course of their time in that rank, unless the preponderance of their duties lies outside typical degree-granting programs (some activities of these positions may be more appropriately classified as service and administration and will be assessed as such). The relatively small number of faculty in any given degree program simply requires that all take their proper turn in such duties once they have been tenured. The record of service in this regard will also be included in the

dossier, in the teaching section, along with any accomplishments of distinction made serving in these positions.

As with candidates for tenure and promotion to associate, candidates for promotion to full professor will include in the dossier a statement of teaching philosophy that should argue a cogent case, and take the form more of a statement of approaches and accomplishments in teaching and less an abstract discussion of principles.

3. <u>SERVICE & ADMINISTRATION</u> - Once tenured and promoted to associate professor, faculty are expected to expand their service by serving on committees in the department, college, and university. Continued diligence in attending division and department meetings and active engagement in the professional life of the department are also expected. Service to the profession at large by holding positions in and doing work for learned and professional organizations is also recognized and rewarded by the department under service, but is not required for promotion to full professor, since such service can be a function more of the politics of a given organization than a distinction based on merit.

Candidates who have been hired specifically to set up, manage, and grow new programs, or who have significant outreach and administration duties, such as management of programs or centers (e.g., MATWL, Technology, TESL, the Asia Center), collaboration with external agencies (e.g., the Kentucky World Language Association), and/or management of service grants (e.g., Startalk), must, upon bringing their dossiers forward, present concrete evidence of their record in program management and success of their programs. In these cases, at least two of the external evaluators must specifically address the quality and success of the program the candidate manages, and her/his effectiveness as program manager.

Also as a result of limited numbers of faculty in individual degree programs, it has been the case that associate professors serve in administrative positions for extended periods of time. The department recognizes that the responsibilities of these positions intrude drastically on the time and energy these faculty have to fulfill the expectations and qualifications for promotion to full professor, leading to extended time in the associate rank. After consultation with the dean and with a clear statement of the circumstances in which these faculty find themselves, their dossiers may be brought forward so that their promotion may be accommodated to their important duties rather than be delayed because of them. Administration and service in these capacities will be fully taken into account in the consideration of the dossier for promotion to full.

CONCLUSION

The faculty in MCLLC asserts, as a matter of principle, tenure and promotion should not be viewed as a hurdle to be jumped but as a case to be made. The dossiers presented for tenure and promotion should articulate and demonstrate a distinguished record and significant achievements in scholarship, teaching and service/administration as defined above. The merit of the demonstrated and documented effort in these areas will be evaluated in light of the award the candidate is seeking. This approach will recognize and reward the diversity of career paths and accomplishments of our faculty, who are members of a complex department, within the contemporary model of scholarship, teaching and service in the 21st-century academic climate.

GUIDELINES FOR LECTURERS AND SENIOR LECTURERS (NONTENURABLE APPOINTMENTS)

Initial appointment at the rank of Lecturer will normally require a PhD in a field of study appropriate to the individual teaching assignment, the promise of excellence in teaching based on previous teaching experience documented in a teaching portfolio and letters of reference, and a demonstration of classroom teaching at UK. In exceptional instances evidence of the appropriate professional experience or credentials may substitute for the PhD with the approval of the Provost. Lecturers will undergo annual performance reviews specific to their individual assignments on the basis of materials gathered from appropriate sources as part of the FMER process. Categories of performance to be evaluated in keeping with individual assignments and corresponding sources of evidence, including self-reported information on the FMER, are listed below. Lecturers are expected to maintain a record of excellence in the performance of their teaching assignments as well as all other areas of assignment in order to be considered for renewal of appointment. Failure to do so will result in nonrenewal of appointment.

Senior Lecturers may be appointed initially from the outside or promoted from within. Promotion to the rank of Senior Lecturer will require five years of continuous and full-time appointment as a Lecturer in the department with a record of excellence in teaching and all other areas of individual assignment. Senior lecturers appointed from the outside must have a comparable record of achievement. Once appointed or promoted, Senior Lecturers will undergo performance reviews biennially barring a composite rating of unsatisfactory performance. The same categories of performance and sources of evidence according to which Lecturers are evaluated also apply to Senior Lecturers as appropriate to their individual assignments. Senior Lecturers are expected to maintain a record of excellence in their performance in all areas of their individual assignment in order to be considered for renewal of appointment. Failure to do so will result in nonrenewal of appointment.

(1) Teaching, advising, and, where appropriate, supervision and training of graduate teaching assistants:

- Quantitative ratings and qualitative responses provided by students on the standard TCE form and departmental evaluation forms;
- Solicited or unsolicited written comments from students, graduate teaching assistants, and faculty peers who have observed the candidate's teaching, supervision and training of graduate teaching assistants, advising, and impact on student performance, engagement, or attitude;
- Other evidence of teaching excellence such as curricular or pedagogical innovation.

(2) Service:

- Active participation in departmental or college-level committees;
- Efforts in organizing departmental or interdepartmental events;
- Proposals that enhance the mission of the department.
- (3) Research/Professional Development:
 - Publication or presentation of research in the candidate's field, including the scholarship of teaching;

• Active participation in conferences and other public venues pertinent to the candidate's chosen area of scholarship;

• Active participation in professional organizations and in workshops that enhance professional development.

(4) Administration:

• Evidence of effective management and leadership as appropriate.

Lecturer Evidence

Appointment at the Rank of Lecturer:

The appointee will have received a PhD and show promise of being an excellent teacher as evidenced by previous teaching experience, UK classroom presentations, or any teaching statement submitted as part of the application process.

