

COVER PAGE FOR CHANGES TO ACADEMIC ORGANIZATION OR STRUCTURE OF AN EDUCATIONAL UNIT

The Senate’s Academic Organization and Structure Committee (SAOSC) is tasked by the University Senate with the review of proposals to change academic organization or structure. The information needed by the SAOSC for the review of such proposals is set forth in *Senate Rules 3.4.2.A.5*¹.

The SAOSC has developed a set of guidelines (from the *Senate Rules*) that are intended to ease the task of proposal submission (available at <http://www.uky.edu/Faculty/Senate/forms.htm>). As proposal omissions usually cause a delay in the review process, the individual(s) responsible for the proposal is (are) urged to familiarize themselves with these guidelines before submitting their proposals for review. In particular, the individual responsible for the proposal must fill out Sections I, II and III of this form, as well as include statements and documentation that provide a full accounting of the items a - i, below.

- a. Disposition of faculty, staff and resources (financial and physical);
- b. Willingness of the donating units to release faculty lines for transfer to a different educational unit;
- c. Consultation with the faculty of the unit to which the faculty lines are proposed to be transferred;
- d. Consultation with the faculty of educational unit that will be significantly reduced;
- e. Summary of votes and viewpoints (including dissents) of unit faculty and department/college committees;
- f. Ballots, votes expressing support for or against the proposal by unit faculty and staff and committees;
- g. Letters of support or opposition from appropriate faculty and/or administrators; and
- h. Letters of support from outside the University.

Section I – General Information about Proposal

One- to two-sentence description of change:	Change the College of Agriculture, Food and Environment to the "Edith Martin and Harry W. Gatton, Sr. College of Agriculture, Food and Environment"				
Contact person name:	Nancy Cox	Phone:	859-257-4772	Email:	nancy.cox@uky.edu
Administrative position (dean, chair, director, etc.):	Dean				

Section II – Educational Unit(s) Potentially Impacted by Proposal

Check all that apply and name the specific unit(s).		
<input type="checkbox"/>	Department of:	
<input type="checkbox"/>	School of:	
<input checked="" type="checkbox"/>	College of:	Agriculture, Food and Environment
<input type="checkbox"/>	Graduate Center for:	
<input type="checkbox"/>	Interdisciplinary Instructional Program:	
<input type="checkbox"/>	Multidisciplinary Research Center/Institute:	

Section III – Type of Proposal

Check all that apply.

¹ Items a-i are derived from *Senate Rules 3.4.2.A.5*. The Senate Rules in their entirety are available at http://www.uky.edu/Faculty/Senate/rules_regulations/index.htm.)

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<i>A. Changes</i>	
<input checked="" type="checkbox"/>	Change to the name of an educational unit.
<input type="checkbox"/>	Change to the type of educational unit (e.g., from department to school).
<i>B. Other types of proposals</i>	
<input type="checkbox"/>	Creation of a new educational unit.
<input type="checkbox"/>	Consolidation of multiple educational units.
<input type="checkbox"/>	Transfer of an academic program to a different educational unit.
<input type="checkbox"/>	Transfer of an educational unit to a different reporting unit.
<input type="checkbox"/>	Significant reduction of an educational unit.
<input type="checkbox"/>	Discontinuation, suspension or closure of an educational unit.
<input type="checkbox"/>	Other (Give a one- or two-sentence description below; a complete description will be in the proposal).

Section IV is for internal use/guidance.

Section IV – Guidance for SAOSC, Senate Council and University Senate

SAOSC Review of Type A Proposals (Changes to Type of, or to Name of, an Educational Unit)

- ✓ SAOSC review of proposal.
- ✓ SAOSC recommendation for an additional or joint review by other Senate committee(s) (e.g. Senate's Academic Programs Committee).

