

Transmission of Proposal for Senate - Cover Page

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Tue 10/10/2023 10:17 AM

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Cover Page

Codifying meaning of "Faculty of Record" in the SRs

1. **Purpose for submitting:** *Submitting for approval*
2. **Type of proposal:** *Campuswide policies (including Senate Rules)*
3. **Specific action being requested:** *New*
4. **Proposer:** *Roger Brown*
5. **Affiliation of proposer:**
6. **Proposal submitted on behalf of another unit or organization**

6.a. If "Yes," name of the submitting unit/organization:

7. Rationale for proposal:

The Senate uses the term "Faculty of Record" on various Senate forms, but the SRs do not define that term. This proposal seeks to remedy that omission with a new definition of that term.

8. Does the proposal include a change to the Senate Rules?

Yes

8.a. If "Yes," what section of the Senate Rules? *Section 3 - Programs, Courses, and Curriculum Procedures*

8.b. If "Yes," what is the requested effective date? *Spring 2024*

9. If asking for feedback, how will the feedback be used?

Senate Rules and Elections Committee

Item: Definition/Use in Senate Rules of “Faculty of Record”

Background:

The Senate Council-approved forms that implement Senate proposal processes describe what is called a “Faculty of Record.” However, the source of Senate policy, the Senate Rules, do not define “Faculty of Record” nor use that term. It is preferable that the Senate forms reflect policy rather than create policy.

Faculty of Record inside a college or the Graduate School

- The default ‘educational policy faculty body’ for undergraduate or professional doctorate courses/programs is the body of tenured/tenure-track faculty of the educational unit appointed at/above the level of Assistant Professor (SR 3.1.3.3.1; SR 3.1.3.3.1.2).
- The default ‘educational policy faculty body’ for graduate courses/programs is the “graduate faculty” body appointed by the Dean of the Graduate School (SR 3.2.3.3.2.1).
- Each of the above “Faculty of Record,” can delegate its educational policy responsibilities in various ways ([here](#)).

Faculty of Record for courses/programs that are broadly cross-college or are outside of colleges

- The SREC also attended to circumstances in which a course/program might so broadly cross college lines that it is pedagogically necessary that the responsible “Faculty of Record” be composed of faculty from a number of participating colleges.
- The SREC further attended to the Senate policy intent for the “Faculty of Record” definition to encompass courses/program homed outside of a college (SR 1.4.1.1)

The SREC on April 6, 2023 unanimously approved recommending two paragraphs for incorporation into the Senate Rules, the first concerned with the situation inside colleges and the second concerned with courses/programs that broadly cross college lines or are homed outside of a college.

SREC Recommendation:

The SREC recommends these following definitional paragraphs for “Faculty of Record” be approved for incorporation into the Senate Rules,

The “faculty of record” of a program is the group of faculty that is authorized by the Senate to vote on whether to approve any proposed changes to the educational content of an educational program. (For the purposes of SR 3.1.3, an educational program may be a degree, certificate, badge, or an academic honor). For the purposes of SR 3.1.3, a faculty of record may delegate its responsibilities to a subcommittee of itself or to a committee under its jurisdiction.

By default, the faculty of record of a proposed educational program is coextensive with the faculty of a single department or other educational unit, or the faculty of record of an already existing educational program. In cases where a group of faculty from two or more educational units or graduate programs initiates a proposal for a new interdisciplinary academic program the proposal shall include a list of the faculty members who shall constitute the faculty of record of the proposed program and the process for changes to membership of the body. (See SR 3.1.3.2) Programs defined in this way are usually homed in an existing educational unit (department or college) for administrative purposes (admissions, program change approvals, prosecution of academic offenses, etc.). However, in those infrequent cases where a program or its courses are homed outside of a college, a Senate-approved faculty body shall serve as the faculty of record. (See <https://universitysenate.uky.edu/how-create-proposal-new-degree>).

Attached is how the SREC recommends the two paragraphs will be incorporated into the Senate Rules, and illustrates with several examples how “Faculty of Record” will be uniformly substituted in the SRs.

Section 3. Programs, Courses, and Curriculum Procedures

3.1. PROGRAMS

3.1.1 FACULTY OF RECORD

The “faculty of record” of a program is the group of faculty that is authorized by the Senate to vote on whether to approve any proposed changes to the educational content of an educational program. (For the purposes of SR 3.1.3, an educational program may be a degree, certificate, badge, or an academic honor.) For the purposes of SR 3.1.3, a faculty of record may delegate its responsibilities to a subcommittee of itself or to a committee under its jurisdiction.