Appointment at the Rank of Senior Lecturer:

The appointee will have received a PhD at least five years prior to appointment and be demonstrably an excellent teacher. In addition, the lecturer will have a record of excellence in the performance of any assigned nonteaching responsibilities.

Reappointment:

The lecturer or senior lecturer will have shown evidence of living up to his or her promise of excellence at teaching as evidenced by the teaching materials gathered as part of the FMER process and any additional information available to the Department such as information gained through classroom observation. The lecturer will also have a record of excellence in the performance of job responsibilities.

Nonrenewal of Appointment:

The lecturer or senior lecturer will have failed to perform well as a teacher (or in his or her nonteaching responsibilities) as evidenced by the materials gathered as part of the FMER process and any additional information available to the Department such as information gained through classroom observation.

Terminal Reappointment:

The lecturer or senior lecturer will have persistently failed to perform well as a teacher (or in his or her nonteaching responsibilities) as evidenced by the materials gathered as part of the FMER process and any additional information available to the Department such as information gained through classroom observation.

Modern and Classical Languages, Literatures and Cultures

Mentoring Program for Probationary Faculty (revised October 2011)

After six years of informal mentoring of probationary faculty following its founding in July 2002 the Department of Modern and Classical Languages instituted a formal mentoring program in August 2008 in keeping with general guidelines issued by the College of Arts and Sciences during AY 2007-2008. Under this program the Chair, in consultation with the Department's Executive Committee, assigns senior faculty as mentors to the Department's incoming assistant professors on the basis of related teaching and research interests. The overall objective of this program is to help probationary faculty adapt to their new environment and develop practices enhancing their progress toward tenure. (As of August 2008 two incoming assistant professors as well as a third probationary faculty starting his fourth semester in the Department were each assigned a mentor.)

Mentors are expected to develop an ongoing collegial relationship with the probationary faculty with whom they are paired to facilitate communication about issues in teaching, research and service. In the area of teaching mentors are expected to attend at least one class per semester taught by their mentees and engage in an ongoing dialogue about best practices in the classroom, including how to deal with general student culture at UK in designing courses as well as with individual problem students. To this end mentors should familiarize themselves with their mentees' conception of teaching and be prepared to give constructive feedback and advice based on their classroom observations. However, they will not be expected to provide formal written summaries of these observations. With regard to research mentors should familiarize themselves with their mentees' research programs, be prepared to read and comment on drafts of work in progress, and provide advice about conference and publication venues. With regard to service mentors should help their mentees avoid excessive commitments that distract from their teaching and research.

The MCL mentoring program presupposes the continuing involvement of the Chair in supporting the progress of probationary faculty toward tenure. The Chair is responsible for making sure that probationary faculty are made aware of the Department's Guidelines for Promotion and Tenure before their actual appointment begins. The Chair shall also meet with each probationary faculty member and her or his mentor during each Spring Semester to discuss performance ratings based on the annual FMER process to which probationary faculty are subject, note important achievements, and address any problems that this process has revealed or the mentor has noticed. As a matter of principle the Chair shall be available on an ongoing basis to meet with probationary faculty to discuss any concerns they might have about their progress toward tenure.

Modern and Classical Languages, Literatures and cultures

Mentoring Program for Lecturers

1. <u>Purpose</u>: To establish a program to allow for the mentoring of lecturers newly assigned to the department in conjunction with the policies already in place with regard to probationary faculty, as established in February 2009 in keeping with general guidelines issued by the College of Arts and Sciences during AY 2007-2008.

2. <u>Assignment</u>: The Chair, in consultation with the Department's Executive Committee, assigns members of the faculty as mentors to the department's incoming lecturers on the basis of related teaching and subject interests.

3. <u>Objective</u>: The objective of the program is to help newly assigned lecturers adapt to their new working environment and develop practices enhancing their progress towards retention and possible promotion to the rank of senior lecturer.

4. Implementation:

A. Mentors will be expected to develop an ongoing collegial relationship with the lecturers with whom they are paired to facilitate communication about issues in teaching and service.

B. In the area of teaching mentors are expected to attend at least one class per semester taught by the lecturer and provide comments and suggestions about classroom practices. These discussions should include such topics as the general student culture at the university, the manner of dealing with students, and appropriate actions to be taken in the classroom in case of problems.

C. Mentors should familiarize themselves with the lecturer's concept of teaching and be prepared to offer constructive feedback and advice based on their classroom observations and suggestions about class lesson plans. No formal evaluation of such visits is required; however, the department chair should ensure that these observations take place and that the intended colloquy has indeed taken place.

5. <u>Professional Development/Service</u>: As appropriate to the lecturer's DOE, the mentor should become familiar with the lecturer's program of research and be prepared to read and comment on drafts of work in progress and provide advice about conference and publication venues. With regard to service, as appropriate to the lecturer's DOE, mentors should help the lecturers avoid excessive commitments that distract from their teaching and research commitments.

6. Departmental Responsibilities:

A. The Chair is responsible for insuring that lecturers are made aware of relevant departmental policies before the appointment begins.

B. The Chair shall also meet with each lecturer and his or her mentor during the spring semester to discuss performance ratings based on the annual FMER process to which lecturers are subject, note important achievements and address any problems that may have arisen.

C. Finally, the Chair shall be available as required to meet with lecturers to discuss any concerns they may have concerning their performance, progress, and departmental expectations.