SAOSC Review of Type B Proposals (All Other Changes)

- ✓ SAOSC review of proposal.
- ✓ SAOSC recommendation for an additional or joint review by other Senate committee(s) (e.g. Senate's Academic Programs Committee).
- ✓ SAOSC review of proposals for creation, consolidation, transfer, closure, discontinuation, or significant reduction and educational unit, or transfer of an academic program to a different educational unit (attach documentation).
- ✓ Program review in past three years (attach documentation).
- ✓ Request to Provost for new program review (attach documentation).
- ✓ Open hearing (attach documentation).
 - SAOSC information must be shared with unit 10 days prior to hearing.
 - Open hearing procedures disseminated.

Voting by SAOSC, Senate Council and University Senate

- ✓ Endorse (or do not endorse) the academic organization, reporting, infrastructure, etc.
 - This vote is taken by the SAOSC, SC and Senate for every SAOSC proposal.
- ✓ Approve (or do not approve) the academic status or content of academic program.
 - This vote is taken by the SAOSC, SC and Senate only when the review involves an MDRC.

College of Agriculture, Food and Environment (CAFE) Naming Proposal
April 20, 2023

1. What is the impetus for the proposed change?

The proposed name represents recognition of a transformative gift by the Bill Gatton Foundation, Inc.; the largest single (and cumulative donor when considering Mr. Gatton's individual gift commitments to the University) gift received by the University of Kentucky to date. This gift will honor Mr. Gatton's parents – Ms. Edith Martin Gatton and Mr. Harry W. Gatton, Sr. – and will substantially advance the four pillars of the College: (1) student success; (2) faculty research; (3) capital infrastructure; and (4) service through Extension.

2. What are the benefits and weaknesses of the proposed unit with specific emphasis on the academic merits for the proposed change?

This proposed action is a name change of an existing unit.

3. Describe the organization of the current structure and how the proposed structure will be different and better. Current and proposed organizational charts are often helpful in illustrating reporting lines.

The College of Agriculture, Food and Environment is organized as a college according to GR VII. There are no structural or organizational changes associated with this proposal.

4. How does the change fit with department, college, and/or university objectives and priorities?

This proposed name change recognizes substantial philanthropic support that will advance the impact of the College's educational, research, extension, and service missions.

5. How does this change better position the proposers relative to state and national peers, as well as University Benchmark Institutions? How does the change help UK meet the goals of its strategic plan?

This proposed naming and associated gift totaling \$100 Million will bring substantial new and immediate resource streams to support the College's mission, goals, and strategic plan. The gift aligns with the College's strategic pillars: (1) student success; (2) faculty research; (3) capital infrastructure; and (4) service through Extension. The gift also will be matched, in part, by the Commonwealth of Kentucky's Research Challenge Trust Fund (RCTF). The RCTF program is focused on STEM+H research and selected gifts must align with the University's research priority areas (RPA's) and other established research strengths. In this case, a portion of the gift proceeds will support transdisciplinary research with healthcare related colleges in the areas of diabetes and obesity and substance use disorders, in addition to traditional agricultural research in the areas of crop, forest, and animal production.

The gift, totaling \$100 Million, and resulting endowments will be among the largest received by a public land-grant college of agriculture in the United States. The donor will have no control over the selection of student scholarships, or selection of faculty beneficiaries and their research agendas.

Broad categories of support for students, faculty, programs, and infrastructure projects are included in the proposal to ensure long-term impact of the gift and utilization of the annual available resources. Elevated recognition and prestige are expected to have positive impacts on the College's reputation that will increase the desire by students to affiliate with the College, increase opportunities to attract high-quality faculty, increase our competitiveness for extramural support including research and additional philanthropic funding, and attract other opportunities due to enhanced visibility.

6. Who are the key personnel associated with the proposed unit? Provide qualifications of these personnel in a brief form. A complete curriculum vitae for each person is not needed, although pertinent information in tabular format is helpful.

The college's leadership is comprised of the following individuals:

- a. Dr. Nancy Cox, Vice President for Land-grant Engagement and Dean
- b. Dr. Carmen Agouridis, Senior Associate Dean
- c. Dr. Susan Campbell, Associate Dean for Finance
- d. Dr. Orlando Chambers, Associate Dean for Administration
- e. Ms. Danielle Jostes, Senior Director of Philanthropy and Alumni
- f. Dr. Brian Lee, Associate Dean for Faculty Resources, Planning and Assessment
- g. Dr. Mia Farrell, Associate Dean for Diversity, Equity, and Inclusion
- h. Dr. James Matthews, Associate Dean for Research
- i. Dr. Laura Stephenson, Associate Dean for Extension

Department chairs, program directors, and other senior staff are listed on the college's website (<http://www.ca.uky.edu/>).