3.1.1 GENERAL REQUIREMENTS

To be eligible for any degree, a student must have completed the degree program requirements as approved by the University Senate, except that curriculum substitutions may be made by the college affected if not inconsistent with these Rules. (See SR X)

General Requirements for Graduation for All Undergraduate Degrees

Curriculum requirements must include, in addition to specified credits, a specified grade point average (GPA) both overall and in the student’s major which shall in no case be less than 2.0. [US: 10/10/94]

- * The 2.0 requirement applies to all major requirements, including pre-major and lower division courses but not to those courses taken in the major beyond the minimum requirements. [SREC: 12/4/95]

A dean is the chief administrative officer of a college and is responsible for the enforcement of the *University Senate Rules, Rules of the Graduate Faculty*, and the rules of the college faculty [GR VII.F].

General Requirements for Graduation All Graduate Degree Programs

An overall average of B (3.00) on all graduate work in the program must be attained, and a final examination passed, before an advanced degree may be awarded. [US: 04/21/52] Graduate-level courses (numbers 400G-799) are computed in the graduate grade-point average, with the exception of 400G courses in the student’s program [SREC (GF 2/18/63)]

D grades may not be awarded to graduate students [US: 04/21/52]. Graduate courses (400G- 799) may not be taken Pass/ Fail. [US: SR 5.1.4]

The Dean is the executive officer who administers the policies of the Graduate Faculty. [US: 4/21/52]

General Requirements for Graduation for All Professional Practice Doctorates (SR 9.14.2)

The academic, professional and clinical experience of professional students is differentiated from that of undergraduate and graduate students and is at the appropriate and increasing levels of mastery and complexity. (SR 3.1.2.3) [US:3/19/18]

(for illustrative purposes, included below are example places that will editorially change to use “Faculty of Record” at the annual SR update)

3.1.3.3. Procedures to be Used

3.1.3.3.1 Approval by the ~~educational unit faculty~~ Faculty of Record

[US: 5/7/2012]

The Faculty of Record (SR 3.1.1) makes the decision whether to approve proposals for new academic programs or changes to academic programs (including changes to the educational unit's University Scholars program and to dual degree programs) (GR VII.E.1-5).

By default, the faculty of record of a proposed educational program is coextensive with the faculty of a single department or other educational unit, or the faculty of record of an already existing educational program. In cases where a group of faculty from two or more educational units or graduate programs initiates a proposal for a new interdisciplinary academic program the proposal shall include a list of the faculty members who shall constitute the faculty of record of the proposed program and the process for changes to membership of the body. (See SR 3.1.3.2) Programs defined in this way are usually homed in an existing educational unit (department or college) for administrative purposes (admissions, program change approvals, prosecution of academic offenses, etc.). However, in those infrequent cases where a program or its courses are homed outside of a college, a Senate-approved faculty body shall serve as the faculty of record. (See <https://www.uky.edu/universitysenate/faculty-body-form>)

Following paragraph moved here from 3.1.3

Dual credit programs proposed by ~~an educational unit faculty~~ Faculty of Record in partnership with a high school or school district shall (a) comply with policies established by the Council on Postsecondary Education for these programs, (b) contain a specific provision that the UK ~~educational unit~~ Faculty of Record approve both the educational site and each individual high school instructor, and (c) provide for the classification of enrolled high school students as non-degree-seeking UK students.

For UK Core, the ~~“Faculty”~~ Faculty of Record within the meaning of this rule is the body identified by the University Senate to perform the educational policy-making functions of the respective program. For graduate programs, ~~“the Faculty”~~ Faculty of Record is the voting graduate faculty of that program (SR 3.1.3.3.1.2). [US: 5/7/2012; 5/6/2019]

In a manner prescribed by the College Faculty Rules, the chair/director shall forward to the College Faculty a proposal arising under SR 3.1.3. The chair/director's transmittal attests thereby that the proposal has been approved in accordance with the Rules of the Faculty of the originating unit. The chair(s)/director(s) may include separate opinion(s) on the academic merits or on the administrative feasibility of the proposal.

- * If a program was originally approved for face to face delivery, and the dean later wants it to be delivered in part as ‘face to face’ and in part as distance learning, then the College Faculty has the role, and not the dean, to determine and approve as to whether the academic content of the program lends itself to delivery in part by distance learning. [SREC: 3/9/2012]
- * This rule does not have the intent or effect of prohibiting any college from seeking and utilizing the opinion of any willing academic council of the Senate before the proposal is submitted to the first officially required academic council of review. [SREC: 8/21/2014]