7. Discuss leadership and selection process for appointing a chair, a director, or interim leader and search process, etc.

There are no leadership changes associated with this proposal.

8. What is the function of the faculty/staff associated with the proposed change and how is that relationship defined? Discuss DOE, adjunct, full-time, voting rights, etc.

No changes or not applicable.

9. Will the proposed change involve multiple schools or colleges?

No.

10. If the proposed change will involve transferring personnel from one unit to another, provide evidence that the donor unit is willing and able to release the personnel.

No personnel transfers are proposed.

11. What is the arrangement of faculty associated with the proposed change and how is that relationship defined? Discuss faculty DOE and status as adjunct, tenure track, or tenured. Describe the level of faculty input in the policy-making process including voting rights and advisory.

No applicable.

12. Discuss any implications of the proposal for accreditation by SACS and/or other organizations.

There are no implications

13. What is the timeline for key events in the proposed change? Student enrollments, graduates, moved programs, closed courses, new faculty and staff hires, etc.

Acceptance of this gift and associated action by the University Board of Trustees is intended for the June 16, 2023 meeting.

14. If the proposal involves degree changes*, describe how the proposed structure will enhance students' education and make them more competitive. Discuss the impact on current and future students. State assumptions underlying student enrollment growth and describe the plans for student recruitment.

This proposal does not involve degree changes.

15. Include evidence that adequate financial resources exist for the proposed unit to be viable. A general description of the new costs and funding should be provided. A letter from the Provost, Dean, or other relevant administrators may affirm commitment to provide financial resources as appropriate. An exhaustive budget is not expected.

Not applicable.

16. The proposal should document any faculty votes and departmental or school committee votes as appropriate leading up to this point in the process. The SAOSC recommends that faculty votes be by secret ballot. Include in your documentation of each vote taken the total number of eligible voters and the number that actually voted along with the break-down of the vote into numbers for, against and abstaining. A Chair or Dean may appropriately summarize supporting and opposing viewpoints expressed during faculty discussions.

The substance of this proposal was presented to the College's faculty at a meeting conducted by Zoom on April 19, 2023 (Exhibit B). 188 voting faculty members were present exceeding quorum requirements. No objections or concerns were articulated in the discussion of the proposal or the motion to adopt the new College name. Comments were anonymously collected following the meeting. One example of a comment received that encapsulates the tenor of the meeting is as follows: *If there shall be any persons' names forever linked to our College, the Peoples' College of Kentucky, I am delighted that it be the names of a man and woman who were good farmers in rural Kentucky and raised children who were remarkable contributors to their community and state.* The proposal was adopted by unanimous consent to honor the generosity and commitment of the donor.

- 17. The committee will want to see evidence of academic merit and support from key parties. Letters of support (or opposition) are encouraged from the relevant senior faculty and administrators. Relevant faculty and administrators include those in units directly involved in the proposed change (including existing units from which a new unit may be formed.)**

The executed agreement memorializing the gift commitment that will incorporate the terms of the attached proposal articulates the materials benefits and merits of this gift. There is no opposition, written or oral, to this proposal.

- 18. Indicate how the new structure will be evaluated as to whether it is meeting the objectives for its formation. Timing of key events is helpful.**

This gift associated with this proposal will have tremendous impact on the College. It will result in \$5 Million in annual funding over an 18-year funding period. The total gift commitment is \$100 Million, plus an additional \$5 Million in matching fund from the RCTF program. Substantial endowment funds for student, faculty, program, and infrastructure support will be created. An annual stewardship program will be implemented with multiple points of communication between the University and donor each year.

- 19. Letters of support from outside the University may be helpful in understanding why this change helps people beyond the University.**

No letters of extramural support have been solicited.

Exhibit A

The Bill Gatton Foundation

Exhibit A



**Proposal
for a gift of \$100 million,
from the Bill Gatton Foundation
to name the University of Kentucky
College of Agriculture, Food and Environment**

For Mr. Gatton – a legacy like no other

Proposed use of annual funding from the Bill Gatton Foundation

The University of Kentucky College of Agriculture, Food and Environment (the “College”) has led innovation in economic, environmental and well-being on behalf of Kentucky citizens for over 150 years (see appendix). Today’s 21st century challenges require more innovative technologies to continue efficiency, sustainability and resilience of the food system. Even more innovation in both technological and sociological areas is needed to address the role of food in health and food security. Likewise, environment change impact on the food system is vast and cataclysmic and must be addressed by a variety of disciplines.

The College is committed to using cutting-edge technology and collaboration with numerous disciplines to provide solutions for our citizens. We will harness talent and use breakthroughs in technology, including robotics, drones, data science, materials science, genomics, microbiome, and behavioral and cognitive science. The College is also committed to advancing challenges facing food and society through its tripartite and integrated missions of teaching, research and service.

The Foundation’s investment in the College’s future would create excellence in four areas, or pillars:

- *Scholarships and other initiatives for student success*
- *Companion animal program*
- *The 21st Century capital projects and new initiatives fund*
- *Faculty research & innovation / Research Challenge Trust Fund Program*

These four pillars would remain constant, but over the course of time, within each pillar there would be flexibility, as well as ongoing conversation, collaboration and input from the Foundation to determine continuing, specific priorities.

Projected below are the proposed uses of the annual funding from the Bill Gatton Foundation.

The Bill Gatton Foundation

Pillar 1 – Scholarships and other initiatives for student success

Over the last decade, the University of Kentucky has increased access and affordability for students by lowering the rate of increase for tuition and fees, while striving to expand scholarships and grants to students which do not have to be repaid and targeting unmet financial need with a nationally heralded program that benefited tremendously from Mr. Gatton's very generous gift last fall.

However, a great unmet financial need continues to exist for current students seeking to fund their education in the College of Agriculture, Food and Environment. A great need also exists for scholarships to help attract outstanding Kentucky youth to UK who are interested in agricultural educational programs – specifically, students involved in 4-H, FFA, and Kentucky Farm Bureau, or who are from other related agricultural backgrounds.

Through the support of the Bill Gatton Foundation, the College of Agriculture, Food and Environment could create an ambitious and targeted scholarship program that would:

- Help educate the future leaders of agriculture in Kentucky with special consideration given to students with 4-H, FFA and other agricultural backgrounds.
- Focus predominately (but not exclusively) on need-based students, students from rural communities and underserved populations, and first-generation students, as part of a commitment to educating and preparing students for lives of meaning and purpose who are ready to live in, and lead, a diverse and interdependent world.
- Provide funding for undergraduate student research experiences.
- Support student leadership programs to equip graduates to play key roles in developing their local communities.
- Teach students Mr. Gatton's principle of having "skin in the game," as no scholarship award would exceed the cost of in-state tuition and books.

The Dean of the College, or designee, shall determine the scholarship award recipients and amounts in keeping with these criteria above.

Pillar 2 – Companion animal program

Mr. Gatton appreciated and discussed with President Capilouto and Mike Richey the importance of the human-animal bond, and the College recognizes in very personal ways the power of that bond with animals which share our lives most intimately.

Numerous studies, in fact, have demonstrated the ability of animal friends – in particular, dogs, cats and horses – to positively affect mental and physical functions in humans. Many subject matter experts at UK are eager to address this theme across a range of disciplines.

To begin this initiative, funding support is needed for the College to secure new program leadership, whose efforts would concentrate on forging collaborations and coordinating research and scholarship.

Some initial ideas for the program include:

- Partnering with UK HealthCare, psychology and other disciplines.
- Securing a program leader to serve as center director and grow the program.

The Bill Gatton Foundation

- Expanding faculty expertise and hiring new faculty to develop curriculum.
- Securing an extension specialist in companion animal science.
- Forming focused partnerships with Auburn University College of Veterinary Medicine And Lincoln Memorial University Center for Animal Health.
- Establishing a companion animal science minor or certificate program.
- Creating a companion animal Extension program for 4-H students.
- Developing a companion animal outreach program for the elderly, individuals with special needs, and other disadvantaged groups.
- Conduct research to enhance the care, nutrition and expanded benefits of companion animals.

The goal would be to develop a national prototype program engaging research, education and outreach initiatives for the benefit of the 80 million pet owners in the United States. A similar goal would be to partner with corporations and pet-related organizations for mutual benefit.

The program could be named for Mr. Gatton’s companion animals, Higgins and Neyland, with input and approval from the Foundation.

Pillar 3 – the 21st Century Capital Projects and New Initiatives Fund

Foundation funding for the 21st Century Capital Projects and New Initiatives Fund would be available on an annual basis for support of capital projects and new initiatives consistent with the College’s strategic plan. The fund could be named for Mr. Gatton, with input and approval from the Foundation. All projects would employ Foundation support as seed funding, or as a match, in keeping with Mr. Gatton’s philosophy of “skin in the game.”

The following are examples of current high priority capital projects:

- Agricultural Sciences Classroom building
- The College has not added classroom space in this century despite steady enrollment growth; the last capital investment in this area was in the 1990s.
- Increased enrollment has limited the ability to offer sections with sufficient seats; a new facility would help generate even more success in recruiting students.
- A new classroom building recently approved by the UK Board of Trustees would enable growth of the undergraduate program from the current level of about 2,600 students.
- The projected cost of the facility is \$45 million, with two-thirds of the funding to come from internal sources; part of the remaining \$15 million could be funded over time with a portion of the Foundation’s annual support (see the funding proposal and gift deployment section below).
- On-farm teaching facilities.
- Approximately one-fourth of the College’s students major in animal or equine science, and with a first-year class of 100 this year in equine science, the College was not able to offer enough horse handling classes.

The Bill Gatton Foundation

Other 21st Century Fund opportunities could include funding collaborative initiatives with the state's agricultural commodity groups, institutional partners and other entities to identify and implement impactful programs in local communities.

Pillar 4 – Faculty Research & Innovation / Research Challenge Trust Fund Program

The Commonwealth's Research Challenge Trust Fund ("RCTF") Match Program

The College's programs must continue to be focused "on the ground" in farms and communities while supporting a cutting-edge research agenda to develop technologies for future production.

Kentucky's General Assembly approved a sixth round of the highly successful RCTF donor-match program for FY 2022-24. The University received \$20 Million as part of this round. The University is leveraging these resources to embolden its institutional research priorities and strengths. The program funds must be endowed to support initiatives in science, technology, engineering, math and health sciences (STEM+H) fields. UK will be matching gifts 2:1 so we may increase the reach of our research dollars. Several of Mr. Gatton's past gifts received RCTF matches in previous funding rounds.

A RCTF-eligible program – or "Innovation Fund" – could establish multidisciplinary teams of scientists, students and staff to come together to create new projects to address contemporary challenges related to agriculture and society. The Innovation Fund scholars, in turn, could receive funds to invest in new collaborations aimed at vexing problems with the idea of generating new scholarship and approaches. These research areas could include, but not be limited to:

- Supporting strategies to increase production of crops and animals using latest technologies.
- Expanding transdisciplinary collaborations in precision agriculture for crop, forest and animal management, using latest technologies of artificial intelligence, data science, genomics, and microbiome.
- Supporting the new transdisciplinary initiative for food and health, comprised of multiple College of Agriculture, Food and Environment departments and other UK colleges.
- Continuing support of "one health" initiatives involving the health colleges at UK to support animal production and animal health.
- Supporting the UK Engage program in developing offerings from UK colleges and units to promote healthy communities, including human health and economic resilience.
- Expanding the ability to respond to disasters (there is a national extension network to share practices).

Extension faculty engagement

A recent statewide needs assessment of Extension communities had three priorities: substance abuse, economic development, and youth development. To strengthen its capacity to support county agents in these areas, the Innovation Fund could support faculty to link communities with a support system that will address these problems.

The Bill Gatton Foundation

Early progress in this area positions UK to lead the nation in such programs. UK Extension has been a leader in funding from several federal programs which have recognized the value of the system in healing communities.

Specifically, the Extension faculty would focus on, and address, the following areas, in collaboration through joint appointments with the UK Colleges of Public Health, Medicine, Nursing, Education and others, including UK HealthCare and the Barnstable Brown Diabetes Center:

- Engaging Kentucky youth and families where they live, work, learn, play and pray to improve their health and well-being outcomes through UK Extension expertise and partnerships.
- Providing research-based, effective programs and interventions to improve and sustain the health and well-being of youth and families.
- Expanding capacity to fully encompass social, economic and environmental determinants of health with a focus on the engagement of campus partners, national networks and policy makers to positively impact the lifestyle choices of the people of the Commonwealth.

Finally, the Innovation Fund could support the College’s Extension faculty that would focus on, and address, the following areas:

- The College’s priorities centered on youth development.
- Collaborations with the College’s industry commodity groups, Extension councils, and other entities to support programs that serve and advance the agricultural industry.

All of these are areas where the University already has a research presence and can develop into top-tier academic research programs in the near-term. Research areas identified in this pillar also align with the University’s current research priority areas on Diabetes & Obesity and Substance Use Disorder, or other STEM+H areas that are important to the Commonwealth of Kentucky. Several align directly with significant technology-based economic development investments within the borders of Kentucky over the past several years. Others are critical to sustaining the socioeconomic benefits that can be derived from these investments.

Recipients of support from the Innovation Fund could be known as the “Gatton Foundation Chair,” “Gatton Foundation Distinguished Professor” or “Gatton Foundation Professor” as the case may be. Decisions concerning faculty support shall also be in accordance with the provisions governing academic employment as set forth in the University's Governing Regulations and the College's policies. Additionally, terms of the support for the research faculty shall be subject to annual review and may be renewed for additional annual terms upon a successful evaluation by the Dean of the College. The award may be used for faculty (including adjunct or visiting faculty) salary or salary enhancements, research of national or international importance and other such purposes associated with the recipient's academic appointment within the College.

Exhibit B

Meeting of the College Faculty

College of Agriculture, Food and Environment

April 19, 2023

The Bill Gatton Foundation: Investing in CAFE

- \$100 million total gift commitment
 - \$11.4 million received
 - \$5 million per year for 18 years
- \$5 million match from Commonwealth of Kentucky's Research Challenge Trust Fund



Pillar 1: Scholarships and Student Success Initiatives

- Help educate future leaders in Kentucky agriculture
- Focus on need-based, rural communities, underserved populations, and first-generation students
- Support undergraduate research
- Student leadership programs

Pillar 2: Companion Animal Program

- Partnership with UK HealthCare and colleges to explore the human-animal bond
- Program leadership and new faculty
- Minor or certificate program
- Expanded partnerships in veterinary medicine
- Extension programs on the science related to the human-animal bond and care of companion animals
- Research on care and well-being of companion animals in relation to human health, including the One Health model

Pillar 3: Capital Projects and New Initiatives Fund

- Funding to support capital projects and new initiatives
- Support for innovative programs in partnership with the state's agricultural producers, the larger agribusiness community, and the priorities of local communities

Pillar 4: Faculty Research

- Support for multidisciplinary teams to address contemporary challenges related to agriculture and society
- Expand Extension's partnerships to address substance abuse, economic development, and youth development
- \$5 million match from the RCTF

Faculty Involvement

- Refinement and development of this gift will involve extensive planning by CAFE faculty

Motion from the College Administrative Team

- In recognition of the Foundation's transformative commitment, and in honor of Mr. Gatton's mother and father, the College shall be named the "Edith Martin and Harry W. Gatton, Sr. College of Agriculture, Food and Environment."
- The University shall name and refer to the College by this formal naming or as the "Martin-Gatton College of Agriculture, Food and Environment."